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1 UNITED STATES DISTRICT COURT
2 SOUTHERN DISTRICT OF NEW YORK
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3 UNITED STATES OF AMERICA,

4 v.

23 Cr. 490 (SHS)

5 ROBERT MENENDEZ,
6 WAEL HANA, a/k/a "Will Hana,"
and FRED DAIBES,

7 Defendants.

Trial

9 New York, N.Y.
10 June 27, 2024
11 9:45 a.m.

12 Before:

13 HON. SIDNEY H. STEIN,

14 District Judge
15 -and a Jury-

16 APPEARANCES

17 DAMIAN WILLIAMS

18 United States Attorney for the
Southern District of New York

19 BY: PAUL M. MONTELEONI
DANIEL C. RICHENTHAL
ELI J. MARK
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20 Assistant United States Attorneys
-and-
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21 National Security Division

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20 SETH H. AGATA
21 SHANNON M. McMANUS
22 Attorneys for Defendant Daibes23 Also Present: Marwan Abdel-Rahman
24 Rodina Mikhail
25 Interpreters (Arabic)Rachel Wechsler
Connor Hamill
Braden Florczyk
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Justin Kelly, DOAR

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1 (Trial resumed; jury not present)

2 THE COURT: All right. All the jurors are here. I'll
3 read the stipulation that the parties have agreed to at the
4 very beginning.

5 MR. MONTELEONI: Your Honor, the document-related
6 objections that we had had pending last night, we worked out
7 all of those, but then we found that, due to a
8 miscommunication, there were objections to five more documents.
9 That can be done at any break.

10 THE COURT: Try to get it straight, gentlemen. I was
11 told last night that there were objections. We've talked about
12 it several times, because I wanted to get the necessary timing
13 down. And then this morning I was informed that those were
14 taken care of, so I didn't come up to the courtroom until the
15 jury was here. Everybody should try to get it straight.

16 (Continued on next page)

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1 (Jury present)

2 THE COURT: Please be seated in the courtroom.

3 Good morning, ladies and gentlemen.

4 Call your next witness, government.

5 MR. MONTELEONI: We understood --

6 THE COURT: Oh, I'm sorry. There's a stipulation. My
7 error.

8 Ladies and gentlemen, the parties have arrived at a
9 stipulation, and as you know, those are agreed-upon facts -- or
10 it could be agreed-upon testimony -- but the parties have
11 agreed to whatever it is, and you must consider that as I
12 stated. The parties have agreed upon this instruction. I said
13 it's a stipulation; it's really an instruction to you.

14 Ladies and gentlemen of the jury, you may recall that
15 there was certain testimony regarding whether a private company
16 or person who might represent the interests of a foreign
17 government may have an obligation to register with the U.S.
18 government under the Foreign Agents Registration Act, which is
19 referred to sometimes as FARA -- Foreign Agents Registration
20 Act.

21 I am striking that testimony. What I mean by striking
22 the testimony of a witness is that you must completely
23 disregard it and cannot consider it in any way in your
24 deliberations.

25 Also, the interpretation of FARA is a legal matter,

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1 and as you know, the law is a matter for me, the Court, to
2 instruct you on, which I will do only if it is relevant to the
3 counts that were actually charged in this case. And I will do
4 that at the end of the trial.

5 With regard to the stipulation read to you by the
6 government at the end of the day yesterday, stating that
7 certain individuals were not found in the public FARA database,
8 I want to remind you Mr. Hana is not charged with violating
9 FARA by having failed to register with the U.S. government as
10 an agent of Egypt.

11 That is my instruction to you at this time.

12 Government, call your next witness.

13 MR. MONTELEONI: Prior to that, we have a very few
14 amount of additional documents and stipulations to offer.

15 THE COURT: Proceed.

16 MR. MONTELEONI: The government offers the stipulation
17 that's Government Exhibit 1448.

18 THE COURT: Admitted.

19 (Government Exhibit 1448 received in evidence)

20 MR. MONTELEONI: Ms. Wechsler, could you please
21 publish:

22 "If called to testify as a witness, an individual who
23 had worked with the Egyptian government, would testify as
24 follows:

25 "A. In or about June 2019, an Egyptian government

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1 official said that Wael Hana had ruined him and he hoped for
2 revenge.

3 "B. In or about January 2020, certain officials in
4 the Egyptian government said that they were trying to distance
5 themselves from Hana and instructed some of their assets in the
6 U.S. and Egypt to discontinue any association with him.

7 "In or about February 2020, certain officials in the
8 Egyptian government said that they directed at least one
9 individual to cease contact with Hana and made comments that
10 suggested one member of Egyptian intelligence was reprimanded
11 for his close relationship with Hana. At least one senior
12 Egyptian government official said he did not want Hana coming
13 to the Egyptian consulate in New York or government-sponsored
14 events.

15 "In or about March 2021, certain Egyptian officials
16 discussed their belief that Hana was not trustworthy or
17 reliable, citing their understanding that Hana had previously
18 been involved in an insurance scam and that he had been
19 pocketing money.

20 "In or about mid-August 2022, when Hana was in Egypt,
21 an Egyptian government official said that he was tracking
22 Hana's location."

23 Now, the government offers several documents pursuant
24 to stipulation.

25 Pursuant to Government Exhibit 1435, the government

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1 offers Government Exhibits A133; A409; A410; A411; C106-1;
2 C107-B, not for the truth; C107-BT, not for the truth; C302,
3 not for the truth; C421; C422; C426; B117.

4 The government offers, pursuant to Government Exhibit
5 1427, Government Exhibit 4G-5.

6 Pursuant to Government Exhibit 1405, it offers
7 5A-5001A, 5V-1, 5V-2, 5G-102-1.

8 The government offers Government Exhibit 1453.

9 The government offers Government Exhibits 9A-101
10 through 9A-109, 9A-201 through 9A-211, 9B-101 through 9B-106,
11 9B-201 through 9B-213, 9B-301 through 9B-309, 9D-101 through
12 9D-112 and 9F-101 through 9F-110, which are authenticated by a
13 stipulation, which is Government Exhibit 1440.

14 The government offers Government Exhibit 1440 as well.

15 THE COURT: All right. Admitted.

16 (Government Exhibits A133; A409; A410; A411; C106-1;
17 C107-B, not for the truth; C107-BT, not for the truth; C302,
18 not for the truth; C421; C422; C426; B117; 4G-5; 5A-5001A;
19 5V-1; 5V-2; 5G-102-1; 9A-101 through 9A-109; 9A-201 through
20 9A-211; 9B-101 through 9B-106; 9B-201 through 9B-213; 9B-301
21 through 9B-309; 9D-101 through 9D-112 and 9F-101 through 9F-110
22 received in evidence)

23 THE COURT: Are you offering the stipulations
24 themselves?

25 MR. MONTELEONI: Yes, certain of those exhibit numbers

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1 were for stipulations.

2 THE COURT: All of those are admitted.

3 (Government Exhibits 1435, 1427, 1405, 1453 and 1440
4 received in evidence)

5 MR. MONTELEONI: Thank you, your Honor.

6 Just a couple more.

7 The government offers 9G-1 through 9G-12, which are
8 authenticated by a stipulation, which is 1462. The government
9 offers 1462 at well.

10 THE COURT: Admitted.

11 (Government Exhibits 9G-1 through 9G-12 and 1462
12 received in evidence)

13 MR. MONTELEONI: And finally, the government offers
14 Government Exhibit 1465, a stipulation, as well.

15 THE COURT: Admitted.

16 (Government Exhibit 1465 received in evidence)

17 MR. MONTELEONI: Ms. Wechsler, could you please
18 publish Government Exhibit 1465:

19 "From on or about November 72018, through at least on
20 or about the date of the indictment in this matter, Fred
21 Daibes, the defendant, was released on an appearance bond in
22 United States v. Daibes, et ano, criminal No. 18-655-SDW,
23 pending in the District of New Jersey (the 'Daibes DNJ
24 prosecution')."

25 THE COURT: Anything else?

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1 MR. MONTELEONI: Not at this time.

2 THE COURT: All right.

3 Ladies and gentlemen, you heard stipulations regarding
4 testimony, as I told you if a witness were called, that witness
5 would say. You saw stipulations regarding admissions of
6 documents, so those documents are now in evidence. And you
7 also saw that I gave you an instruction of law. I had earlier
8 called it a stipulation; it wasn't. It's an instruction of
9 law.

10 Government, now call your next witness.

11 MR. RICHENTHAL: The government calls Shannon Kopplin.

12 SHANNON KOPPLIN,

13 called as a witness by the government,

14 having been duly sworn, testified as follows:

15 THE COURT: Good morning, Ms. Kopplin, and welcome.

16 THE WITNESS: Good morning.

17 THE COURT: Your witness, Mr. Richenthal.

18 DIRECT EXAMINATION

19 BY MR. RICHENTHAL:

20 Q. Good morning, Ms. Kopplin.

21 A. Good morning.

22 Q. What is your educational background?

23 A. I have an undergraduate degree from the University of
24 California, Davis. I have a J.D. law degree from Santa Clara
25 University School of Law, and I have a master's of law from

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1 Georgetown University Law also.

2 Q. Are you a lawyer, Ms. Kopplin?

3 A. I am.

4 Q. For approximately how long have you been a lawyer?

5 A. Just short of 30 years.

6 Q. Where do you work?

7 A. I work for the U.S. Senate Select Committee On Ethics.

8 Q. I'll ask you some more detailed questions in a moment, but
9 in sum, what is the Senate Select Committee on Ethics?

10 A. So, the Select Committee on Ethics is one of the Senate's
11 20-some permanent committees. So the Senate -- highly complex,
12 high-volume business -- divides its responsibility amongst
13 committees. So you have senators who are assigned to specific
14 committees for areas of jurisdiction. So you have things like
15 armed services, veterans affairs, foreign relations. We're the
16 committee on ethics that deals with the oversight for the
17 Senate committees' ethical conduct.

18 Q. What is your title on the Senate Select Committee on
19 Ethics?

20 A. I'm the chief counsel and staff director.

21 Q. What is your role, in sum?

22 A. So, as the chief counsel, I advise the six members of the
23 ethics committee. I am also the staff director, which, not to
24 sound facetious, I direct the other 16 members of our staff in
25 managing their workload and scheduling training events and that

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1 sort of thing.

2 Q. What position, if any, did you hold Senate Select Committee
3 on Ethics prior to your current position?

4 A. I was hired as the deputy chief counsel, but immediately
5 upon assuming that position, the chief counsel/staff director
6 left on military orders. And so I was the acting staff
7 director/chief counsel since I arrived at the committee.

8 Q. Approximately when did you arrive at the committee?

9 A. September of 2020.

10 Q. What did you do before you arrived?

11 A. I was an active duty navy officer.

12 Q. Doing what, Ms. Kopplin?

13 A. I was a judge advocate.

14 Q. For anyone who may not know, what is a judge advocate?

15 A. So, judge advocate, or JAG, so I was a uniformed military
16 attorney. My primary practice areas there were the law of
17 armed conflicts, rules of engagement, maritime boundaries, U.S.
18 treaty application and government ethics.

19 Q. Let's go back now to the Senate Select Committee on Ethics.

20 First, is that sometimes just known as the ethics
21 committee?

22 A. Yes.

23 MR. RICHENTHAL: I might refer to it that way this
24 morning, if that's OK with you.

25 Q. Who serves on the ethics committee?

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1 A. So, there are six senators that serve on the ethics
2 committee. There are three Democrats, three Republican. It's
3 a unique committee on the Hill in that it, by design, is a
4 bipartisan structure like that.

5 Q. What do you mean by, it is a bipartisan structure like
6 that? Just explain what you mean, please.

7 A. Sure. So, six members on the committee -- three from the
8 Democratic party, three from the Republican party -- means that
9 any action that we take, by definition, you have to have a
10 bipartisan, meaning people from both parties agree on what
11 action the committee's going to have to take.

12 By our procedural rules, our chairman, who right now is a
13 member of the majority party, a Democrat, and our vice
14 chairman, a member of the minority party, who's a Republican,
15 they have to agree on that action before we do anything as a
16 committee.

17 THE COURT: I think you said there were six members.
18 Is that correct?

19 THE WITNESS: That's correct.

20 THE COURT: Three Republicans and three Democrats.

21 THE WITNESS: Yes, sir.

22 THE COURT: And when you say members -- I don't need
23 the names at this point, but who are the members?

24 THE WITNESS: Senators.

25 THE COURT: Thank you.

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1 BY MR. RICHENTHAL:

2 Q. How are those senators selected to serve as members of the
3 ethics committee?

4 A. Senators are selected by their party leadership, so the
5 Senate majority leader selects what members are going to be on
6 all the committees, including the ethics committee, and the
7 minority leader, for the minority party, selects the three
8 Republican members who are going to serve on all the
9 committees, including the ethics committee.

10 Q. I think you said you also have the title or role of staff
11 director?

12 A. Yes.

13 Q. What type of staff does the Senate Ethics Committee have?

14 A. So, we have a 17-member staff --

15 THE COURT: Ms. Kopplin, we have a reporter and we
16 have interpreters, so you're going to have to slow down because
17 they need to do their job.

18 THE WITNESS: I'm sorry, sir.

19 THE COURT: That's all right.

20 THE WITNESS: Could you repeat the question?

21 THE COURT: That's for Mr. Richenthal also, both of
22 you.

23 MR. RICHENTHAL: Most definitely.

24 Q. I think you said that you also have the title or role of
25 staff director. Is that right?

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1 A. That's correct.

2 Q. What types of staff does the ethics committee have?

3 A. So, we have 17 total staff; that's me plus 16 others. Of
4 those 16, eight are attorneys and eight are legal support
5 staff.

6 Q. Without going through the roles one by one, in general,
7 what kinds of things does the staff do?

8 A. So, primarily, the ethics committee's responsibility
9 overwhelmingly is advice and training for the Senate community.

10 Q. Advice or training on what types of things?

11 A. On all types of ethical questions under the Senate rules
12 and under applicable federal law. So that's going to include
13 taking gifts, when can someone go on travel? When can a
14 senator interact with a lobbyist? What receptions or other
15 events can a senator or a Senate staff attend? It also
16 includes financial disclosure.

17 Q. Now, you've mentioned training and financial disclosure.
18 Let me just step back for a moment.

19 What are the principal areas of work of the ethics
20 committee?

21 A. So, there's three principal areas I lead as the staff
22 director. The first is advice and training. The second is
23 managing the financial disclosure program for the Senate
24 community. And the third is inquiry and investigation of
25 complaints of misconduct.

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1 Q. Now, the first you mentioned is advice. Let's just start
2 with that.

3 What do you mean in this context by advice?

4 A. So, advice is you have a member of the Senate community --
5 and to give you a context, that's close to 2,000 people, so 100
6 senators and then all their staff, both those in Washington,
7 D.C., and the staff that are in their state offices.

8 So they will call us for advice on all those topics and
9 many more that we have discussed. To give you an idea of the
10 scope, by law, the ethics committee has to publish an annual
11 report on January 31 of each year. For 2023, we answered over
12 12,000 calls or emails for advice from the Senate community.

13 THE COURT: When you say, again, the Senate community,
14 that's senators and the senators' staff.

15 THE WITNESS: Yes, sir.

16 THE COURT: All right.

17 BY MR. RICHENTHAL:

18 Q. I think you said this, but just for clarity, does that
19 include senators' staff just in Washington, D.C., or elsewhere
20 as well?

21 A. No. It's Washington, D.C., and their personal offices in
22 their states.

23 Q. Now, I think you said that advice had been given over email
24 or the phone. Is that right?

25 A. That's correct.

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1 Q. Is advice also given in person?

2 A. Yes.

3 Q. Is there a cost to solicitor or get advice?

4 A. No.

5 Q. Is the advice public?

6 A. No. It's confidential.

7 Q. Are the questions to get advice public?

8 A. No.

9 Q. Now, I think the second area you mentioned is training. Is
10 that right?

11 A. That's correct.

12 Q. To just expand a bit, in general, what kinds of training
13 does the ethics committee offer or provide?

14 A. Well, there's a federal law that requires that anyone who
15 works for the Senate receives ethical training, receives
16 training in the ethical rules that apply to them specifically,
17 within 60 days of taking a job with the U.S. Senate. So that's
18 all of the staff there. It also includes all of the senators.

19 In addition to those trainings that we provide, we offer a
20 myriad of other trainings on a whole host of topics -- so, for
21 instance, this year, particularly on campaign activity and what
22 would be permissible overlap between campaign activity and your
23 official office.

24 We also offer training on financial disclosure.

25 Q. Approximately how often are trainings given?

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1 A. Multiple times per month and by request of a Senate office.

2 Q. What do you mean by, by request of a Senate office?

3 A. So, oftentimes we will get either a senator or a member of
4 the senator's staff call us and say we think our staff needs
5 training on whatever the discrete topic area is. Sometimes
6 it's because they think that people have forgotten what the
7 rules are. Sometimes, and most usually, it's because they've
8 hired a bunch of staff, and the new people need some more
9 training on specific rule areas.

10 Q. Now, are some or all training materials also made
11 available?

12 A. Yes. So, there are some training materials that we make
13 available internally, meaning you have to log --

14 THE COURT: Ms. Kopplin, again, I'm going to ask you
15 to slow down.

16 THE WITNESS: Sorry.

17 A. There are some training materials that are made available
18 internally, meaning that you would have to be on the Senate's
19 IT system and be able to log in to that to get those training
20 materials. But there are also a great deal of training
21 materials that are publicly available.

22 Q. Publicly available where?

23 A. On our website, or they're available when you walk into the
24 Senate office.

25 Q. Let's just start with the website for a second. When you

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1 say our website, whose website?

2 A. The ethic committee's website.

3 Q. Is that part of the greater Senate's website?

4 A. Yes, it is.

5 Q. Now, I think you'd also mentioned the preliminary inquiry
6 on complaints. Is that right?

7 A. That's correct.

8 Q. In general, without talking about a particular case, what
9 is a preliminary inquiry on a complaint?

10 A. So, pursuant to the Senate's procedural rules, which I know
11 are different from the executive branch and from the House of
12 Representatives, the Senate will accept any complaint in
13 writing. So we get them from any source. Sometimes it's
14 media. Sometimes it's a constituent. Sometimes it's another
15 member of the Senate community. But if anything is received in
16 our office in writing that alleges misconduct of the senator or
17 a member of the Senate staff, we will do an inquiry to see if
18 those allegations have any evidence to prove them.

19 Q. And when you say an inquiry -- and again, I'm not asking
20 about any particular case -- what do you mean by that?

21 A. It depends on the scope of the complaint what the scope of
22 the inquiry would be. So it can be anything from looking at
23 publicly available information -- so, an example, the
24 senator -- or the allegation is the senator posted something on
25 their official website that is improper. We would go to that

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1 official website, look at the evidence and see if it was
2 improper under our rules, or not. All the way up to a large
3 investigation, where the committee, like all other committees
4 at the Senate, has subpoena power and can request documents,
5 can interview witnesses, etc.

6 Q. Who handles a preliminary inquiry; that is, who does the
7 work in the example that you just used?

8 A. Our staff. So it's me and the 16 other ethics committee
9 staff.

10 Q. Is the work of a preliminary inquiry public?

11 A. It is not.

12 MR. WEITZMAN: Your Honor, 401, 403 at some point.

13 THE COURT: All right. I understand.

14 MR. RICHENTHAL: One or two more questions in this
15 area.

16 Q. Again, without talking about any particular case, what, if
17 anything, can be the result of a preliminary inquiry?

18 A. Well, the preliminary inquiry -- again, this is our
19 procedural rules. It can go anything from dismissal, because
20 there is no evidence to support the complaint, to the ethics
21 committee's recommendation to the full Senate that a member be
22 censured or expelled from the Senate. So that is the range of
23 actions that could happen.

24 Q. Final questions here.

25 You used the word "censured." What is a censure?

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1 A. A censure is a public rebuke, a public statement of
2 admonition saying that there was a finding of misconduct and
3 that the senator's actions were inappropriate. And that would
4 be voted on by the full Senate, not just the ethics committee.

5 Q. Now, I think the last area you mentioned was financial
6 disclosures. Is that right?

7 A. That's correct.

8 Q. I'm going to ask you some specific questions, but first, in
9 general, in this context, what is a financial disclosure?

10 A. So, by law, many government officials, to include all of
11 the senators, have to disclose their financial interests
12 publicly at least once per year while they hold office.

13 Q. We'll go through some documents, but what kinds of things
14 do the Senate financial disclosures cover?

15 A. So, Senate financial disclosure, similar to all other
16 branches of government, requires disclosure of sources of
17 income; your financial assets; any financial transactions;
18 liabilities, meaning, you know, loans that you owe; gifts that
19 you have received over a certain dollar amount; travel
20 reimbursements; and any agreements or arrangements you have
21 with a company, with an organization, with a prior employer.

22 Q. Now, who has to file these disclosures?

23 A. So, all senators have to file financial disclosure as well
24 as all employees who are paid over a certain salary amount.

25 Q. And when you say all employees, all employees of what?

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1 A. Of the Senate.

2 Q. Roughly speaking, how many people in a given year have to
3 file these disclosures?

4 A. Roughly speaking, about 1,400 people in the Senate have to
5 file financial disclosure.

6 Q. So that's 100 senators plus approximately 1,300 other
7 people?

8 A. Yes.

9 Q. And are those generally staffers? Without being specific,
10 in general, what kinds of jobs do those people have, the other
11 people who have to file a financial disclosure?

12 A. So, I'm a financial disclosure filer, so it's any senior
13 staff member. The salary threshold is anyone whose rate of pay
14 is over \$147,649 per year has to file financial disclosure.

15 Q. Now, I've asked you about who has to file disclosures. How
16 about when; when must disclosures be filed?

17 A. So, the regular reports are filed, one, with the new-filer
18 report, within 30 days of taking your Senate job. And then
19 after you filed your new-filer report, you have to file on or
20 before May 15 of each year. There are extensions that can be
21 granted. And then you file what we call a termination report,
22 which has to be filed 30 days after you leave your Senate job.

23 Q. Now, if a disclosure's due on or before May 15 of each
24 year, for what period of time does that disclosure cover?

25 A. It covers the preceding calendar year. So the way I

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1 explain it to new Senate members is it's like income taxes. So
2 you will file in May, but it covers the prior calendar year.
3 So for 2024, May 2024, that report covered calendar year 2023,
4 January 1 through December 31 of 2023.

5 Q. Now, we've talked about the who and the when. Let's talk
6 about the what.

7 How are the disclosure forms filled out; literally, what's
8 the process?

9 A. Well, the -- there's two. There are some people who still
10 insist on filing on paper, and we will allow that. There's
11 seven of them, and I'm trying to convince them to come over to
12 the other side, which is an electronic platform for the Senate
13 community. It is called EFD, for electronic financial
14 disclosure.

15 Q. Apart from the seven, for those who fill out the form
16 electronically, in general, can you describe the process?

17 A. So, you -- when you get your Senate job or you're sworn in
18 as a senator, you will apply for an account with EFD. We
19 approve your account. We verify things like email, phone
20 number, office, salary, etc. And then that is the system that
21 generates notices on when your reports are due.

22 Q. Now, speaking of reports for senators themselves, what, if
23 anything, ensures that the senator himself or herself actually
24 authorizes a given financial disclosure?

25 A. I'm sorry. Could you say that again?

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1 Q. Sticking with senators' own financial disclosures, what, if
2 anything, ensures that the senator himself or herself
3 authorizes the disclosure?

4 A. Well, when you file your form electronically, there's a
5 button that you click that is per -- it says I am submitting
6 this form, and the information contained on the form is true
7 and correct, to the best of my knowledge. So it's a personal,
8 first person filing.

9 Q. Now, you said that the disclosure are due on or before May
10 15 of each year?

11 A. Yes.

12 Q. After a disclosure's filed, may it be amended or revised?

13 A. Yes.

14 Q. How?

15 A. So, there's an amendment process on the electronic
16 financial disclosure system.

17 Q. Can you explain, in general, what that involved?

18 A. Certainly. So, you would log in to your account and it
19 would show you your most recent report, and then there is a
20 large button that says make amendments, and you would click on
21 that button and it would generate a form for you to amend that
22 report.

23 Q. And if a report is amended, is that also then filed in the
24 same manner that you talked about with respect to the prior
25 report; that is, the nonamended report?

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1 A. Yes.

2 Q. Through the same electronic system?

3 A. Yes. And both would be on the public record.

4 Q. Just to be clear, when you say both would be on the public
5 record, what do you mean?

6 A. So, when a senator files their financial disclosure report,
7 as soon as they hit the submit button in the electronic
8 program, it is immediately uploaded to the Senate office of
9 public records, which means anybody can Google it.

10 Q. Anybody can Google it, meaning it's on the internet?

11 A. Yes.

12 Q. Now, is there a penalty for amending a disclosure?

13 A. No.

14 Q. Separate from the disclosure forms or reports you talked
15 about, are you familiar with something called a periodic
16 transactions report or periodic transaction report?

17 A. Yes, sir.

18 Q. What is that?

19 A. So, periodic transaction report is a report that is
20 required under a different federal law for specific
21 transactions -- so a purchase or a sale of specific types of
22 securities. And by that I mean individual stocks, individual
23 commodities, future options, things of that nature. So if
24 someone only holds mutual funds, that is never going to require
25 a periodic transaction report.

06rWmen1

Kopplin - Direct

1 If you hold individual stocks -- to give an example, you
2 know, Google, Starbucks, whatever -- if you purchase or sell
3 those during the reporting period, you actually have to file a
4 periodic report within 30 days of making that purchase or sale.

5 The whole financial disclosure system is intended to
6 provide public transparency so that constituents can see the
7 financial interests of their legislators. So that's why
8 these -- the individual stocks require a periodic report.

9 Q. Now, shifting back to the annual disclosures and away from
10 periodic reports for the moment, are there any kind of
11 instructions as to how a given annual disclosure should be
12 filled out?

13 A. Yes, sir.

14 Q. In what form are those instructions?

15 A. So, the instructions are both electronic and hard copy.

16 Q. How frequently, if at all, do the instructions change?

17 A. The instructions are updated every year.

18 Q. Are the annual changes generally large or small?

19 A. Small.

20 Q. Can you give an example of a typical annual change?

21 A. So, the law has not changed in this area in a substantial
22 period of time. So the instructions changed to make them more
23 user-friendly. So, for instance, in the last two years, we've
24 added a color-coded frequently asked questions box sort of
25 thing.

06rWmen1

Kopplin - Direct

1 If we start to see a lot of questions in one particular
2 area, we will add it on. So, an example is, under assets, we
3 had to add cryptocurrency fairly recently to explain how you
4 would disclose cryptocurrency.

5 Q. Ms. Kopplin, for anyone who may not know, what is
6 cryptocurrency?

7 A. I don't know. I mean it's --

8 Q. Where may the annual instructions be found?

9 A. So, they are found both on the committee's website, and
10 then since this is for the Senate community, there's hard
11 copies of the instructions in the office.

12 Q. Let's just take that one at a time. When you say the
13 website, what website?

14 A. So it's ethics.senate.gov. It's part of the Senate's
15 domain.

16 Q. Are the instructions public?

17 A. They are.

18 Q. Now, I think you said they're also in hard copy in the
19 office?

20 A. They are.

21 Q. What office?

22 A. So, the ethics committee office is 220 Senate Hart
23 Building. Everyone knows where we are.

24 Q. Hart, as in H-A-R-T?

25 A. Correct.

06rWmen1

Kopplin - Direct

1 Q. Now, in addition to the instructions being on the website
2 and then in hard copy, is the Senate community advised annually
3 as to where instructions may be found or that instructions
4 exist at all?

5 A. Yes, sir.

6 Q. How so?

7 A. Multiple ways. So, the primary way is the -- there is a --
8 a email distribution from all the offices to other offices for
9 information just like this. So practically what happens is the
10 deputy staff director in our office sends an email to a
11 distribution list of all the Senate administrative directors --
12 so essentially, the office managers for all the Senate
13 offices -- saying: Hey, it's filing season again. Here's
14 where you find the EFD link, you know, to the actual, you know,
15 program to log in, and here's a link to the instructions and
16 then contact information for the office. And sometimes we
17 include the dates for our training events for brand-new filers,
18 people who've never done it before.

19 Q. Now, I think you said that this email's sent to
20 administrative directors of each Senate office?

21 A. Yes, sir.

22 Q. What is an administrative director of a Senate office?

23 A. It's like an office manager, is probably the best analogue.

24 Q. Now, I think you said the email also contains a link to the
25 EFD system?

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Kopplin - Direct

1 A. Yes.

2 Q. And again, Ms. Kopplin, that's the system to actually fill
3 out and file the form?

4 A. That's correct.

5 Q. Are you familiar with that system?

6 A. Very.

7 Q. How?

8 A. Well, I'm responsible for it. It's part of my job.

9 Q. Do you also have to log in to it yourself?

10 A. I do.

11 Q. Now, when logging in to fill out and file the annual
12 disclosure, is there a reference to the same instructions?

13 A. There is.

14 Q. Could you describe what you mean by that?

15 A. So, when you log in to the EFD system as a filer, as
16 someone who's going to prepare the report, there is some
17 writing up at the top, and part of it is that the forms are
18 meant to be completed with the instructions. I think -- I
19 cannot remember, but I think it gives you either the phone
20 number or the email address for the ethics office.

21 Q. Now, you referenced a few minutes ago if people have
22 questions you give advice. Can the questions include how to
23 fill out the form?

24 A. Absolutely. And so we release the filing forms for May in
25 middle March every year. That's -- that's what our cycle is.

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Kopplin - Direct

1 The concept is if you're already preparing your income tax
2 filing, then you have all the documents together to be able to
3 file this as well, and so that's kind of how the dates get set.
4 And so between the middle of March and that May 15 filing date,
5 our office resembles, like, an accounting firm. There's, like,
6 constant questions on financial disclosure and what the
7 requirements are.

8 Q. Do these questions come in person, on the phone, email,
9 some other way?

10 A. All of it.

11 Q. Is there a penalty for asking questions?

12 A. Absolutely not. Highly encouraged.

13 Q. Now, the advice given in response, is it public?

14 A. No.

15 THE COURT: And I think you said the questions are not
16 public either. Is that correct?

17 THE WITNESS: That's correct, sir.

18 BY MR. RICHENTHAL:

19 Q. Shifting gears just for one moment, are you familiar with
20 something known as the Senate ethics manual?

21 A. I am.

22 Q. What is the Senate ethics manual?

23 A. So, the Senate ethics manual is a fairly large text,
24 probably an inch and a half thick, and it is intended to
25 consolidate all of the federal law, Senate rules, other

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Kopplin - Direct

1 standards of conduct that apply to senators and to Senate
2 staff. And it also includes, you know, historically what the
3 committee, the ethics committee's interpretation and
4 application of those rules are. It gives practical examples,
5 gives all the references in one place. If there's any lawyers
6 in the room, it's a horn book, is what it is.

7 Q. For those who are not lawyers, what is a horn book?

8 A. Well, it's a text that, you know, that summarizes and
9 consolidates in hopefully closer to layman's terms what the law
10 is and how you would apply it. So it gives practical examples,
11 is the best use of the Senate ethics manual for the Senate
12 community.

13 Q. Is the Senate ethics manual itself public?

14 A. It is.

15 Q. Where may it be found?

16 A. It's also found on our website, which is a public website.

17 Q. And are there also hard copies in the office in Hart?

18 A. Yes.

19 Q. How, if at all, is the Senate ethics manual itself or a
20 link to it shared with the Senate community?

21 A. Well, the link to the entire manual exists in perpetuity on
22 the website. But if someone asks a specific question, either
23 somebody from the Senate community or a reporter, because this
24 is publicly available document, we will link to the specific
25 pages to help guide the person to what they need to be able to

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Kopplin - Direct

1 answer their question.

2 MR. RICHENTHAL: Ms. Wechsler, can you put on
3 Ms. Kopplin's screen, the Court's screen and the lawyers'
4 screens what has been marked for identification as GX 10I-1A,
5 as in apple.

6 Just scroll through the couple pages for Ms. Kopplin,
7 please.

8 Q. Ms. Kopplin, do you recognize that document?

9 A. I do.

10 Q. What is it?

11 A. It's a redacted version of the Senate ethics manual.

12 MR. RICHENTHAL: The government offers 10I-1A.

13 MR. WEITZMAN: Your Honor, may I have a voir dire?

14 THE COURT: Yes.

15 VOIR DIRE EXAMINATION

16 BY MR. WEITZMAN:

17 Q. Hi, Ms. Kopplin.

18 The instruction, the Senate ethics manual, you said that
19 it's about an inch and a half thick?

20 A. Yes, sir.

21 Q. I'm going to hand you the full Senate ethics manual. Can
22 you just confirm that this is the document you're referring to?
23 It's marked Government Exhibit 10I-1.

24 A. This isn't the format that I'm used to, but yes, this looks
25 like a complete copy of the Senate ethics manual.

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Kopplin - Direct

1 Q. And this Senate ethics manual is over 500 pages, right?

2 A. Yes, sir.

3 Q. Just a couple of questions.

4 You said it's available online?

5 A. Yes, sir.

6 Q. When someone views it, is there a certification requirement
7 to confirm that they viewed it?

8 MR. RICHENTHAL: Your Honor, this is beyond the scope
9 of voir dire for admissibility.

10 THE COURT: I'll allow that.

11 A. Is there a certification that you viewed it? No, sir,
12 there's not.

13 Q. Is there any way to track who's viewed it or downloaded it?

14 A. No, sir.

15 MR. RICHENTHAL: Objection.

16 THE COURT: I'll allow it.

17 BY MR. WEITZMAN:

18 Q. And do you know whether Senator Menendez personally ever
19 received a copy or viewed a copy of the Senate ethics manual
20 that's 572 pages long?

21 A. I do not.

22 MR. WEITZMAN: No objection, your Honor.

23 MR. RICHENTHAL: Is it admitted, your Honor?

24 THE COURT: Admitted.

25 (Government Exhibit 10I-1A received in evidence)

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Kopplin - Direct

1 BY MR. RICHENTHAL:

2 Q. Ms. Kopplin, I think Mr. Weitzman said it was 500-something
3 pages long.

4 Did you choose what excerpts are in this exhibit?

5 A. No, sir.

6 Q. By the way, is this the operative version from 2018 to
7 2023?

8 A. Yes.

9 Q. Operative meaning it covered at least that time period?

10 A. Yes.

11 THE COURT: Now, I gather you no longer hand out hard
12 copies of the manual to each senator. Is that correct?13 THE WITNESS: No, sir, it's not. We do to each new
14 senator. The presumption is that each sitting senator already
15 has a copy. Their office certainly has a copy.

16 THE COURT: All right. Thank you.

17 BY MR. RICHENTHAL:

18 Q. Before I asked Ms. Wechsler to put a particular page on the
19 screen, why did you say their office already has a copy?20 A. Because that's our practice, is to make certain that every
21 office has a copy of this, a hard copy of this manual.

22 Q. Why?

23 A. Because it's the most user-friendly document that we have.
24 We're already receiving 12,000 calls for advice. Our hope is
25 that the manual will help offices answer some of the questions

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1 themselves with the practical examples that are in the manual.

2 Q. Now, approximately how long have you worked for the ethics
3 committee again?

4 A. Four years.

5 Q. Based on that experience, do you have an understanding as
6 to whether the Senate ethics manual is generally known in the
7 Senate community?

8 A. I do.

9 MR. WEITZMAN: Objection.

10 THE COURT: I'll allow that.

11 BY MR. RICHENTHAL:

12 Q. What is your understanding, Ms. Kopplin?

13 THE COURT: What is it based on? I'm sorry. Let's
14 have your understanding first.

15 Go ahead.

16 A. That it's widely known within the Senate community.

17 Q. And why do you say that?

18 A. Because it's referenced in many of the phone calls that I
19 have and the emails that I receive from Senate staff of, I've
20 looked in the ethics manual, I can't find the answer to my
21 question, etc.

22 Q. By the way, if an office wants another copy of the ethics
23 manual, are they able to get one?

24 A. Of course.

25 Q. How?

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1 A. They ask.

2 Q. Has that ever happened?

3 A. It has happened. Most of your junior staffers want the
4 electronic version, but we still have it old school for the
5 people who want it that way.

6 MR. RICHENTHAL: One more question just in light of
7 Mr. Weitzman's questions.

8 MR. WEITZMAN: Objection, your Honor.

9 THE COURT: Sustained.

10 The jury knows to disregard the comments of the
11 lawyers.

12 Go ahead.

13 MR. RICHENTHAL: One more question.

14 Q. The office of the Senate Ethics Committee, it's in Hart?

15 A. That's correct.

16 Q. It's H-A-R-T?

17 A. Yes.

18 Q. Where is Mr. Menendez's office?

19 A. In the Senate Hart office building.

20 Q. Is that the same building?

21 A. He's on the fifth floor. We're on the second.

22 Q. Is it three floors apart?

23 A. Yes.

24 MR. RICHENTHAL: Ms. Wechsler, can we go to page 86 of
25 the manual.

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Kopplin - Direct

1 Q. Is that on your screen, Ms. Kopplin?

2 A. It is.

3 Q. Could you read what the sentence at the bottom?

4 MR. RICHENTHAL: Ms. Wechsler, maybe we can zoom in.

5 A. "Regardless of compensation, a public official may not act
6 as an agent or attorney for a foreign principal required to
7 register under the Foreign Agents Registration Act of 1938, as
8 amended; that is, generally, those individuals engaged in
9 lobbying, political, or propaganda activities on behalf of
10 foreign governments or political parties." And it cites to a
11 footnote.

12 MR. RICHENTHAL: Thank you. You can take that down,
13 Ms. Wechsler.

14 Q. Now, I've asked you a few questions about the ethics
15 committee website. I think you said it's part of the greater
16 Senate website?

17 A. Yes.

18 MR. RICHENTHAL: I just have another website question
19 or two, and then I'm going to shift topics. OK?

20 Q. Does the Senate website include who sits on different
21 Senate committees?

22 A. It does.

23 Q. Does it include the status of pending or proposed
24 legislation?

25 A. Some do.

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1 Q. What do you mean by some do?

2 A. Well, each website -- or excuse me. Each committee website
3 is controlled by that committee's staff. So some of them
4 choose to put that on there, some of them do not.

5 MR. RICHENTHAL: Let's go back now to financial
6 disclosures. I'm going to ask Ms. Wechsler to put on your
7 screen Government Exhibits 10E-1 through 10E-12. And for your
8 convenience, Ms. Kopplin, I think you also have a binder in
9 front of you with the same documents. You're welcome to use
10 either the binder or the screen, whatever you prefer.

11 You can scroll through, Ms. Wechsler, the first page
12 of each.

13 Q. I think we've now gone through all of those; do you see
14 those, Ms. Kopplin?

15 A. I do.

16 Q. Now, prior to testifying today, did you also review these
17 exhibits?

18 A. I did.

19 Q. What are these, in sum?

20 A. Well, the first set of documents were financial disclosure
21 reports for Senator Menendez, and then there were a series of
22 documents that were our financial disclosure instructions for
23 the same years.

24 Q. And are those years 2018 through 2022?

25 A. That's correct.

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1 MR. RICHENTHAL: The government offers 10E-1 through
2 10E-12.

3 THE COURT: Admitted.

4 MR. WEITZMAN: Your Honor, I have a voir dire on the
5 instructions, on the financial instructions that she testified
6 to.

7 THE COURT: All right. Go ahead.

8 VOIR DIRE EXAMINATION

9 BY MR. WEITZMAN:

10 Q. On the instructions, like the instruction manual, are those
11 available online?

12 A. Yes, sir.

13 Q. And is there any system that the Senate ethics office
14 employs to ensure or track who gets -- who's reviewed those?

15 A. No, sir.

16 MR. RICHENTHAL: Your Honor, this is cross.

17 THE COURT: It is, just as it was before. That's
18 correct. It's cross. It's not voir dire.

19 MR. RICHENTHAL: May I proceed?

20 MR. WEITZMAN: One more question.

21 THE COURT: Go ahead.

22 BY MR. WEITZMAN:

23 Q. Do you email the instructions to every senator?

24 MR. RICHENTHAL: Same objection.

25 THE COURT: I'll allow that.

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1 A. We do not.

2 MR. WEITZMAN: No objection, your Honor.

3 THE COURT: All right.

4 (Government Exhibits 10E-1 through 10E-12 received in
5 evidence)

6 MR. RICHENTHAL: May I proceed, your Honor?

7 THE COURT: Yes. Mr. Weitzman has had part of his
8 cross.

9 Proceed.

10 MR. RICHENTHAL: And just for clarity of the record,
11 your Honor, I think you admitted these exhibits. Is that
12 correct?

13 THE COURT: I am admitting them, yes.

14 MR. RICHENTHAL: Now, let's start with 10E-2.
15 Ms. Wechsler, could we put that on everyone's screen, please.

16 Q. For what year is this disclosure, Ms. Kopplin?

17 A. 2018.

18 Q. And just to orient ourselves, because the first one's on
19 the screen, where are you looking to answer that question?

20 A. In the upper left-hand corner.

21 Q. For whom is this disclosure?

22 A. Senator Menendez.

23 Q. And again, just to orient, where are you looking to answer
24 that question?

25 A. The upper left-hand corner of the first page of this form.

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Kopplin - Direct

1 Q. And do you see beneath what's highlighted now, it says
2 filed 5/14, 2019, with the "at" symbol and 4:49 p.m.? Do you
3 see that?

4 A. Yes, sir.

5 Q. What is that?

6 A. That is the day and time that Senator Menendez filed this
7 report.

8 Q. You said filed. Do you mean electronically or in hard
9 copy?

10 A. Electronically.

11 Q. Now, do you see beneath that where it says, the following
12 statements were checked before filing?

13 A. Yes.

14 Q. Now, the two statements beneath that, they have little
15 boxes with check marks. Is that right?

16 A. That's correct.

17 Q. They're also slightly colored or gray?

18 A. Yes.

19 Q. What does that mean?

20 A. It means that the filer -- in this case, Senator
21 Menendez -- checked those boxes before hitting the submit
22 button.

23 Q. Now, could you read the first statement, beginning I
24 certify?

25 A. "I certify that the statements I have made on this form are

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1 true, complete and correct to the best of my knowledge and
2 belief."

3 Q. Could you now read the second statement, beginning I
4 understand?

5 A. "I understand that reports cannot be edited once filed. To
6 make corrections, I will submit an electronic amendment to this
7 report."

8 Q. Now, the reference here to amendment, is that the process
9 you testified about a few minutes ago, about amending a
10 disclosure once filed?

11 A. Yes, sir.

12 Q. Now, beneath these two sentences, do you see another
13 sentence beneath the line?

14 A. I do.

15 Q. Now, do you see the box to its left?

16 A. Yes.

17 Q. There's no check mark, and it's white. Do you see that?

18 A. I do.

19 Q. What does that mean?

20 A. It means that box was not checked by the filer.

21 Q. Now, I'm going to go through a few of these documents one
22 at a time, but since this is the first, in general, is the
23 format and organization of this disclosure form consistent or
24 inconsistent with how disclosure forms looked between at least
25 2017 and 2023?

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1 A. It is consistent.

2 Q. Let's now look down at part 2. It says, earned income in
3 bold. Then it says, did you or your spouse have reportable
4 earned income or noninvestment income? Do you see that?

5 A. I do.

6 Q. And to the right, also in bold, it says no. Do you see
7 that?

8 A. I do.

9 Q. Now, let's just back up for one moment.

10 What does the word "reportable" mean here?

11 A. Reportable means you are required to list it on this public
12 report.

13 Q. Now, if someone wanted to know what reportable meant, where
14 would the person look?

15 A. Instructions, or they would call the committee staff.

16 Q. Now, the sentence itself -- that is, the "did you" -- it
17 doesn't appear to be bold. Do you see that?

18 A. I do.

19 Q. But the word "no" to the right is bold. Do you see that?

20 A. I do.

21 Q. Could you explain the distinction between the sentence and
22 the word to the right?

23 A. Yes. So, what you're looking at here is the printable
24 version of the report that was filed. When the filer -- in
25 this case, Senator Menendez -- when the filer was actually

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1 inputting this into the system, there was a dropdown menu where
2 you could choose yes or no. Whatever was selected by Senator
3 Menendez as the filer is what appears in bold. So the question
4 is the, did you have reportable earned income? The answer
5 selected by the filer is in bold -- in this case, no.

6 Q. In case someone doesn't know, in this context, what's a
7 dropdown menu?

8 A. It's where you're going through an online form and you
9 click on a box and it already has options there for you, and
10 you just click on the option you want rather than typing it in
11 yourself.

12 Q. So one option is no?

13 A. Correct.

14 Q. Is there an option yes?

15 A. There is.

16 Q. Now, you said that the word "reportable" refers to the
17 instructions. Is that correct?

18 A. That's correct.

19 MR. RICHENTHAL: Ms. Wechsler, can you put on --

20 THE COURT: Do you mean that -- and I don't want to
21 put words in your mouth. Are you saying that the word
22 "reportable" is defined in the instructions?

23 THE WITNESS: Yes, your Honor.

24 THE COURT: All right.

25 MR. RICHENTHAL: Ms. Wechsler, can you now put on the

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1 screen -- it's in evidence; you can put it on everyone's
2 screen -- 10E-12, please.

3 Q. Ms. Kopplin, what is this document?

4 A. That is a -- the title page of the financial disclosure
5 instructions for calendar year 2018 from our office.

6 Q. Now, is that for the same year for the disclosure form that
7 I just showed you?

8 A. It is.

9 Q. Could I direct your attention to the lower left, please?

10 A. Yes.

11 Q. Do you see where it says, issued March 2019?

12 A. Yes.

13 Q. How does that relate to the date of the disclosure itself?

14 A. So, in March of 2019, as I said, the committee approved
15 this set of instructions, meaning the chairman and the vice
16 chairman, two senators, read through these instructions,
17 approved them, and then issued means they approved them. And
18 at that point we put them out both electronically and in hard
19 copy for people to be able to file their reports. So again,
20 you're filing a report in May of 2019 that covers calendar year
21 January 1 to December 31, 2018, just like income taxes.

22 THE COURT: Just a moment.

23 Yes. Proceed.

24 BY MR. RICHENTHAL:

25 Q. So the instructions come out approximately March of each

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1 year?

2 A. Correct.

3 Q. And the disclosure is due in approximately May of each
4 year?

5 A. Correct.

6 Q. It's a roughly two-month period in between?

7 A. Yes.

8 MR. RICHENTHAL: Ms. Wechsler, could we go to page 2.

9 Q. What is this, Ms. Kopplin?

10 A. That's the table of contents for the financial disclosure
11 instructions we were discussing.12 Q. Now, we'll go through, or could go through, more than one
13 of these, but in general, are the instructions that we're
14 seeing on the screen formatted and with the table of contents
15 in a way that's consistent or inconsistent with what you're
16 looking at between at least 2017 and 2023?

17 A. They are consistent.

18 MR. RICHENTHAL: If I could now ask Ms. Wechsler to go
19 to page 3, please.

20 Q. Is this another page of the table of contents?

21 A. Yes, sir.

22 Q. Now, a few minutes ago I asked you about part 2 of the
23 disclosure form regarding income. Do you recall that?

24 A. I do.

25 Q. Is there a matching reference here in the table of

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1 contents?

2 A. There is.

3 Q. Could you just describe it, please?

4 A. So, part 2, the header, reads earned and noninvestment
5 income, with a citation to the page, and then three subheadings
6 under that -- general instructions, ensuring consistency
7 throughout your report and outside earned income limit.

8 MR. RICHENTHAL: Ms. Wechsler, are you able to put
9 back on the screen 10E-5 while keeping this table of contents
10 on the screen?

11 Q. Are those both on your screen, Ms. Kopplin?

12 A. They are.

13 Q. Now the table of contents says, part 2, earned and
14 noninvestment income. Do you see that?

15 A. I do.

16 Q. And the form says, part 2, earned and noninvestment income.
17 Do you see that?

18 A. I do.

19 Q. Do they match?

20 A. They do.

21 Q. Why?

22 A. They were designed to match.

23 Q. Why were they designed to match?

24 A. Well, we're actually trying to make it easier for the filer
25 to be able to comply with the law.

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1 MR. RICHENTHAL: Now let's go back to the table of
2 contents, 10E-12, for the record; I think we're on page 3.

3 Q. On what page does the section for earned and noninvestment
4 income begin, according to the table of contents?

5 A. 12.

6 MR. MONTELEONI: The page again, for the record, I'm
7 referring to 10E-12.

8 A. 12.

9 MR. RICHENTHAL: Could you go to that page,
10 Ms. Wechsler.

11 Q. Do you see now where it says, part 2, earned income and
12 noninvestment income?

13 A. I do.

14 Q. And again, is that the same title used in the table of
15 contents?

16 A. Yes, sir.

17 Q. And on the form?

18 A. Yes.

19 Q. All right. Do you see where it says general instructions?

20 A. Yes.

21 Q. Could you read -- actually, before we get there, let me
22 just pause for a moment.

23 Do you see beneath where it says earned income and
24 noninvestment income reporting individuals for this part? Do
25 you see that?

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1 A. I do.

2 Q. And then it says you and your spouse --

3 A. Yes.

4 Q. Do you see that?

5 In the context of a disclosure who is the you and who is
6 your spouse?

7 A. You refers to the filer, so in this context, Senator
8 Menendez, and your spouse includes the spouse of a required
9 filer.

10 Q. Now, does spouse mean legally married spouse, live-in
11 relationship, something else? In this context, what does it
12 mean?

13 A. We use the legally married definition.

14 Q. We're going to go through a few different sections, but are
15 some reporting obligations for the senator and his or her
16 spouse and some are just for the senator?

17 A. Yes.

18 Q. It varies by what part we're looking at?

19 A. Correct.

20 Q. And if one wanted to determine whether a given part was for
21 the senator or the senator and his or her spouse, where would
22 one look?

23 A. There's three locations you could look. The instructions
24 always reference it for each part. The form itself references
25 it for each part. And then the underlying federal law explains

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1 which part requires spouse reporting.

2 There are also some sections that require reporting for
3 dependent children.

4 Q. That was my next question.

5 In the context of those parts, what is a dependent child?

6 A. We define it as a child that you are claiming on your
7 income taxes. So they can be over the age of 18, as long as
8 you're financially responsible for them.

9 Q. Now, you said that one of the three places where one could
10 determine whether a reporting obligation includes one's spouse
11 is the form itself. Is that right?

12 A. Yes.

13 MR. RICHENTHAL: Ms. Wechsler, could we go back to
14 10E-5, also part 2, please. Could you highlight or just zoom
15 in on the part 2.

16 Q. Where in what's on your screen are you referring to when
17 you say it indicates on the form that, for example, this
18 particular obligation refers to both the individual and his or
19 her spouse?

20 A. So, the sentence immediately below the bolded part 2 reads,
21 did you or your spouse have reportable earned income?

22 MR. RICHENTHAL: You can take that back down. Thank
23 you, Ms. Wechsler.

24 Let's go back to the instruction. We're on page 12,
25 marked at the bottom, of 10E-12. Ms. Wechsler, could you put

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1 back up 10E-12, page 12, which I think is page 15 of the
2 document, indicated as page 12 at the bottom.

3 We may be having a technical issue, Ms. Kopplin.

4 All right. Page 12 of the document, which I think is
5 page 15 of the literal document.

6 Q. Is that back up on your screen? Ms. Kopplin, is that back
7 up on your screen?

8 A. It is.

9 Q. OK. And this is part 2, again, earned income and
10 noninvestment income?

11 A. Yes, sir.

12 Q. If I could now direct your attention to the general
13 instructions. Now, these are general instructions for what?

14 A. For completing part 2 of the financial disclosure form.

15 Q. Could you read the first sentence, please?

16 A. "Report the source, type, and actual amount of
17 noninvestment and earned income aggregating \$200 or more from
18 any one source."

19 Q. Just to pause for a second, for anyone who may not know,
20 what does aggregating mean?

21 A. All together.

22 Q. Could you read the next sentence, please?

23 A. "Include the name and location of each source of income."

24 Q. And the sentence after that?

25 A. "For your spouse, you are only required to report sources

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1 of noninvestment and earned income aggregating \$1,000 or more
2 from any one source."

3 Q. What does that mean?

4 A. So, from an income source for the filer, you have to report
5 the exact dollar amount of income you received. For your
6 spouse, you only need to report the source of the income if it
7 was greater than a thousand dollars.

8 So if my husband worked at the D.C. airport, it would say
9 D.C. airport, greater than \$1,000, but it wouldn't give his
10 exact salary amount.

11 Q. Do you see now a few paragraphs below it gives an example?

12 A. Yes.

13 Q. Is that an example of what you were just talking about with
14 respect to the spouse's income?

15 A. Yes.

16 MR. RICHENTHAL: Let's now look beneath this section
17 to part 3, if we could, Ms. Wechsler.

18 Q. And this refers to assets and unearned income sources. Do
19 you see that?

20 A. I do.

21 Q. Now, to whom is this obligation; that is, the reporting
22 individuals here are who?

23 A. So, this obligation refers to the filer, the filer's
24 spouse and any dependent children.

25 Q. And is this the reference or a reference to dependent

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1 children, as you gave a few minutes ago?

2 A. It is.

3 Q. Could you read the first sentence under general
4 instructions?

5 A. "Report any interest in property held in a trade or
6 business or for investment or the production of income."

7 Q. And could you now read the second sentence, please?

8 A. "You must provide a description of your, your spouse's and
9 your dependent children's assets and sources of unearned
10 income."

11 MR. RICHENTHAL: Now, let me just pause for a moment.

12 Q. Does the Senate Ethics Committee --

13 MR. RICHENTHAL: Well, actually, let's go back to
14 10E-5, if we could. And if we go to corresponding part, so
15 this would be part 3, assets. It begins on the bottom of the
16 first page and goes on to the second page. You can just leave
17 them both on the screen.

18 Thank you, Ms. Wechsler.

19 Q. Is this the matching part of the form itself?

20 A. It is. This form is for a different year than these
21 instructions cover, but they would be -- the instructions would
22 not have changed.

23 Q. That's all I'm asking, in general.

24 A. Yes.

25 Q. Now, just using this as an example, does the Senate Ethics

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1 Committee vet or verify the information that's put on a
2 financial disclosure form?

3 A. No. No. If we already are keeping a record of something,
4 like a gift that was approved by the ethics committee, then we
5 would check that. But we do not verify assets.

6 Q. Let me just give an example. So, here, it says Senate
7 federal credit union, lists some other information. Do you see
8 that?

9 A. I do.

10 Q. Does the Senate Ethics Committee ensure that what is
11 written there is accurate?

12 A. No.

13 Q. Does it ensure that what's written there is complete?

14 A. No.

15 Q. Now, is that true for all of the answers on the form?

16 A. Yes.

17 Q. In other words, if I were to ask you, for example, the same
18 question for part 2, income, would you have the same answer?

19 A. Yes.

20 Q. Who, if anyone, does the Senate Ethics Committee,
21 therefore, rely upon for the accuracy of the answers on the
22 form?

23 A. Each individual filer, and that's why you check the
24 certification box saying that the information is correct.

25 Q. Who, if anyone, does the Senate Ethics Committee rely upon

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1 for the completeness of what's on the form?

2 A. The individual filer.

3 Q. I'll go back to the forms in a moment, but earlier in your
4 testimony, you referenced the ability of people to ask
5 questions of the ethics committee?

6 A. Yes.

7 Q. Now, if the question includes a statement about what a
8 member does or does not have, what process, if any, does the
9 Senate Ethics Committee undertake to verify the accuracy of the
10 statement?

11 A. We don't. We accept the assertion of the person asking the
12 question.

13 Q. What process, if any, does the ethics committee undertake
14 to verify the completeness of the statement?

15 A. We don't. We rely on the assertion of the individual
16 filer.

17 Q. And again, before we go back to the forms for a second,
18 does the fact that something is on a form necessarily mean it
19 was lawful for the person to have the item?

20 A. No. And in fact, that statement is included in the
21 instructions.

22 Q. What statement, Ms. Kopplin?

23 A. That just because you've reported it on the form doesn't
24 mean it was acceptable to receive it or have it. Specifically,
25 it's in the gifts section.

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1 Q. When you say the gifts section, you're referring to the
2 gifts section of the instructions?

3 A. I am.

4 MR. RICHENTHAL: Now, if we could go back to 10E-5,
5 Ms. Wechsler, and if we could scroll down to part 5, please.

6 Q. So first, just as we scroll, are these other assets that
7 Mr. Menendez listed under part 3?

8 A. Yes, sir.

9 MR. RICHENTHAL: Please go back out, Ms. Wechsler. I
10 apologize. You can pause there. I'm just going to use one as
11 an example to orient ourselves.

12 Q. Do you see No. 2?

13 A. I do.

14 Q. M&T Bank?

15 A. Yes.

16 Q. Now, I'm going to call that the first column or column 1.
17 OK?

18 A. OK.

19 Q. Do you see column 2 says bank deposit?

20 A. Yes, sir.

21 Q. Column 3 says self?

22 A. Yes.

23 Q. Then there's a dollar range?

24 A. Yes.

25 Q. So just taking those three, who puts the information in

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1 this particular asset as a bank deposit?

2 A. The filer does.

3 Q. Who puts the information that as self?

4 A. The filer.

5 Q. Who puts the range in?

6 A. The filer.

7 Q. Is that true if I were to go through, which I won't, every
8 line here?

9 A. Yes.

10 MR. RICHENTHAL: Could we go back to part 5,
11 Ms. Wechsler. Let's stop there for a second.

12 Q. You referenced a minute or two gifts -- excuse me. You
13 referenced a minute or two ago gifts?

14 A. I did.

15 Q. Is this the gifts section of the report?

16 A. Yes, sir.

17 Q. Could you read what's written under gifts; that is, the
18 sentence beginning, did you?

19 A. "Did you, your spouse or dependent child receive any
20 reportable gift during the reporting period?" And then in bold
21 it says yes.

22 (Continued on next page)

23

24

25

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1 BY MR. RICHENTHAL: (Continuing)

2 Q. Now again, just using the line as an example, do you see
3 line 1 has a date?

4 A. Yes.

5 Q. And it says cell?

6 A. Yes.

7 Q. And there is more information to the right?

8 A. Yes.

9 Q. For each of those indications, what I will call columns
10 again, who puts that information in?

11 A. The filer.

12 MR. RICHENTHAL: Now let's go from the disclosure
13 document instructions, 10E-12, and if we can put up on the
14 screen page 3.

15 Q. I am going to ask you a couple questions about gifts. Are
16 gifts listed on the instructions?

17 A. Yes.

18 Q. That is what is on your screen right now?

19 A. Yes.

20 Q. What page does that indicate?

21 A. 21.

22 MR. RICHENTHAL: Can we go to page 21, Ms. Wechsler?

23 Q. Is the gift section now on your screen?

24 A. Yes.

25 Q. Now, do you see under part 5 gifts it again says:

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1 Reporting individuals for this part.

2 A. Yes.

3 Q. And after that it says: You, your spouse, and your
4 dependent children.

5 Do you see that?

6 A. Yes, sir.

7 Q. Now, beneath that do you see the sentence beginning: A
8 gift, with the word gift in quotation marks?

9 A. Yes.

10 Q. Could you read that, please?

11 A. "A gift means any payment forbearance, advance, rendering
12 or deposit of money, or anything of value, unless consideration
13 of equal or greater value is received by the donor."

14 Q. Would you read the next two sentences, please?

15 A. "The term 'gift' includes but is not limited to, tangible
16 items, gratuities, discounts, services, training,
17 transportation, lodging, meals, or entertainment. Generally,
18 this reporting requirement does not apply to gifts received by
19 your spouse or dependent children if the gift was given to him
20 or her totally independent of his or her relationship to you
and given without your knowledge or acquiescence."

22 Q. Now, do you see beneath that it says: General
23 Instructions?

24 A. Yes.

25 Q. Can you read the first sentence, please?

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1 A. "Report all gifts received by you, your spouse, or your
2 dependent children from any one source during the reporting
3 period that aggregated more than \$390 in value."

4 Q. And again, what does "aggregated" mean in the context of
5 the instructions?

6 A. All together.

7 MR. RICHENTHAL: Can we scroll down a bit,
8 Ms. Wechsler?

9 Q. Do you see the next section says: Exclusions?

10 A. Yes.

11 Q. We won't go through all of them but in the context of a
12 disclosure, what is an exclusion?

13 A. It is something that falls in the general category, so in
14 this case gifts, but is excluded from being reportable, meaning
15 if it meets one of these definitions it does not have to be
16 reported on the form.

17 Q. Now if I can direct your attention to the second line, do
18 you see where it says: Gifts received from relatives?
19 Relatives is in quotations.

20 A. Yes.

21 Q. Do you see now there is an open parentheses, it says (see
22 p. 11, supra)?

23 A. Yes.

24 Q. What does "supra" mean?

25 A. It is a lawyer word for above in the same document.

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1 Q. What is "p 11"?

2 A. Page 11.

3 Q. What is on page 11?

4 A. The definition of relatives.

5 MR. RICHENTHAL: Can we go there, Ms. Wechsler?

6 Q. Are you referring to the section that says relative?

7 A. Yes, I am.

8 MR. RICHENTHAL: We can go back out, Ms. Wechsler, and
9 go back to the page we were on, page 21. Now, I would like to
10 go back to the form itself, so 10E-5, we were on part 5, I
11 would like to now go to part 7, two or three pages.

12 Q. Is part 7 now on your screen Ms. Kopplin?

13 A. Yes.

14 Q. What is this part about?

15 A. Liabilities.

16 Q. And what is the first sentence under part 7, liabilities?

17 A. "Did you, your spouse, or dependent child have a reportable
18 liability worth more than \$10,000 at any time?" And the answer
19 on this form is "yes."

20 Q. So first, again, does this refer to just the member or the
21 member, spouse or dependent child?

22 A. It refers to the filer in this case, Senator Menendez, the
23 spouse, and any dependent child.

24 Q. Is the word "reportable" there again?

25 A. Yes.

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1 Q. And now, looking beneath those lines, did Senator Menendez
2 indicate he had such reportable liabilities?

3 A. He did.

4 Q. What did he indicate?

5 A. He said yes, that he had reportable liabilities.

6 Q. And again, column 2 is selected by the Ethics Committee or
7 selected by the filer?

8 A. By the filer.

9 Q. What about column 3, the type of liability?

10 A. It is selected by the filer.

11 Q. Now, did the instructions also have instructions this part,
12 part 7, Liabilities?

13 A. Yes.

14 MR. RICHENTHAL: If we can go back to instructions,
15 10E-12, page 3, table of contents?

16 Q. Do you see the reference to liabilities here?

17 A. I do.

18 Q. Starting on page 23?

19 A. Yes.

20 MR. RICHENTHAL: Ms. Wechsler, can we now go to
21 page 23?

22 Q. Do you see the general instructions here?

23 A. Yes.

24 Q. Can you read the first sentence, please?

25 A. "Reporting individuals for this part: You, your spouse,

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1 and your dependent children."

2 Q. Does that match the form?

3 A. It does.

4 Q. Could you read the first sentence under general
5 instructions?

6 A. "Identify and provide the category of amount for each
7 liability which you, your spouse, or your dependent child owed
8 to any creditor that exceeded \$10,000 at any time during the
9 reporting period, unless that liability is a revolving charge
10 account, for example, a credit card."

11 Q. What is a revolving charge account?

12 A. So it's a type of charge account that's -- it is usually a
13 credit card so you have a personal contract with a company to
14 be able to owe a certain amount of money for a given interest
15 rate.

16 Q. What makes it revolving? Can you explain what that word
17 means?

18 A. It changes.

19 Q. Now, if I could direct your attention to the third
20 paragraph, do you see a sentence that contains bolded words
21 that begins: For liabilities?

22 A. I do.

23 Q. Could you read that sentence, please?

24 A. "For liabilities other than revolving charge account,
25 report the highest amount owed during the reporting period, not

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1 the amount owed at the end of the period."

2 Q. What does that mean?

3 A. It means if you have a loan balance throughout the
4 reporting period, the calendar year, you report the highest
5 amount you owed during that calendar year and not when you paid
6 it down by December 31st of that calendar year.

7 Q. Would you read the next steps?

8 A. "If the liability was satisfied, i.e., repaid in full
9 during the reporting period, you may note that on the report,
10 if you wish."

11 Q. What does that mean?

12 A. So, if you had a loan and you repaid it during that
13 calendar year, you would still report the highest amount you
14 owed during the year but there is a way on the report you can
15 put a comment that anyone who looks at your report would see
16 that says you paid off the balance of your loan during the
17 year.

18 Q. Did you give an example of how this would work with respect
19 to, say, a loan for a car?

20 A. Sure.

21 So, assuming you have a loan of more than \$10,000 for
22 a car and you owed, say, \$15,000 in January and you had a car
23 payment of \$500 a month, then that loan would have just
24 decreased by -- and now I am making myself do public math --
25 \$6,000 by the end of the calendar year, you would report the

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\$15,000 loan amount and not at the end of the year. If you were lucky and you paid off that \$15,000 loan in the middle of the calendar year, you would still report the \$15,000, but then you could put a note in there that everyone would see that says I paid off my car loan in June of the year.

Q. In short, if you take on a liability you have to report the maximum amount?

A. Correct.

Q. Regardless of when it is paid back?

A. Correct.

Q. Or how much is paid back?

A. Correct.

THE COURT: The maximum amount during that calendar year for which the filing is made?

THE WITNESS: Yes, sir.

MR. RICHENTHAL: You can scroll down again, Ms. Wechsler, to the next page.

Q. This is page 24. Do you see exclusions here?

A. I do.

Q. Do you see the first exclusion named: Personal Liability?

A. Yes.

Q. Can you read that, please?

A. "A personal liability owed to a spouse or dependent child, or to a parent, brother, sister, or child of you, your spouse, or your dependent children."

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1 Q. What does that mean?

2 A. It means that if you are giving or receiving a loan between
3 your family members, you do not have to report that on your
4 form.

5 Q. So just to use the same example of car loan, what car loan
6 would not have to be reported to fall within this exclusion?

7 A. So not hypothetically, if I gave my son a loan to buy a
8 car, I do not have to report that as a filer because he is my
9 son.

10 Q. Now what if the loan was not between family members?

11 A. Then it has to be reported.

12 MR. RICHENTHAL: Now, if we can scroll down a little
13 further, please?

14 Q. Do you see where it says: Exemption test?

15 A. Yes, sir.

16 Q. What is the exemption test?

17 A. The exemption test is explained in the underlying federal
18 law here and it gives three parts that if you fulfill three
19 parts of this test for an asset or liability for your spouse or
20 dependent child, you do not have to report it. It is
21 exceedingly rare that anyone meets this exemption test for
22 asset or liability.

23 Q. Now, if you see that it says after that: See p 10 supra?

24 A. Yes.

25 Q. Does that mean on page 10 the exemption test is defined?

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1 A. Is explained, yes.

2 Q. Can you read the next sentence beginning: If you omit?

3 A. If you omit any such liabilities, you must indicate the
4 omission by checking the appropriate box on the review and file
5 report section of your eFD report.

6 Q. What does that mean?

7 A. So earlier I discussed at those three boxes at the first
8 page of every report, that third box is what this refers to,
9 you would have to check that box.

10 Q. In the disclosure forms that have now been introduced into
11 evidence, did Senator Menendez ever check that box?

12 A. No, sir.

13 Q. Can you read the next sentence, please: You must contact?

14 A. You must contact the committee prior to checking the box
15 and filing your report.

16 Q. Why is that?

17 A. Because it's extraordinarily rare that someone can meet
18 this exemption test and so there would be a problem with your
19 report if you tried to assert that you were entitled to that
20 exemption and didn't have to report something when in fact you
21 did.

22 Q. How often has the ethics committee approved this exemption
23 between, say, 2018 and 2023?

24 A. Never.

25 Q. How often in the past 10 years, so 2014 to 2024 --

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1 A. Never.

2 Q. -- has the ethics committee -- let me just complete the
3 question.

4 A. Sorry.

5 Q. My apologies.

6 How often in the past 10 years, so from 2014 to 2024, has
7 the ethics committee approved this exemption?

8 A. Between 2014 and present the ethics committee has never
9 approved the use of this exemption.

10 MR. RICHENTHAL: Let's back out, Ms. Wechsler. Can we
11 go to the next disclosure form, the next numbered exhibit --
12 excuse me next disclosure form, 10E-3?

13 Q. For what year is this disclosure, Ms. Kopplin?

14 A. 2019.

15 Q. And when was it filed?

16 A. It was filed on August 5, 2020, at 12:56 p.m.

17 Q. Now, if this is for 2019, what calendar year does it cover?

18 A. So it's for 2019, January 1 through December 31, 2019.

19 MR. RICHENTHAL: Can we go to part 5, please? Just
20 because -- there. Thank you, Ms. Wechsler.

21 Q. Did Mr. Menendez report any gifts for calendar year 2019?

22 A. He did not.

23 MR. RICHENTHAL: Can we go to part 7?

24 Q. Did he report any liabilities for 2019?

25 A. He did.

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1 Q. How many?

2 A. One.

3 Q. For himself or his spouse?

4 A. For himself. He was not married in 2019.

5 MR. RICHENTHAL: Now let's go to the next year, 2020,
6 10E-5.

7 Q. Is this the disclosure for calendar year 2020?

8 A. Yes, it is.

9 Q. Filed in 2021?

10 A. Correct.

11 Q. And when was this one filed?

12 A. It was filed on May 17, 2021, which was the appropriate
13 filing deadline that year because the 15th fell on a weekend.

14 MR. RICHENTHAL: Can we go down to part 5 again?

15 Q. Is this the gifts part?

16 A. Yes, sir.

17 Q. Now, did Senator Menendez report any gifts?

18 A. He did.

19 Q. Are you saying that because of the "yes" following the
20 sentence?

21 A. Yes.

22 Q. Now, beneath that are the gifts he reported?

23 A. Yes.

24 Q. So lines 1 through 11?

25 A. Yes.

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1 Q. Under the gift line, do you see where it says "checked per"
2 and then it continues for each of these lines?

3 A. Yes.

4 Q. Do each of these refer to a wedding?

5 A. Yes.

6 Q. Can we go to the next page, page 4 of the form, there is
7 two more gifts that are listed on the form?

8 A. Yes.

9 Q. Does the final one, which is line 12, also refer to a
10 wedding?

11 A. Yes, it does.

12 Q. For this year did all the gifts refer to a wedding?

13 A. They did.

14 Q. Let's look now at liabilities, part 7 up on your screen.
15 Do you see that?

16 A. I do.

17 Q. Now, I think I showed this before but just to pause, are
18 any liabilities listed for this year?

19 A. Yes.

20 Q. Are they for Senator Menendez or his spouse?

21 A. For his spouse.

22 Q. What liabilities are listed for his spouse in part 7 for
23 calendar year 2020?

24 A. Two mortgages for his spouse.

25 Q. Now, do you see where it says: Creditor?

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1 A. I do.

2 Q. What is a creditor?

3 A. The person or the organization you owe the money to.

4 Q. Are any individual people listed here?

5 A. Yes.

6 Q. I need to be more precise. Under creditor, do you see any
7 individual human names?

8 A. I do.

9 Q. Where?

10 A. In the first line.

11 Q. Where does it say that?

12 A. Under creditor it says Mr. Cooper of Cleveland, Ohio.

13 Q. Are you familiar with whether Mr. Cooper is a person or a
14 business?

15 A. I am not, actually.

16 Q. And do you see beneath that it says: Select Portfolio
17 Servicing?

18 A. Yes.

19 Q. Now other than those two creditors, are any other creditors
20 listed under "Liabilities" for 2020?

21 A. No.

22 MR. RICHENTHAL: Can we go back up to gifts for a
23 second?

24 Q. Now, do you see that lines 1 through 12, which I think you
25 said reference a wedding, each of them refers to a Senate

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1 ethics approval?

2 A. Yes.

3 Q. Do you see that?

4 A. Yes, sir.

5 Q. And they also contain the word "waiver." Do you see that?

6 A. I do, sir.

7 Q. What does that mean?

8 A. So, when senators or Senate staff get married, there is a
9 process where we approve all the gifts under a general waiver
10 if they were given because of your wedding. Otherwise, it
11 would be somewhat overwhelming. So it is a requirement that a
12 senator or Senate staff report a gift valued more than \$250
13 that is not received from a relative. So, if you can imagine a
14 large wedding, you know, there would be dozens, if not a
15 hundred and in some of these instances so we give a general
16 wedding waiver that any gift given to you at your wedding is
17 going to fall under this exception and this is how we tell
18 people to report it.

19 Q. Now, you if look back at the first column you see it says:

20 Date?

21 A. I do.

22 Q. It says October 3, 2021?

23 A. I do.

24 MR. RICHENTHAL: Can we go back to the beginning of
25 the form, please?

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1 Q. Now this was filed on May 17, 2021?

2 A. It was.

3 MR. RICHENTHAL: Can we go back now to the gifts line?

4 Q. October 3, 2021 is after May 17, 2021 -- excuse me.

5 October 3, 2021 is after May 17, 2021?

6 A. Yes.

7 Q. What is your understanding of what the date in the first
8 column here is?

9 A. It is a scribner's error, it should read October 3, 2020,
10 which we know is the date of Senator Menendez' wedding.

11 MR. RICHENTHAL: Now if we can go back to part 7?

12 THE COURT: Are you ending with the wedding waiver?

13 MR. RICHENTHAL: I was going to shift topics in a
14 moment.

15 THE COURT: Let me ask, go to the wedding waiver. I'm
16 not quite sure I understood what you said when you said it
17 would be overwhelming. What did you mean?

18 THE WITNESS: Well, there is almost 2,000 Senate
19 staff, many of them are quite young, and so we have dozens of
20 weddings happening at any one time. To approve each gift from
21 a non-relative over \$250 for all of those Senate staffers when
22 we know the context of receiving a gift at a wedding usually
23 does not have a conflict of interest, we give a blanket waiver
24 for those rather than processing them each individually.

25 THE COURT: What does the waiver do?

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Kopplin - Direct

1 THE WITNESS: The waiver allows you to just say you
2 are having a wedding and you are going to receive gifts at the
3 wedding without asking each individual gift be approved
4 separately.

5 THE COURT: Does each individual gift over a certain
6 amount have to be listed?

7 THE WITNESS: Yes, sir.

8 THE COURT: What is the amount?

9 THE WITNESS: It varies by year. In 2020, the dollar
10 amount was any gift received over \$415.

11 THE COURT: So if I understand you, and again I am not
12 trying to put words in your mouth, the wedding waiver just
13 means that the filer does not have to seek approval of the gift
14 but the filer still must -- is required to list the gift?

15 THE WITNESS: That's correct, your Honor.

16 THE COURT: Now I understand. Thank you.

17 BY MR. RICHENTHAL:

18 Q. In other words, it is not a disclosure waiver is that
19 right?

20 A. That's correct.

21 Q. If I could direct your attention now to line 9, and by line
22 9 I mean part 5, Gifts. Who gave this gift, according to this
23 disclosure?

24 A. Philip and Barbara Sellinger of Morristown, New Jersey.

25 Q. And now line 11?

06R5men2

Kopplin - Direct

1 A. That gift was given by Donald Scarinci, if I am pronouncing
2 that correctly, of Allendale, New Jersey.

3 MR. RICHENTHAL: We can go back out.

4 Q. Now each of these lines refers to a waiver. Is that all
5 the same waiver, that is, the wedding waiver that you mentioned
6 a few minutes ago?

7 A. Yes.

8 Q. Between 2018 and 2023 did Senator Menendez receive any
9 other waivers?

10 A. No.

11 MR. RICHENTHAL: We can go back out.

12 Q. Now, this form is for calendar year 2020; is that right?

13 A. That's correct.

14 Q. Did there come a time when the disclosure for Senator
15 Menendez for calendar year 2020 was amended?

16 A. Yes, sir.

17 Q. And by amended I mean revised?

18 A. Correct.

19 Q. How did that come about?

20 A. Senator Menendez called me and asked if he needed to amend
21 his 2020 report.

22 Q. Let me just pause for a second. Approximately when did he
23 call you?

24 A. February of 2022, so this was in the context of him
25 preparing his report covering calendar year 2021.

06R5men2

Kopplin - Direct

1 Q. Prior to receiving a call from Senator Menendez, did you
2 receive a call from anyone else advising you you were going to
3 receive a call from Senator Menendez?

4 A. I did.

5 Q. From whom?

6 A. From the chief of staff for the chairman of the Ethics
7 Committee.

8 Q. Just take that one at a time. In the context of the
9 Senate, what is a chief of staff?

10 A. So the chief of staff -- it is kind of what it sounds like,
11 it is your primary executive assistant that is running the
12 day-to-day operations of your office and it is your principal
13 advisor.

14 Q. Now, without naming the other senator, what did this chief
15 of staff tell you in telling you to expect a call from Senator
16 Menendez?

17 A. That Senator Menendez had spoken to the other senator and
18 asked if he needed to amend a report. The senator -- my
19 boss -- had advised him to contact me directly.

20 Q. I would like to pause for a second. You said the senator,
21 my boss. Without naming the person, why are you referring to
22 that senator as your boss?

23 A. Because he is the chairman of the ethics committee.

24 Q. Just to be clear, Ms. Kopplin, when you say chairman of the
25 ethics committee, you are referring to at this time in February

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Kopplin - Direct

1 of 2022?

2 A. Correct.

3 THE COURT: And it was a senator I take it?

4 THE WITNESS: Yes, sir.

5 BY MR. RICHENTHAL:

6 Q. Now, what did you understand the call you were going to
7 receive from Senator Menendez to be about?

8 A. A financial disclosure question.

9 Q. A financial disclosure question concerning what?

10 A. Whether he had to amend a prior report.

11 Q. With respect to a particular type of item?

12 A. To an asset.

13 Q. What type of asset?

14 A. At the time I was told it was gold bullion.

15 Q. Did you in fact get a call from Senator Menendez?

16 A. I did.

17 Q. The same day or a different day?

18 A. It was within an hour.

19 Q. I'm sorry. Within an hour of what?

20 A. Of receiving the first phone call from the chief of staff.

21 Q. What did you understand Senator Menendez, based on your
22 conversation with the chief of staff, to want to know from you?23 A. Senator Menendez told me that his spouse had an asset, gold
24 bullion, and he wanted to know what the reporting requirement
25 was for it.

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Kopplin - Direct

1 Q. Now, just to pause for a second. From your conversation
2 with Senator Menendez, did you understand this was an asset he
3 had known of for some time or an asset he had only recently
4 learned of?

5 A. My impression was that he had recently learned of it.

6 Q. Now, that is your impression from the conversation with
7 Senator Menendez; is that right?

8 A. That's correct.

9 Q. Did you have the same understanding from your conversation
10 with the chief of staff?

11 A. Yes.

12 Q. Now, did Senator Menendez provide any documentation that he
13 had only recently learned of this asset?

14 A. No.

15 Q. Did the chief of staff provide any documentation that
16 Senator Menendez had only recently learned of this asset?

17 A. No.

18 Q. Do you have any reason to know at the time one way or
19 another whether he had only recently learned of this asset?

20 A. No.

21 Q. Did you make any attempt to verify the time whether he had
22 only recently learned of this asset?

23 A. No.

24 Q. Why not?

25 A. Not my job. I mean, I'm relying on the assertion of the

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Kopplin - Direct

1 information I'm getting from the filer.

2 Q. Now, in this conversation, in February --

3 THE COURT: If I understand you correctly, you
4 never -- no. Withdrawn.

5 Proceed.

6 Q. In this conversation in February of 2022, in response to
7 Senator Menendez telling you about this asset, what, if
8 anything, did you say to him?

9 A. I advised him that he did need to amend his 2020 financial
10 disclosure report.

11 Q. Why is that?

12 A. Because the information I received from Senator Menendez
13 was that his spouse had owned the gold bullion during their --
14 she entered the marriage with the gold bullion, so between
15 October 3, 2020 and December 31st, 2020 she owned it, which
16 means it had to be reported on that 2020 report.

17 Q. Now, when you say she owned it, do you have personal
18 knowledge of that or are you conveying what Senator Menendez
19 conveyed to you?

20 A. I am conveying what Senator Menendez explained to me, or at
21 least my understanding of it.

22 Q. In words or substance?

23 A. Yes.

24 Q. Do you recall the exact words, by the way, that he used?

25 A. No.

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Kopplin - Direct

1 Q. Now, in the same conversation, what else, if anything, came
2 up?

3 A. We discussed his wife's LLC and what the reporting
4 requirement would be for that, and that was for the report he
5 was preparing currently for calendar year 2021.

6 Q. So let's take that one at a time. So the asset was about
7 calendar year 2020; is that right?

8 A. That's correct.

9 Q. And your understanding was that that was because he had
10 been married in calendar year 2020?

11 A. Yes.

12 Q. Now, the LLC was about calendar year 2021; is that right?

13 A. That's correct.

14 Q. And your understanding was that he was asking you about the
15 LLC as well?

16 A. Yes.

17 Q. Now let's just go with this for a moment. So first --
18 everyone may not know -- what is an LLC?

19 A. A limited liability corporation.

20 Q. And what, specifically, do you recall Senator Menendez
21 asking you about an LLC of his wife?

22 A. So his wife had a limited liability corporation, it was a
23 consulting business, and he needed to know how to properly
24 disclose that on his report; which part to put it in and what
25 information was required.

06R5men2

Kopplin - Direct

1 Q. I think you said it was a consulting business?

2 A. Yes.

3 Q. And again, Ms. Kopplin, do you have personal knowledge
4 whether it was a consulting business or are you saying what he
5 said to you?

6 A. I am explaining what Senator Menendez explained to me.

7 Q. What, if anything, did he tell you about the consulting
8 business?

9 A. So I asked him a series of questions that I would ask
10 anyone asking about how to report an LLC, which is does the LLC
11 own any -- do they have an office building, do they own
12 equipment, are there employees, are there invoices, that sort
13 of thing, to which he answered "no" to all of those questions,
14 that this was -- she had no employee, she had no office
15 building, and was working out of their home. And that was how
16 I was able to give him advice on how to disclose it properly.

17 Q. Do you recall him saying who else, if anyone, did work for
18 this company?

19 A. I recall him saying she had no employees or independent
20 contractors.

21 Q. And I think you said you recall him saying that she worked
22 out of the home; is that right?

23 A. Yes.

24 Q. Do you recall him referencing where in the home?

25 A. Yes. I asked him the question of is there an office lease

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Kopplin - Direct

1 or an office building owned, and his response was no, no, this
2 is something that she does out of our dining room.

3 Q. Now, after this conversation, did you provide Senator
4 Menendez with any written advice?

5 A. I did.

6 Q. Was it consistent or inconsistent with what you told him on
7 the phone?

8 A. It was consistent.

9 MR. RICHENTHAL: Ms. Wechsler, would you now put on
10 the screen what's been marked Government Exhibit 8A-1.

11 Q. Do you recognize this, Ms. Kopplin?

12 A. I do.

13 Q. What is it?

14 A. It is an e-mail exchange I had with Senator Menendez.

15 Q. Is this an e-mail exchange on one or more topics you just
16 testified about with your conversation with him in February of
17 2022?

18 A. Yes, sir.

19 MR. RICHENTHAL: The government offers 8A-1.

20 THE COURT: Admitted, without objection.

21 (Government's Exhibit 8A-1 received in evidence)

22 MR. RICHENTHAL: Can you put that on everyone's
23 screen, Ms. Wechsler?

24 BY MR. RICHENTHAL:

25 Q. Now let me just start with the bottom here. Do you see on

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Kopplin - Direct

1 page 1, February 17, 2022, 4:53 p.m., you wrote an e-mail to
2 Senator Menendez?

3 A. I do.

4 Q. Now is that date, February 17, 2022, to the best of your
5 memory, the date either on or about Senator Menendez and you
6 had the conversation on the phone that you just testified
7 about?

8 A. That was almost immediately following my discussion with
9 Senator Menendez on the phone.

10 Q. Now you see you wrote: Senator, thank you again for
11 contacting the committee. Do you see that?

12 A. I do.

13 Q. Now you referenced, "based on our discussion." Is that the
14 phone discussion?

15 A. Yes.

16 Q. Then you wrote: I advise you amend your CY 2020 financial
17 disclosure report to include your spouse's gold bars in part 3
18 as an asset.

19 Do you see that?

20 A. I do.

21 Q. Let me just pause there for a second. What is CY?

22 A. Calendar year.

23 Q. And the reference to spouse's gold bars, that is a
24 reference to what?

25 A. The conversation I had with Senator Menendez.

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Kopplin - Direct

1 Q. Now again, Ms. Kopplin, did you know at the time, one way
2 or another, whether the gold bars were in fact of his spouse?

3 A. No.

4 Q. Now below that there is a bolded line, it says: Steps to
5 complete the amendment. What is that referring to?

6 A. I listed the very specific practical steps that Senator
7 Menendez would have to go through in our electronic platform to
8 be able to complete this amendment.

9 Q. Do you see the reference under part 1 "eFD"?

10 A. Yes, sir.

11 Q. What is eFD?

12 A. Electronic Financial Disclosure. That's the name of our
13 platform.

14 Q. Now, you see next to it it says "HERE"?

15 A. Yes, sir.

16 Q. It is capitalized?

17 A. Yes.

18 Q. Appears to be underlined?

19 A. Yes.

20 Q. What was that?

21 A. It was a hyperlink to eFD, so all he would have to do is
22 click on it and get to the eFD system. I was trying to make it
23 easy for the senator.

24 Q. Now, is this the same system that references instructions
25 when someone logs in?

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Kopplin - Direct

1 A. Yes.

2 MR. RICHENTHAL: If we can go down, please, to the
3 next page, Ms. Wechsler? Stop there.

4 Q. Now, do you see the reference in line 4 to assets quote?

5 A. Yes.

6 Q. Assets are part 3 of your report?

7 A. Yes.

8 Q. What are you explaining to Senator Menendez about how to
9 list the gold?10 A. These are very practical, step-by-step directions on what
11 to click on within the eFD system to be able to amend the
12 report, specifically to add the additional asset that had not
13 been previously disclosed.14 Q. Beneath that line 5 you wrote: I recommend you include the
15 following information.

16 Do you see that?

17 A. I do.

18 Q. And the second line is gold bullion, the third line is gold
19 bars?

20 A. Yes.

21 Q. How, if at all, did you know that the gold was in the form
22 of bars?

23 A. Senator Menendez told me.

24 Q. Now, do you see the next line: Owner of: Spouse. How, if
25 at all, did you understand that the gold belonged to his

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Kopplin - Direct

1 spouse?

2 A. That's what Senator Menendez told me.

3 Q. The next line: Value. Do you see where it says report the
4 combined value of the gold bars by selecting the appropriate
5 category?

6 A. Yes.

7 Q. What does that refer to?

8 A. So, the assets are only required to be reported in value
9 ranges. Again, it is a dropdown menu where you have choices of
10 what range your assets fall in, so specifically here my advice
11 was you don't report the gold bars individually. If you have
12 six it is the total value of all of the gold bars that you own,
13 just pick from the dropdown menu what the value category should
14 be.

15 Q. Now, in the answer you just gave you reference "six." Did
16 Senator Menendez tell you how many gold bars he was talking
17 about?

18 A. No. That was just my example.

19 Q. Now, do you see the last bullet after 5 -- excuse me, under
20 5, it says there is an option at a public comment to explain
21 the asset if that is helpful to you.

22 A. Yes.

23 Q. What do you mean by that? Excuse me, what did you mean by
24 that?

25 A. So, there is an option for everything on the report to add

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Kopplin - Direct

1 what we call a public comment, which is room to explain how you
2 got the asset, anything about the asset. So when we talked
3 about the car loan before you could say I paid off this loan in
4 the middle of the calendar year. Sometimes when an asset is
5 inherited, people want to explain that they inherited it within
6 the calendar year. So that was an option to provide additional
7 explanation if the senator wanted to. It is absolutely not
8 required.

9 Q. Now, going from that option up to two more lines in the
10 bullet list, can you see the line: Income type and income
11 amount?

12 A. Yes.

13 Q. What did you mean by specify the types of income; assuming
14 no income, select none.

15 A. So, any asset that you hold for investment, some of them
16 have income, capital gains income, and some of them you are not
17 receiving any income. So, for instance, an asset held in an
18 IRA, if you are not taking a distribution from your IRA your
19 income would be none.

20 Q. Why did you include the line about income type and income
21 amount in this e-mail to Senator Menendez?

22 A. Because this was an asset that I assumed they were going to
23 hold for some period of time and I was trying to provide
24 comprehensive advice on what he was going to need to do with
25 the asset reporting going forward.

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Kopplin - Direct

1 Q. Now, if you can look at the next line, the next paragraph,
2 6, do you see where you wrote: If you would like us to review
3 your report before submitting for accuracy, please click the
4 box.

5 And then you explain how to do that. Why did you
6 write that?

7 A. There is an option in the system where you can allow the
8 staff to review your report confidentially before you hit
9 submit. For a senator, as soon as they hit the submit button
10 it becomes publicly available, and so many of them would prefer
11 that we look at it and make any corrections that would be
12 helpful or any additional recommendations before they make it a
13 public record. It avoids having to have a second amended, in
14 some instances.

15 Q. In the context of what you are talking about when you say
16 corrections, do you mean information the ethics committee has
17 itself or information provided to the ethics committee by the
18 senator?

19 A. Could be either.

20 Q. And if it is information the ethics committee has itself,
21 what type of information would that be?

22 A. So a great example is if we have record that a senator had
23 a book contract approved during the calendar year but I'm not
24 seeing the book contract reported within the draft report, we
25 are going to reach out to them and ask why it wasn't reported;

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Kopplin - Direct

1 was it an administrative record? Or is the contract now no
2 longer in existence?

3 Q. You referred to a record. Did the Senate ethics committee
4 have a record of Senator Menendez or his spouse having gold
5 bars or gold bullion?

6 A. No.

7 Q. You said this conversation took place in February 2022; is
8 that right?

9 A. That's correct.

10 Q. And you understood from the conversation he had recently
11 learned of the gold bars or gold bullion; is that right?

12 A. That's my understanding.

13 Q. What is the relationship between when a member of Congress
14 learns of an asset and the obligation to disclose the asset?

15 A. So if it is -- if the asset should have been reported in a
16 prior year then it's as soon as possible you need to amend your
17 report to make that asset reported publicly.

18 Q. Now, this conversation took place in 2022; is that right?

19 A. Correct.

20 Q. What year did you understand Senator Menendez to be saying
21 his spouse had come into possession of this asset?

22 A. I don't know when she came into possession of the asset but
23 they were married and therefore he had a reporting obligation
24 as of October of 2020.

25 Q. In other words, he marries his spouse in October 2020.

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Kopplin - Direct

1 A. That's correct.

2 Q. But in February 2022 he is indicating he recently learned
3 of asset of the spouse?

4 A. That was my impression.

5 THE COURT: Mr. Richenthal, why don't you find a
6 logical time to break.

7 MR. RICHENTHAL: If I could have a couple more
8 minutes, your Honor?

9 THE COURT: Please.

10 MR. RICHENTHAL: Can we scroll down, Ms. Wechsler?

11 Q. Paragraph 7, I want to ask you about this final section of
12 the e-mail. Now, this section you entitled Future Reporting.
13 Do you see that?

14 A. I do.

15 Q. Now, the reference here begins for CY 2021, that is,
16 calendar year 2021?

17 A. Yes.

18 Q. You wrote for your CY 2021 report (due May 16, 2022), it
19 looks like there is an extra 2 --

20 A. Sorry.

21 Q. No apology needed -- you will list the asset exactly as you
22 amended it for your CY 2020 report?

23 A. Correct.

24 Q. What does that mean?

25 A. That means that there were no changes so however he was

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Kopplin - Direct

1 going to report it, specifically the asset type, the value
2 category, that would be the same in the 2021 report that he was
3 working on at the time he called.

4 Q. Now when you say there were no changes, did you have
5 personal knowledge of changes or, again, you are going with
6 what he told you?

7 A. I am going on the senator's assertion.

8 Q. Now, if I can direct your attention to the next two
9 paragraphs and then we will break? First you wrote: If your
10 wife sells the gold bars during CY 2022 (or any year in the
11 future), there is no requirement for a periodic transaction
12 report.

13 What did that mean?

14 A. We talked about periodic transaction reports earlier and
15 that they are required for security so individual stocks,
16 commodities, etc. I wanted to make certain Senator Menendez
17 knew that a periodic transaction report was not required for
18 the sale of gold bullion. To my knowledge, Senator Menendez
19 has never had to file a periodic transaction report.

20 Q. Now, the next sentence read: You will need to disclose the
21 sale on part 4B of the annual report covering the year of the
22 sale. If the income generated from the sale is greater than
23 \$200, you will also need to report the income in part 3 for
24 that report.

25 What did that mean?

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Kopplin - Direct

1 A. So, if the gold bars were sold and there was a capital
2 gain, meaning from the time that she owned them to the time
3 that she sold them there was a gain in the value of the asset,
4 that would have to be reported in that income, so that was the
5 discussion in the prior part of the e-mail on, for 2020, you
6 are going to check none. But if she sold them, there could be
7 capital gain income that would have to be reported.

8 Q. In other words, the gold bullion is an asset?

9 A. Yes.

10 Q. The sale of it could generate income?

11 A. Yes.

12 Q. Assets have to be reported?

13 A. Yes.

14 Q. Income has to be reported?

15 A. Income from those assets, yes.

16 Q. Now finally, and by finally I mean before we break, you
17 wrote: Should your wife use the proceeds of the sale of the
18 gold bars to repay a mortgage, you will still report the
19 highest amount owed on the mortgage in the reporting period in
20 the next annual report.

21 Do you see that?

22 A. I do.

23 Q. Now, first, what did you mean by: You will still report
24 the highest amount owed on the mortgage?

25 A. So, as we discussed earlier, you report the highest amount

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Kopplin - Direct

1 of any liability owed during the reporting period even if you
2 pay off that loan during the reporting period.

3 Q. When you see the reference in giving that advice to "should
4 your wife use the proceeds of the sale of the gold bars to
5 repay a mortgage"?

6 A. Yes.

7 Q. Is that a typical piece of advice you give when you are
8 asked about an asset?

9 A. No. This is very specific.

10 Q. Do you remember Senator Menendez saying something about his
11 wife using proceeds to pay a mortgage?

12 A. I don't. It's been a long time since I had had this
13 conversation with him but I do not remember that part of the
14 conversation.

15 Q. Sitting here today, why do you believe you wrote: Should
16 your wife use the proceeds of the sale of gold bars to repay a
17 mortgage?

18 MR. WEITZMAN: Objection.

19 THE COURT: Sustained.

20 Q. Ms. Kopplin, do you have a habit or practice in how you
21 give advice when senators inquire about assets?

22 A. I do.

23 Q. What is your habit or practice?

24 A. I try to be comprehensive in how they're going to manage
25 reporting of the asset going forward in an effort to be

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Kopplin - Direct

1 respectful of a senator's time.

2 Q. And in doing that, do you have general advice you give when
3 someone inquires about an asset?

4 A. I do.

5 Q. What types of advice fall under that category?

6 A. Future reporting, whether a periodic transaction report is
7 required and the types of things that they do do with the
8 asset, improve it if it is real property, sell it. All those
9 sorts of things.

10 Q. Now that type of advice, is that the rest of the e-mail?

11 A. So the first two paragraphs are definitely what I consider
12 trying to be comprehensive in my advice to Senator Menendez.

13 THE COURT: When you say the first two paragraphs, do
14 you mean the last two paragraphs that are on the screen?

15 THE WITNESS: No, sir. I mean, the first two
16 paragraphs inform the subheading Future Reporting.

17 THE COURT: All right. Thank you.

18 THE WITNESS: Yes, sir.

19 BY MR. RICHENTHAL:

20 Q. Now, beyond the general advice, do you also give specific
21 advice depending on what you were told?

22 A. Yes.

23 Q. The reference here to repaying a mortgage, does that fall
24 in the general advice category or the specific advice category?

25 A. It is very specific.

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Kopplin - Direct

1 Q. Now, based on your practice of how you give senators
2 advice, do you have an understanding of whether you wrote this
3 because you generally write this or you wrote this because he
4 said something to you about it?

5 A. I give specific advice to a specific question. That's my
6 practice.

7 Q. So sitting here today, do you believe you wrote this
8 because he said something to you or because it was part of your
9 general advice?

10 A. It was not part of general advice. My impression is that I
11 had to have been asked a specific question to give that
12 specific kind of advice.

13 THE COURT: And I take it you have no recollection of
14 that, any such conversation?

15 THE WITNESS: That's correct, your Honor.

16 THE COURT: All right.

17 BY MR. RICHENTHAL:

18 Q. Now, finally you wrote: I hope this information is helpful
19 for you. Please do not hesitate to contact me again if you
20 have additional questions (or if eFD is being difficult).

21 A. Yes, sir.

22 Q. What did you mean by that?

23 A. I wanted to encourage Senator Menendez to contact me if he
24 had any other questions about the report. I wanted it to be a
25 positive interaction between the two of us. It was the first

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Kopplin - Direct

1 time I had ever had a substantive conversation with Senator
2 Menendez. And then eFD, like any other electronic system,
3 sometimes it does not work the way you want it to and I didn't
4 want anyone to be frustrated by that, I didn't want to waste
5 the senator's time.

6 MR. RICHENTHAL: This might be a good time for a
7 break, your Honor.

8 THE COURT: Ladies and gentlemen, 15 minutes.

9 (Continued on next page)

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Kopplin - Direct

1 (Jury not present)

2 THE COURT: You may step down, Ms. Kopplin.

3 (Witness not present)

4 THE COURT: How much longer do you have, sir?

5 MR. RICHENTHAL: Not much, your Honor. Maybe 20
6 minutes.

7 THE COURT: Thank you. 15 minutes.

8 (Recess)

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Kopplin - Direct

1 THE COURT: Bring the jury in.

2 (Jury present)

3 THE COURT: You may be seated in the courtroom.

4 Mr. Richenthal, you may continue and conclude your
5 direct examination of Ms. Kopplin.

6 MR. RICHENTHAL: Welcome back, Ms. Kopplin.

7 THE WITNESS: Thank you.

8 MR. RICHENTHAL: Could we put back on the screen
9 Government Exhibit 8K-1, Ms. Wechsler.

10 Q. When we had left off, I had asked you questions about the
11 email that you sent on February 17, 2022, to Senator Menendez.

12 MR. RICHENTHAL: Could I ask Ms. Wechsler now to
13 scroll up to the middle of the document.

14 Thank you, Ms. Wechsler.

15 Q. Ms. Kopplin, on the middle of your screen here, is that
16 Senator Menendez's response to your email?

17 A. Yes.

18 Q. And when did he respond?

19 A. On March 6 of 2022.

20 Q. What did he say?

21 A. He said he was getting ready to amend his reports, said he
22 did not get married until October 7, 2020, and did he need to
23 amend the 2020 report even though it's past the filing period
24 in 2020.

25 Q. How did you respond?

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Kopplin - Direct

1 A. That, unfortunately for him, he still had to amend the
2 reports, because, again, like income tax, whatever the status
3 is on December 31 of the reporting year, that's what you
4 report. So if she owned -- if Mrs. Menendez owned the gold
5 bars after they were married, then he would have to amend the
6 2020 report and list them as an asset.

7 Q. And to be clear, when you say if Ms. Menendez owned the
8 gold bars after they were married, are you referring to
9 calendar year 2020?

10 A. Correct.

11 Q. If I could direct your attention to the (inaudible)
12 following your advice that indeed he needs to amend, do you see
13 where you wrote, please tell me if we were incorrect on the
14 date your spouse acquired the asset or if you need help to
15 amend your prior report? Do you see that?

16 A. I do.

17 Q. Now by, date your spouse acquired the asset, are you
18 referring to a date in 2020 or before?

19 A. No. What I meant was if she had acquired the asset from
20 January 1, 2021, forward, then he wouldn't need to amend the
21 2020 report; he would just have to report it on his 2021
22 report.

23 Q. Can you explain what you mean by that?

24 A. Yes. So, if she owned the asset when they were married --
25 so in October of 2020 -- then it would have to be reported on

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1 the report that covers 2020, which means he'd have to amend
2 that report. If, however, she had received the gold bars in,
3 let's say, January of 2021, then his 2020 report was fine. And
4 he was still preparing his report covering calendar year 2021,
5 so there was nothing to amend. He would be on time and he
6 would just report it as an asset beginning in 2021.

7 Q. Was it your understanding then that the gold bars he was
8 talking about had been acquired prior to 2021?

9 A. Yes.

10 Q. And was that understanding based on what he told you?

11 A. Yes.

12 Q. And again, Ms. Kopplin, did you have personal knowledge one
13 way or another as to whether that was true or false?

14 A. No, did not.

15 Q. Did you have personal knowledge one way or another whether
16 that was accurate or inaccurate?

17 A. No.

18 Q. Now, if he had told you instead that gold had been acquired
19 in 2021 rather than prior to or during 2020, what would you
20 have told him was his responsibility with respect to amending?

21 A. That he would not have to amend.

22 Q. But would he still have to report it?

23 A. Yes.

24 Q. In a different report?

25 A. Yes.

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Kopplin - Direct

1 Q. Which report?

2 A. The report that covers calendar year 2021.

3 Q. Now, did Senator Menendez inform you that, in fact, the
4 gold was acquired any later than 2020 in response to your
5 email?

6 A. No.

7 Q. Did he instead amend the 2020 report?

8 A. He amended the 2020 report.

9 MR. RICHENTHAL: Could we go to Government Exhibit
10 10E-4.

11 Q. While our technical problems are hopefully resolved, one
12 more question.

13 What advice, if any, did you give Senator Menendez about
14 how to value the gold?

15 A. I didn't.

16 THE COURT: Can you go on to something else while
17 that's loading, or doing whatever it's doing?

18 MR. RICHENTHAL: I can ask the questions without the
19 documents, but it's probably going to be far less clear, your
20 Honor.

21 THE COURT: All right. Let's wait a moment.

22 MR. RICHENTHAL: I'm sorry, Ms. Kopplin.

23 THE COURT: There we are.

24 MR. RICHENTHAL: There we are.

25 Q. Ms. Kopplin, is Government Exhibit 10E-4 on your screen?

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Kopplin - Direct

1 A. Yes.

2 Q. Is this the amended 2020 disclosure?

3 A. Yes.

4 Q. And what, if anything, on the report itself indicates to
5 you that it's an amendment and not the original?

6 A. So, in the upper left-hand corner of the first page, it
7 says annual report for calendar 2020, and then parenthetically
8 it says amendment 1.

9 MR. RICHENTHAL: Ms. Wechsler, can we go to part 3.

10 Q. Do you see that, Ms. Kopplin?

11 A. I do.

12 Q. Now, before the break, I asked you some questions about
13 assets. I want to direct your attention now --

14 MR. RICHENTHAL: If we could scroll, Ms. Wechsler, a
15 little further please.

16 Q. -- do you see line 11?

17 A. Yes, I do.

18 Q. It says gold bullion. Description, gold bars, etc.?

19 A. Yes.

20 Q. Now, was this an addition in the amended report?

21 A. Yes.

22 Q. Who does it say, according to the amended report, owned
23 this asset?

24 A. The spouse, Mrs. Menendez.

25 Q. And again, who put that information in, the ethics

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Kopplin - Direct

1 committee or Senator Menendez?

2 A. Senator Menendez.

3 Q. What does the report indicate is the value of the gold
4 bars?

5 A. So, again, values are reported in ranges, and the value
6 selected was \$100,001 to \$250,000 in total value.

7 Q. And again, who selects the range, the ethics committee or
8 the filer?

9 A. The filer. So in this case, Senator Menendez.

10 MR. RICHENTHAL: Now, if we could go up to gifts,
11 please -- I'm sorry. Down to gifts. Part 5.

12 Pause there.

13 Q. Now, do you see the gifts section?

14 A. Yes.

15 MR. RICHENTHAL: Could we go down, please. And keep
16 scrolling a little further, Ms. Wechsler. Thank you.

17 Q. Do you see these gifts, Ms. Kopplin?

18 A. I do.

19 Q. How, if at all, did this change between the original
20 disclosure and the amended disclosure?

21 A. It did not.

22 MR. RICHENTHAL: Could we go now to liabilities, part
23 7.

24 Q. Do you see that, Ms. Kopplin?

25 A. I do.

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Kopplin - Direct

1 Q. How, if at all, did this change between the original
2 disclosure and the amended disclosure?

3 A. There is no change.

4 Q. Was the only change the listing of the gold bars under
5 assets?

6 A. Yes.

7 MR. RICHENTHAL: Scroll back up to the top; that is,
8 the first page.

9 Q. And again, when was this filed?

10 A. It was filed on March 16 of 2022, at 1:23 p.m.

11 MR. RICHENTHAL: Now, let's go to the following year;
12 that is, the disclosure for 2021. Ms. Wechsler, can you put on
13 the screen 10E-6.

14 Q. Is this the disclosure for calendar year 2021?

15 A. Yes, it is.

16 Q. When was this filed?

17 A. It was filed on May 12 of 2022 at 10:02 a.m.

18 MR. RICHENTHAL: Could we just go back for one moment
19 to the prior year.

20 Q. And the prior year -- that is, the amendment -- is filed on
21 March 16, is that right?

22 A. Yes.

23 Q. And the report for the calendar year, 2021, is filed on May
24 12?

25 A. Yes.

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Kopplin - Direct

1 MR. RICHENTHAL: OK. Let's go to calendar year 2021,
2 filed May 12, 2022.

3 Q. So this covers calendar year 2021, filed in 2022, is that
4 right?

5 A. That's correct.

6 Q. Now, if I could direct your attention to the earned and
7 noninvestment income section, part 2, does Senator Menendez
8 disclose any earned or noninvestment income with respect to his
9 spouse?

10 A. He did.

11 Q. Could you look at line 3?

12 A. Yes.

13 Q. Who does it say paid that earned or noninvestment income?

14 A. Strategic International Business Consultants, in Englewood
15 Cliffs, New Jersey.

16 Q. Did you understand this to be the consulting company to
17 which he referred in your conversation?

18 A. His spouse's LLC, yes.

19 Q. Do you see the amount paid?

20 A. I do.

21 Q. What is the amount paid?

22 A. For spouses, there's only one option and that's greater
23 than a thousand dollars. And that is what is reported.

24 Q. Again, who reported that this was self-employment income,
25 who reported the name of the company and who reported the

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Kopplin - Direct

1 amount?

2 A. Senator Menendez.

3 Q. What, if any, personal knowledge did you have as to whether
4 that was accurate?

5 A. None.

6 Q. What, if any, personal knowledge did you have as to whether
7 that was complete?

8 A. None.

9 Q. What, if any, personal knowledge did you have about what,
10 if anything, his spouse had done to get this income?

11 A. None.

12 Q. By the way, was this income reported --

13 THE COURT: Slow down, sir.

14 BY MR. RICHENTHAL:

15 Q. Was this income reported in the prior year's disclosure?

16 A. It was not.

17 MR. RICHENTHAL: If we go down now to assets, part 3.

18 Stop at line 10.

19 Q. Do you see line 10?

20 A. I do.

21 Q. Now, does this, again, indicate gold bullion in the form of
22 gold bars?

23 A. It does.

24 Q. Does it indicate that the owner is spouse?

25 A. Yes.

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Kopplin - Direct

1 Q. And does it indicate a range?

2 A. Yes.

3 Q. What's the range?

4 A. Same range as the prior report, \$100,001 to \$250,000 total
5 value.

6 MR. RICHENTHAL: Could we go down to transactions,
7 part 4.

8 Q. Does Senator Menendez report any transactions for calendar
9 year 2021?

10 A. He did not.

11 Q. And finally, gifts?

12 A. No gifts.

13 Q. How about liabilities?

14 A. Yes.

15 Q. Is that under part 7, those two right there?

16 A. Yes.

17 Q. Other than the liability to Mr. Cooper and Select Portfolio
18 Servicing, did he list any liabilities for calendar year 2021?

19 A. No.

20 Q. Are these the same liabilities reported in the prior year
21 as well?

22 A. Yes.

23 MR. RICHENTHAL: Let's now go to the next year; that's
24 Government Exhibit 10E-7.

25 Q. Is this the disclosure for calendar year 2022?

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Kopplin - Direct

1 A. Yes, sir.

2 Q. When was this filed?

3 A. It was filed on June 26, 2023, at 7:31 p.m.

4 Q. Could we go down again to the same categories I've asked
5 you about a few times. First we'll start at part 2; that is
6 income.

7 Is it on your screen?

8 A. Yes.

9 Q. Again, does Senator Menendez report any income with respect
10 to a company called Strategic International Business
11 Consultants?

12 A. Yes.

13 Q. What income?

14 A. Spouse income of greater than \$1,000.

15 Q. Are you looking at line 3 on your screen for the calendar
16 year 2022 disclosure?

17 A. I am.

18 MR. RICHENTHAL: Can we go down now to assets.

19 Q. Do you see there Senator Menendez reported that indeed he
20 or his spouse or dependent child own assets?

21 A. Yes.

22 MR. RICHENTHAL: Keep going, please. Keep going.

23 Thank you, Ms. Wechsler.

24 Q. Again, looking on line 11, did he report gold bullion in
25 the form of gold bars?

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Kopplin - Direct

1 A. Yes.

2 Q. Owned by spouse?

3 A. Yes.

4 Q. And what range of money?

5 A. Same range, \$100,001 to \$250,000 total value.

6 MR. RICHENTHAL: If we could go down now to
7 transactions. Stop there.

8 Q. Do you see part B -- excuse me, 4B, transactions?

9 A. I do.

10 Q. What transactions, if any, did Senator Menendez report had
11 taken place in calendar year 2022?12 A. Four separate transactions where his spouse did a partial
13 sale of gold bullion.

14 Q. In what months, according to the report?

15 A. According to the report, there are two transactions, two
16 sales, in April of 2022 and two sales in June of 2022.

17 Q. Now, do you see the column that says amount?

18 A. I do.

19 Q. Are those specific amounts or ranges?

20 A. Again, it's ranges.

21 Q. Why is that?

22 A. That's what the law requires.

23 Q. And what range was reported for each of these four sales?

24 A. Between \$50,001 and \$100,000 for each sale.

25 Q. I believe you said that the transactions were partial --

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Kopplin - Direct

1 the sales, excuse me, were partial?

2 A. Yes.

3 Q. What led you to say that?

4 A. Two things. The first is that they're listed in this
5 column as a partial sale, meaning they still own part of the
6 asset.

7 And then the other was the conversation between his staff
8 and our staff.

9 Q. So let's take those one at a time. I'll ask about the
10 conversation in a moment.

11 First, referring to the report, where on the report are you
12 looking that indicates to you the sale was partial?

13 A. So, I'm looking at what I would call the fourth column,
14 transaction type.

15 MR. RICHENTHAL: Highlight that, Ms. Wechsler.

16 Q. Now again, Ms. Kopplin, what knowledge, if any, did you
17 have at the time as to whether the sales, if they took place,
18 were partial?

19 A. Only the assertion of the filer.

20 MR. RICHENTHAL: Let's go down now to gifts, quickly,
21 part 5.

22 Q. Did Senator Menendez report any gifts for himself, his
23 spouse or dependent child for calendar year 2022?

24 A. No, sir.

25 MR. RICHENTHAL: Go down do liabilities.

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Kopplin - Direct

1 Q. Did Senator Menendez report any liabilities for himself,
2 his spouse or dependent child in calendar year 2022?

3 A. He did.

4 Q. Are they the two on your screen now?

5 A. Yes.

6 Q. Now, other than liability for Mr. Cooper and Select
7 Portfolio Servicing, did he report any?

8 A. No.

9 Q. Are these the same liabilities he reported in the prior
10 years that I've shown you?

11 A. With the exception of the dollar amount of line item 1
12 changed by a value category, they are the same liabilities.

13 Q. And what do you mean by the value category changed; could
14 you explain?

15 A. Yes. So again, you get a selection of value ranges for
16 each of these. In prior reports, he had selected \$100,001 to
17 \$250,000 for that 1999 mortgage. On this report he's reporting
18 between \$50,001 and \$100,000 total, highest amount owed on the
19 mortgage, which suggests to us that he -- they were paying down
20 the mortgage. It's pretty common.

21 Q. I'm sorry. When you say it's pretty common, what's the
22 "it" in the sentence? What's pretty common?

23 A. Things change in value category based on paying down the
24 liability.

25 Q. Did he report a liability here with respect to a car loan?

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Kopplin - Direct

1 A. He did not.

2 Q. Did he report a liability on the prior year with respect to
3 a car loan?

4 A. No.

5 Q. In any of the reports that I've shown you, did he report a
6 liability with respect to a car loan?

7 A. He did not.

8 Q. Now, I want to ask you a couple questions about the gold
9 bars, and then I'll turn to the conversation you referred to a
10 couple minutes ago.

11 In any of the reports I've shown you, did Senator Menendez
12 indicate that he or his spouse had acquired gold after 2020?

13 A. No.

14 Q. In any of the reports I've shown you, did Senator Menendez
15 indicate he'd received any gifts after 2020?

16 A. No.

17 Q. In any of the reports I've shown you, did Senator Menendez
18 indicate any liabilities concerning an automobile?

19 A. No.

20 Q. Now, you mentioned a moment ago a conversation I think you
21 said staff had?

22 A. Yes.

23 Q. Before I get to that, I just want to ask you a couple
24 questions about your own conversations. OK?

25 Other than the conversation that you testified about in

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Kopplin - Direct

1 February 2022, between 2018 and 2023, did you ever speak or
2 email with Senator Menendez about gold bars?

3 A. No.

4 Q. Or gold bullion?

5 A. No, sir.

6 Q. Or gold in any form?

7 A. No, sir.

8 Q. In the same time period -- that is, 2018 and 2023 -- did
9 you ever speak or email with Senator Menendez about gifts?

10 A. No, sir.

11 Q. Same time period, did you ever speak or email with Senator
12 Menendez about cash?

13 A. No, sir.

14 Q. Same time period, did you ever speak or email with Senator
15 Menendez about a loan for a car?

16 A. No, sir.

17 Q. Now, are members of Congress able to designate someone on
18 their staff to assist with the filing of disclosure reports?

19 A. Yes.

20 Q. What is that person or persons known as in the context of
21 disclosure reports?

22 A. We refer to them as a filing assistant.

23 Q. What, in this context, is a filing assistant?

24 A. It's someone the senator designates to talk to committee
25 staff and help them prepare their report. So it can be anyone

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Kopplin - Direct

1 from an attorney from an outside firm to a member of their own
2 staff. In some cases we have senators' spouses who are
3 actually preparing it.

4 Q. What is the role or authority of the person you're talking
5 about; that is, the filing assistant?

6 A. The authority they have is that they can speak to committee
7 staff and we can discuss confidential information regarding
8 that senator's report.

9 Q. Now, is the filing assistant authorized to file a
10 disclosure report without showing it to the senator?

11 A. Well, they're authorized to do whatever the senator tells
12 them they can do, but the attestation, that certification
13 statement that we talked about, that holds the individual
14 filer -- in this case, the senator -- responsible for the truth
15 and accuracy of their report.

16 Q. Now, in the time period that I've been asking about -- that
17 is, 2018 to 2023 -- did Senator Menendez have a filing
18 assistant he designated with respect to the ethics committee?

19 A. He did.

20 Q. Who was that?

21 A. Rob Kelly.

22 Q. Who did you understand Mr. Kelly to be?

23 A. Rob Kelly is a senior member of the Senator Menendez's
24 staff in his Washington, D.C., office.

25 Q. Now, in the same time period, 2018 to 2023, did you

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Kopplin - Direct

1 personally ever speak with Mr. Kelly about gold bars, cash or
2 gifts?

3 A. No.

4 Q. Does the Senate Ethics Committee maintain a system that
5 tracks conversations with a member or the member's filing
6 assistant regarding disclosures?

7 A. We did.

8 Q. Prior to testifying today, did you have an opportunity to
9 review that system?

10 A. I did.

11 THE COURT: Slow down, sir.

12 BY MR. RICHENTHAL:

13 Q. Did you indicate whether anyone on the staff in that same
14 period spoke with Mr. Kelly; that is, between 2018 and 2023?

15 A. Yes.

16 Q. Did it indicate whether anyone spoke with him about gold
17 bars?

18 A. Yes.

19 Q. On what subject, and approximately when?

20 A. In 2023 -- so, in preparation of the calendar year 2022
21 report -- there was questions asked and answered regarding how
22 to report the sale of gold bullion.

23 Q. Now, when you said how to report the sale of gold bullion,
24 are these the sales that I showed you in the 2022 report a few
25 minutes ago?

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Kopplin - Direct

1 A. Presumably.

2 Q. You say presumably. Why do you say that, Ms. Kopplin?

3 A. Well, because, again, we have no direct knowledge. We're
4 going out -- off of what the senator or his filing assistant
5 are telling us.

6 Q. Now, to go back to the conversations with Mr. Kelly, from
7 your review of the system, were these conversations related to
8 when Senator Menendez learned of gold or how to report sales of
9 gold?

10 A. How to report the sale of the gold.

11 Q. Based on your review of the system, did any conversation
12 take place between 2018 and 2023 other than what you've
13 testified about regarding when Senator Menendez learned of
14 gold?

15 A. No. No other conversation.

16 Q. Other than the conversation you testified about, did the
17 system indicate any conversation regarding when his spouse came
18 into possession of gold?

19 A. No, sir.

20 Q. Now, based on your review of the same system, did any
21 conversations between 2018 and 2023 concern cash other than in
22 the form of wedding gifts?

23 A. No.

24 Q. Any conversation in the same time period concerning a loan
25 for a car?

06rWmen3

Kopplin - Cross

1 A. No.

2 MR. RICHENTHAL: No further questions.

3 THE COURT: All right. Thank you.

4 Cross-examination, sir.

5 MR. WEITZMAN: Yes, your Honor.

6 CROSS-EXAMINATION

7 BY MR. WEITZMAN:

8 Q. Good afternoon, Ms. Kopplin.

9 A. Good afternoon, sir.

10 Q. I want to go back to some of the basics that you discussed
11 in your direct examination. I think you said that all senators
12 and all senior staff or all staff members of the Senate above a
13 certain dollar threshold in income need to make annual
14 financial disclosures. Right?

15 A. That's correct.

16 Q. And there's a form that gets filled out; for most senators
17 and staff it would be an online form except for the seven who
18 refuse, right?

19 A. That's correct.

20 Q. And when you fill out the online form, is there a log-in
21 page?

22 A. There is.

23 Q. OK. And does it have, like, a biometric log-in, where it
24 tests who is doing the log-in through fingerprint or anything
25 else?

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Kopplin - Cross

1 A. No, sir.

2 Q. OK. Is there a security question?

3 A. No.

4 Q. You just need to know, like, the username and the password?

5 A. Username and password.

6 Q. And you said there's a filing assistant, and in Senator
7 Menendez's case, it was Rob Kelly, right?

8 A. That's correct.

9 Q. You understand who Rob Kelly is?

10 A. I do.

11 Q. He's the senator's deputy chief of staff, right?

12 A. Yes.

13 Q. And he's been with the senator 15, 20 years; do you know?

14 A. I think he came with him from the House, so it may even be
15 longer than that.

16 Q. You know the senator's been in the Senate since 2006,
17 right?

18 A. Of course.

19 Q. So it's been -- how many years is that?

20 A. 19.

21 Q. OK. Thank you.

22 And you understand that Mr. Kelly is the person who does
23 the filings for the senator's annual filings; do you have that
24 understanding from your conversations with him?

25 MR. RICHENTHAL: Objection.

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Kopplin - Cross

1 THE COURT: Just a moment.

2 Yes. Rephrase it.

3 BY MR. WEITZMAN:

4 Q. From your conversations with Rob Kelly, do you have an
5 understanding that as the filing assistant Rob Kelly
6 electronically files the forms involved in the annual
7 disclosures?

8 A. I don't have that understanding.

9 Q. But you'd agree with me that in the Senate many of the
10 senators have their filing assistants actually electronically
11 file the forms?

12 THE COURT: Do you know whether or not many of the
13 senators have their filing assistants actually electronically
14 file the annual form?

15 THE WITNESS: No, sir.

16 BY MR. WEITZMAN:

17 Q. OK. Do you know the average age of the senators in the
18 Senate?

19 THE COURT: Sustained.

20 BY MR. WEITZMAN:

21 Q. Do you know who the oldest senator is in the Senate?

22 MR. RICHENTHAL: Objection.

23 THE COURT: Sustained.

24 BY MR. WEITZMAN:

25 Q. Do you know how old Senator Menendez is?

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Kopplin - Cross

1 THE COURT: I'll allow that.

2 A. 70.

3 Q. Do you know how old Chuck Grassley is?

4 MR. RICHENTHAL: Objection, your Honor.

5 THE COURT: Sustained.

6 Move forward, sir.

7 BY MR. WEITZMAN:

8 Q. Fair to say that when you were communicating with the
9 senator's staff regarding filings, other than the one call you
10 had or the couple calls you described with Senator Menendez,
11 most of your communications or your staff's communications with
12 Senator Menendez were through his staff, is that correct?

13 A. That's correct.

14 Q. In fact, is it true that -- prior to this call in 2022, had
15 you ever spoken to Senator Menendez?

16 A. No, sir, I had not.

17 Q. So the disclosure deadline each year is May 15 unless that
18 falls on a weekend, right?

19 A. Yes, or an extension is approved.

20 Q. And extensions, are those routinely approved?

21 A. Yes.

22 Q. OK. And if an extension is approved, how long is the
23 extension for?

24 A. The maximum by law is 90 days.

25 Q. OK. I think you testified that senators are supposed to

06rWmen3

Kopplin - Cross

1 disclose their assets, correct?

2 A. Correct.

3 Q. And that's a defined term in the manual and the
4 instructions, right?

5 A. The manual and the instructions, which rely on the
6 underlying statute.

7 Q. And then they have to disclose their spouse's assets as
8 well?

9 A. Correct.

10 Q. But that only applies to legally married spouses, right?

11 A. That's correct.

12 Q. If you're living with your fiancée, for example, you
13 wouldn't have to disclose your fiancée's assets, right?

14 A. That's correct.

15 Q. And if you're living with a girlfriend or boyfriend, you
16 wouldn't have to disclose that person's asset, right?

17 A. That's correct.

18 Q. And as far as disclosures of other relatives' asset, other
19 than dependent children, a senator has no obligation to
20 disclose assets of other relatives, correct?

21 A. That's correct.

22 Q. So a mother-in-law or a father-in-law, no disclosure
23 obligation, right?

24 A. No disclosure obligation.

25 Q. And no disclosure obligation for your own parents, right?

06rWmen3

Kopplin - Cross

1 A. That's correct.

2 Q. Now, Senator Menendez has two children; you know that,
3 right?

4 A. I do.

5 Q. But they're not dependent children, right?

6 A. That's correct.

7 Q. They're in their 40s; you know that?

8 A. One of them's in Congress, so yes.

9 Q. He's in the House, correct?

10 A. Yes.

11 Q. Now, to be sure, the financial disclosure -- I'm sorry.

12 To be sure, the definition of an asset does not actually
13 include cash, right?

14 A. That's correct.

15 Q. And it also doesn't expressly include physical commodities,
16 like gold, right?

17 A. So -- an asset held for investment is defined, falls within
18 the definition of an asset.

19 Q. Correct. The asset definition includes, quote, collectible
20 items held for resale or investment, right?

21 A. Well, that's part of it, yes. But the full -- anything
22 held for investment is defined as an asset.

23 Q. OK. And if it's held like -- for instance, jewelry, would
24 that include, would that be an asset?

25 A. No.

06rWmen3

Kopplin - Cross

1 Q. So anything that is noninvestment but yet may have
2 sentimental value or jewelry, that wouldn't be an asset that
3 has to be disclosed, right?

4 A. So, something in the nature of personal jewelry would not
5 be disclosed as an asset.

6 Q. And as far as cash goes, there may be times when a senator
7 has to disclose or someone has to disclose a cash gift, right?

8 A. Correct.

9 Q. That's if, let's say, the senator were to receive a cash
10 gift in excess of \$10,000, right?

11 A. No.

12 Q. OK. What's the dollar amount?

13 A. So, the dollar amount for a gift that has to be approved by
14 the committee is \$250 if it's from a nonrelative.

15 Q. OK. Fair enough. Got it.

16 But if it's cash that's withdrawn from your bank account,
17 from income that you've already disclosed, you wouldn't have to
18 disclose the withdrawal of that cash, right?

19 A. That's correct.

20 Q. That's not considered a reportable event, withdrawing cash
21 from a bank account and storing your own cash, right?

22 A. That's correct.

23 Q. So if a senator, over the course of a year, withdraws, say,
24 \$10,000 from his own bank account in which he has income that
25 has been disclosed, that would not have to be disclosed on any

06rWmen3

Kopplin - Cross

1 form to the public or to the Senate, right?

2 A. It would not have to be disclosed to the Senate. That's
3 correct.

4 Q. OK. Now, I think you've mentioned the ethics committee
5 doesn't have a process for verifying values in disclosures,
6 right?

7 A. Right. We're not auditors.

8 Q. Right. It doesn't review the senator's bank records,
9 right?

10 A. That's correct.

11 Q. Or mortgage documents, right?

12 A. Correct.

13 Q. Or stock portfolios?

14 A. Correct.

15 Q. By the way, do you know if the senator has stock
16 portfolios?

17 MR. RICHENTHAL: Objection.

18 THE COURT: I'll allow it.

19 A. I do. The senator does not own individual securities.

20 Q. And is it fair to say that there are a lot of senators who
21 do own individual securities?

22 A. Yes.

23 MR. RICHENTHAL: Objection.

24 BY MR. WEITZMAN:

25 Q. But Senator Menendez does not?

06rWmen3

Kopplin - Cross

1 A. That's correct.

2 Q. Now, because you don't verify the details, like, by getting
3 documents, like bank records or mortgage documents or stock
4 portfolios, you don't ask senators to corroborate their
5 statements on the forms, right, through documents?

6 A. That's correct.

7 Q. So when you were asked on direct did Senator Menendez show
8 you documents to confirm certain statements he made, that's not
9 an obligation he has, right?

10 A. That's correct.

11 Q. And it's not something you asked for, right?

12 A. Correct.

13 Q. And I guess this goes without saying: The Senate Ethics
14 Committee doesn't have, like, investigators who go out to
15 people's homes and check their assets, their safes and so on,
16 right?

17 A. Of course not.

18 Q. Now, you did mention gifts have to be disclosed over a
19 certain amount, 400-something dollars, is that correct?

20 A. Yes.

21 Q. For weddings?

22 A. To be clear, there's two thresholds.

23 Q. OK.

24 A. A gift received from a nonrelative greater than \$250 has to
25 be approved by the committee.

06rWmen3

Kopplin - Cross

1 The disclosure requirement is a higher threshold. You only
2 disclose gifts that are greater than -- for calendar year 2022,
3 it would have been \$415.

4 Q. Gifts from relative, however, need not be disclosed, is
5 that right?

6 A. That's correct.

7 Q. And is that at any dollar threshold?

8 A. Yes, that's correct.

9 Q. And what about inheritances; do those have to be disclosed
10 immediately?

11 A. So, if you receive an inheritance, then it would go on your
12 next annual reports, covering the year that you received the
13 inheritance.

14 There is an exception to that, and that's that if you
15 inherited individual stocks that require a periodic transaction
16 report, then you may have to submit a periodic transaction
17 report once you're in control of those.

18 Q. OK. Fair to say there's some complexity to the disclosure
19 based on the asset, what the asset is, when you acquire it,
20 what amount it's in, what the value is; this isn't simple
21 stuff, right?

22 MR. RICHENTHAL: Compound and argument.

23 THE COURT: I'll allow it.

24 Do you consider the reporting requirements simple
25 stuff?

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Kopplin - Cross

1 THE WITNESS: No, but I don't consider it complex
2 either.

3 THE COURT: All right.

4 THE WITNESS: So, it's technical. It's much easier
5 than doing your income taxes, and it's very easy to do year to
6 year. The first report -- we always tell people the first
7 report's the hardest.

8 BY MR. WEITZMAN:

9 Q. By the way, do you know if Senator Menendez does his own
10 income taxes or has an accountant who does it for him?

11 A. I do not know.

12 Q. Do you do your own income taxes?

13 A. I do.

14 THE COURT: Sustained.

15 MR. RICHENTHAL: Objection.

16 THE COURT: Ms. Kopplin, when there's an objection,
17 you need to wait, give me an opportunity to think about it and
18 to rule. So if you see Mr. Richenthal stand up, wait.

19 BY MR. WEITZMAN:

20 Q. While you say it's not complex and it's technical, there
21 are lawyers who devote much of their time dealing with these
22 Senate disclosure and ethics rules, right?

23 MR. RICHENTHAL: Objection.

24 THE COURT: Are you aware of lawyers who devote much
25 of their time to these disclosure requirements?

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Kopplin - Cross

1 THE WITNESS: Well, we have --

2 THE COURT: Apart from your staff members.

3 THE WITNESS: Apart from staff members?

4 THE COURT: Yes.

5 THE WITNESS: No, I'm not aware.

6 THE COURT: All right.

7 BY MR. WEITZMAN:

8 Q. You're aware that senators seek and obtain advice, legal
9 advice, from outside lawyers regarding disclosure obligations?

10 MR. RICHENTHAL: 401, 403, no advice-of-counsel
11 defense.

12 MR. WEITZMAN: I'm not asking what the advice is.

13 THE COURT: Just a moment. I will allow it.

14 A. Could you repeat the question, sir?

15 Q. Yes.

16 Are you aware that senators obtain advice from outside
17 lawyers to discuss their disclosure obligations on these Senate
18 forms?

19 A. Yes.

20 Q. OK. And some of those outside lawyers will actually
21 contact your office to discuss that very issue, right?

22 A. Many of them are designated as filing assistants.

23 Q. Correct.

24 And you interact with those types of lawyers to discuss the
25 meaning of the instructions and the manuals on a fairly routine

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Kopplin - Cross

1 basis, right?

2 THE COURT: I'll allow it.

3 A. Yes.

4 Q. Sometimes you even have to debate with them about what
5 these disclosure obligations are; is that fair?

6 MR. RICHENTHAL: Objection.

7 THE COURT: Sustained.

8 BY MR. WEITZMAN:

9 Q. Now, you testified that you're required to provide, by law,
10 a briefing to all new senators within -- was it 60 days?

11 A. Yes, sir.

12 Q. OK. When was that law passed?

13 A. 2012. So it predates Senator Menendez entering Senate
14 office.

15 Q. Postdates.

16 A. Correct. Sorry. He predates the law.

17 Q. Correct. So in 2006, when he joined the Senate, there was
18 no obligation to provide him a briefing regarding his filing
19 obligations, right?

20 A. That's correct, sir.

21 Q. And in fact, you have no record that he ever received such
22 a briefing, isn't that correct?

23 THE COURT: Do you have records when anyone receives
24 briefing?

25 THE WITNESS: Yes.

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Kopplin - Cross

1 THE COURT: All right.

2 BY MR. WEITZMAN:

3 Q. And you have no record that Senator Menendez ever received
4 a briefing about these filing obligations, right?

5 A. That's fair.

6 Q. You do offer internal trainings, you said?

7 A. Yes.

8 Q. Just generally, do you take attendance at those internal
9 trainings?

10 A. No.

11 Q. No sign-in sheets?

12 A. No.

13 Q. And would you agree with me that typically it is the
14 senator's staff or the filing assistants who attend those
15 trainings?

16 A. Well, just by proportion of how many filers. So I've got
17 1,300 staffers and 100 senators, so yes, that's a fair
18 statement. It's overwhelmingly staff.

19 Q. Do you recall Senator Menendez ever attending a training?

20 A. I do not.

21 Q. You've said that your office fields -- is it 12,000 calls a
22 year?

23 A. That's correct. Well, in 2023, that's correct.

24 Q. Was it higher or lower in prior years?

25 A. Covid made it lower because there was less Senate travel.

06rWmen3

Kopplin - Cross

1 Q. OK. That's a very active docket for you and your staff,
2 right?

3 A. Yes.

4 Q. And you have, did you say nine lawyers on your staff,
5 including yourself?

6 A. Yes.

7 Q. And then you have other staff members?

8 A. Correct.

9 Q. Phone's ringing off the hook?

10 A. Yes.

11 Q. OK. Lots of questions about these instructions, the
12 manual, the filing obligations, right?

13 MR. RICHENTHAL: Objection.

14 THE COURT: Sustained.

15 I take it if the phone is ringing off the hook, it's
16 from the March, April, May period; is that what you testified
17 to?

18 THE WITNESS: I mean we get a constant stream of
19 questions on all topics. If they're asking specifically about
20 financial disclosure, that would be primarily between March and
21 May.

22 THE COURT: All right.

23 BY MR. WEITZMAN:

24 Q. Back to the training topic, are trainings available online
25 as well as opposed to in person?

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Kopplin - Cross

1 A. Yes.

2 Q. Is that, like, a video, or is it a questionnaire? How do
3 you do that?

4 A. No. It's synchronous learning, so we actually have people
5 giving a presentation that you have a link to and can attend.

6 Q. Again, do you take attendance at those?

7 A. We do not.

8 Q. You're able to track, but you don't?

9 A. Correct.

10 Q. So again, you don't know if Senator Menendez or anybody
11 from his staff took such a training?

12 A. I do. I could. I mean I could find that out. So Senator
13 Menendez has not.

14 Q. OK.

15 A. But members of his staff have.

16 Q. Rob Kelly?

17 A. I believe so, but I'm not entirely certain.

18 THE COURT: Are there other members?

19 THE WITNESS: Yes.

20 And I should make it clear that it's not clear if
21 they're attending for their own personal filing responsibility
22 or on behalf of the senator.

23 MR. WEITZMAN: That's a good clarification. Thank
24 you.

25 Could we put up Government Exhibit 10E-2.

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Kopplin - Cross

1 Q. This was the first annual report you discussed in your
2 direct. Do you recall that?

3 A. Yes, sir.

4 Q. And this is dated May 14, 2019, and covers calendar year
5 2018?

6 A. That's correct.

7 Q. I just want to understand the verbiage.

8 You said multiple times with this and every other report
9 that the filer was Senator Menendez. Do you recall that?

10 A. Yes.

11 Q. Now, by that you mean that this is the form under his name,
12 correct?

13 A. Well, yes, in part, but I also mean it was his filing
14 responsibility.

15 Q. Correct. But you don't mean, I take it, that you know he's
16 the one who filed the form, meaning worked on the computer, did
17 the dropdown menus, pressed all the buttons?

18 A. Yes, that's correct.

19 Q. You don't have any idea who actually did any of that?

20 A. That's correct.

21 THE COURT: Can the certification that you testified
22 to be made by the filing assistant?

23 THE WITNESS: It could.

24 THE COURT: OK.

25 BY MR. WEITZMAN:

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Kopplin - Cross

1 Q. Because it's just clicking a box, right?

2 A. Yes.

3 Q. And there's no requirement of a signature, right?

4 A. That's correct.

5 Q. There is no requirement of a certification that the person
6 who actually clicked the box is the senator or the filer,
7 right?

8 A. That's correct.

9 Q. Now, the certification at the top of this form, it states,
10 I certify that the statements I have made on this form are
11 true, complete and correct, to the best of my knowledge and
12 belief.

13 What does that mean, to the best of my knowledge and
14 belief?

15 A. It means its plain language meaning. It means that's the
16 best information you have. You're not willfully or
17 intentionally hiding something.

18 Q. So if, for example, a spouse does not reveal, does not
19 disclose an asset to a senator or a filer, that certification
20 would still be true, correct?

21 MR. RICHENTHAL: Objection.

22 THE COURT: Sustained.

23 BY MR. WEITZMAN:

24 Q. You'd agree with me that one has to have knowledge of a
25 particular asset in order to disclose it?

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Kopplin - Cross

1 THE COURT: I'll allow that.

2 A. Yes.

3 Q. And if one lacks knowledge, then they can only certify what
4 they know, right?

5 A. Yes.

6 Q. Are you aware -- actually, let me withdraw that.

7 You've testified that Senator Menendez amended his calendar
8 year 2020 financial disclosure statement, correct?

9 A. Yes.

10 Q. And that was to include gold owned by his spouse, correct?

11 MR. RICHENTHAL: Objection.

12 MR. WEITZMAN: Per the form.

13 THE COURT: Just a moment. What's the objection?

14 MR. RICHENTHAL: Assumes a fact as to who owned it.

15 THE COURT: I'll allow the question.

16 A. Could you repeat the question, sir?

17 Q. The amendment was to disclose what was described as gold
18 owned by his spouse, right?

19 A. That's correct.

20 Q. Before we discuss the circumstances surrounding that, I'd
21 like to talk about amendments generally. OK?

22 All public disclosures in these financial disclosures can
23 be amended to correct inaccuracies or omissions, right?

24 A. Yes, sir.

25 Q. And in fact, it's not an uncommon thing for senators or

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Kopplin - Cross

1 their staff to make such amendments, right?

2 A. That's correct.

3 Q. It's actually encouraged if someone discovers an error or
4 omission, right?

5 A. Very much so.

6 THE COURT: I take it it's required.

7 THE WITNESS: Yes.

8 BY MR. WEITZMAN:

9 Q. And there's no obligation to consult with you or your
10 office before filing an amendment, right?

11 A. That's correct.

12 Q. But Senator Menendez did consult with you, right?

13 A. Yes.

14 Q. And he even consulted with the chair of the ethics
15 committee, right?

16 MR. RICHENTHAL: Objection. Characterizes a
17 conversation not in evidence.

18 BY MR. WEITZMAN:

19 Q. Did you have an understanding that he consulted with the
20 chair of the ethics committee?

21 MR. RICHENTHAL: Objection.

22 THE COURT: Do you have an understanding that he
23 called the chair, that he called or emailed the chair in regard
24 to these obligations?

25 THE WITNESS: Your Honor, I have an understanding that

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Kopplin - Cross

1 he spoke to him directly, Senator Menendez and the chair of the
2 ethics committee.

3 THE COURT: But you don't know what they spoke about,
4 I take it.

5 THE WITNESS: That's correct.

6 THE COURT: All right.

7 BY MR. WEITZMAN:

8 Q. In fact, I'll get to this a bit later, but you actually
9 witnessed them speaking on the floor of the Senate when it was
10 happening, right?

11 A. On television, yes.

12 Q. Yes. OK. We're going to talk about that in a moment. I
13 just want to get through a couple preliminaries.

14 There's no penalty for amendments, right?

15 A. No, sir.

16 Q. And in fact, as you said, the goal of disclosure is public
17 transparency, right?

18 A. Yes.

19 Q. And when you amend a disclosure, when you're a senator,
20 like Senator Menendez, it becomes immediately available to the
21 public, right?

22 A. Yes.

23 Q. It's online within -- what is it, seconds, minutes,
24 whatever it is?

25 A. We'd like to say minutes, but it's always hours, at worst.

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Kopplin - Cross

1 Q. OK. By the way, do you know or do you recall that the
2 senator's amending of his 2020 disclosures resulted in some
3 good amount of press about his ownership or his spouse's
4 ownership of gold bullion?

5 MR. RICHENTHAL: Objection.

6 THE COURT: To your knowledge, were there press
7 reports in regard to that filing?

8 THE WITNESS: Yes.

9 BY MR. WEITZMAN:

10 Q. By the way, let's talk about gold bullion for a second. Is
11 that like the weirdest thing you've ever seen, you've ever
12 heard any senator disclose as an asset?

13 THE COURT: Sustained.

14 Are you aware that any other senator has disclosed
15 gold bullion on his or her financial disclosure report?

16 THE WITNESS: I am not aware.

17 THE COURT: I'm sorry. You're not aware whether or
18 not they have, or you're not aware of any such filing?

19 THE WITNESS: I am not aware of any other senator
20 disclosing gold bullion.

21 THE COURT: All right.

22 BY MR. WEITZMAN:

23 Q. Do you know of senators disclosing other commodities?

24 A. Yes.

25 Q. What other commodities?

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Kopplin - Cross

1 A. Silver coins come to mind, but there are many other
2 commodities as well. Usually in the agricultural sector, all
3 the senators that come from agricultural states, a lot of them
4 are ranchers and farmers themselves, and they hold commodities.

5 Q. Livestock, for example?

6 A. Yes.

7 Q. Farms, right?

8 A. Yes.

9 Q. Any disclosures of oil holdings?

10 A. Of course.

11 Q. Texas senators?

12 A. You could look it up, but there are many.

13 Q. So while you haven't heard of gold bullion before, you have
14 heard of coins?

15 A. Yes.

16 Q. And you've heard of other commodities being disclosed?

17 THE COURT: I needed that last phrase.

18 MR. WEITZMAN: Yes.

19 Q. I think you said in mid-February Senator Menendez contacted
20 you regarding some assets he had recently learned his spouse
21 had, right?

22 A. Yes.

23 THE COURT: February of '22, correct?

24 THE WITNESS: Yes.

25 BY MR. WEITZMAN:

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Kopplin - Cross

1 Q. And you had said that you had gotten a heads-up from the
2 chairman of the ethics committee's chief of staff, correct?

3 A. Correct.

4 Q. By the way, the chairman of the ethics committee, that's a
5 publicly known individual, right?

6 A. Yes.

7 Q. It's disclosed who the chair is?

8 A. Yes.

9 Q. It's not a secret, right?

10 A. No, of course not.

11 Q. It's Chris Coons?

12 A. Yes.

13 Q. It's the senator from Delaware?

14 A. Yes.

15 Q. And so you saw Senator Menendez speak to Chris Coons on the
16 Senate floor live when it happened, right?

17 A. Yes.

18 Q. And then you got a call very soon thereafter from Chris
19 Coons, Senator Coons's chief of staff saying Senator Menendez
20 needs to talk to you about some gold bullion, or words to that
21 effect, right?

22 A. That's a fair characterization.

23 Q. OK. And the chief of staff, in fact, told you that Senator
24 Menendez had a call with, had spoken to Chris Coons and had
25 told Chris Coons that Senator Menendez had just learned of the

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Kopplin - Cross

1 gold bullion and wanted to know what to do, right?

2 MR. RICHENTHAL: Objection. Double hearsay.

3 THE COURT: Yes.

4 BY MR. WEITZMAN:

5 Q. What did the chief of staff tell you Senator Menendez
6 discussed with Chris Coons?

7 MR. RICHENTHAL: Same objection.

8 THE COURT: Sustained.

9 BY MR. WEITZMAN:

10 Q. In any event, very soon, within an hour of the call from
11 Senator Coons's chief of staff, Senator Menendez personally
12 called you?

13 A. Yes.

14 Q. And is it fair to say that he was -- how would you
15 characterize his tone? Was he eager to get an answer to his
16 questions?

17 MR. RICHENTHAL: Objection.

18 THE COURT: Sustained. Was he calm?

19 THE WITNESS: Yes.

20 THE COURT: He didn't sound angry.

21 THE WITNESS: No. He was very professional.

22 THE COURT: All right.

23 Business-like.

24 THE WITNESS: Yes. He was actually quite charming.

25 MR. WEITZMAN: I wish I had a witty come back for

06rWmen3

Kopplin - Cross

1 that?

2 THE COURT: You're not Mr. Khorozian.

3 MR. WEITZMAN: I'm not Mr. Khorozian. I'm not even
4 Mr. Fee.5 Q. In any event, Senator Menendez charmingly called you, and
6 he told you that he had recently learned of some gold bullion
7 that his wife had, right?

8 A. That was my recollection.

9 Q. And did he tell you that she had it, that it was family
10 gold?

11 A. No.

12 Q. Did he tell you how his wife had this gold?

13 A. No.

14 Q. Did he mention anything about an inheritance or anything of
15 the sort?

16 A. No.

17 Q. OK. Did he mention anything about it being a parental
18 gift?

19 A. No.

20 Q. Did you at any point in time learn that it was a parental
21 gift --

22 MR. RICHENTHAL: Objection.

23 Q. -- to his wife?

24 MR. RICHENTHAL: Time frame. Assumes a fact. Basis.

25 THE COURT: Sustained.

06rWmen3

Kopplin - Cross

1 MR. WEITZMAN: On time frame?

2 THE COURT: Let's start with that.

3 BY MR. WEITZMAN:

4 Q. OK. Did there come a time in February '22 when you learned
5 that this was a parental gift to Nadine?

6 THE COURT: Did Senator Menendez say to you that it
7 was a gift from Nadine's mother or father?

8 THE WITNESS: I don't remember, but I would have
9 remembered that, so no.

10 BY MR. WEITZMAN:

11 Q. Now, at the time that you had this conversation, you'd
12 agree with me you didn't think there was anything out of the
13 ordinary about it, right?

14 A. Not at all.

15 Q. And his question to you was, essentially: My wedding was
16 in October 2020; does this have to go in an amended 2020 form,
17 or do I wait and file it in my 2021 disclosure? Is that right?

18 MR. RICHENTHAL: Objection.

19 THE COURT: I'll allow that.

20 Is that what he asked you, in words or substance?

21 THE WITNESS: In substance.

22 THE COURT: Do you remember the words?

23 THE WITNESS: He asked what the disclosure requirement
24 was for this gold bullion asset that his spouse owned. We got
25 into the distinction between 2020 and 2021 in our email

06rWmen3

Kopplin - Cross

1 exchange.

2 BY MR. WEITZMAN:

3 Q. And at that time you already knew that he got married in
4 October 2020, right?

5 A. Yes.

6 Q. If he, for example, had been married in January of 2021, he
7 wouldn't have had to have disclosed the gold bullion in an
8 amended 2020 form, right?

9 MR. RICHENTHAL: Objection.

10 THE COURT: I'll allow it.

11 A. Correct. So, if the gold bullion was not acquired until
12 2021 or he had just learned of it in 2021, then -- that it was
13 acquired in 2021, then it would go on a 2021 report. But if it
14 was owned in 2020, during the period that they were married --
15 so October to December -- then he would have to disclose it.

16 THE COURT: On the 2020 report.

17 THE WITNESS: Correct. He would have to amend that
18 2020 report.

19 BY MR. WEITZMAN:

20 Q. And you asked him, you recall asking him, whether verbally
21 or in email, did Nadine have this in 2020, or did she get it
22 after January 1, 2021, right?

23 A. Yes. I would have -- I asked him that, because that was
24 the distinguishing factor of whether he had to amend the 2020
25 report or just wait to file it with his 2021 report.

06rWmen3

Kopplin - Cross

1 Q. And he told you, no, no, she had it when we got married,
2 right?

3 A. Yes.

4 Q. You advised Senator Menendez that he would have to disclose
5 not just the existence of the gold bullion but also its value,
6 right?

7 A. Yes.

8 Q. And did he tell you that he needed to do some research to
9 figure out the value of a kilo of gold?

10 A. No.

11 Q. Did he tell you what the value of a kilo of gold was on
12 that call?

13 A. No.

14 Q. Did you know what the value was?

15 A. No.

16 Q. And do you know whether there's ways on Google to look up
17 the price of gold, like the stock price?

18 MR. RICHENTHAL: Objection.

19 THE COURT: Sustained.

20 BY MR. WEITZMAN:

21 Q. Have you ever looked up the price of gold on Google?

22 THE COURT: Sustained.

23 MR. WEITZMAN: Can we put up Government Exhibit 8K-1.

24 Q. This is the email, at the bottom of page 1, the February 17
25 email that you sent to the senator, right?

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Kopplin - Cross

1 A. Yes.

2 Q. And what I'd like -- you thanked him for contacting the
3 committee, right?

4 A. Yes.

5 Q. And then, on page 2, you made the recommendation as to what
6 to disclose, right?

7 A. Correct.

8 MR. WEITZMAN: I'd like to put side by side your
9 recommendation and the actual disclosure, which was Government
10 Exhibit 10E-4. So if we can zoom in on the left side, on
11 paragraph 5, all the bullet points. Great.

12 Q. Now, again, the communication you had with the senator was
13 February 17, 2022, right?

14 A. Correct.

15 Q. And within a month he makes the disclosure, right?

16 A. Correct.

17 Q. There were some drafts that went back and forth he asked
18 you or his office asked you to review, right?

19 A. I don't recall that.

20 MR. WEITZMAN: OK. We'll get to that.

21 Now, if you can go to the disclosure on page 4, and if
22 we can do that side by side. And if you look at, let's blow up
23 No. 11.

24 Q. That's the gold bullion, right?

25 A. Yes.

06rWmen3

Kopplin - Cross

1 Q. By the way, do you recall whether Senator Menendez called
2 it bullion or bar?

3 A. I don't.

4 Q. OK. You say: I recommend you include the following
5 information in your email -- asset type, precious metal; asset
6 name, gold bullions -- gold bullion, right?

7 A. Yes.

8 Q. And in fact, he disclosed exactly as you recommended, gold
9 bullion, right?

10 A. Yes.

11 Q. And then it says description, gold bars, right?

12 A. Yes.

13 Q. And he disclosed gold bars, right?

14 A. Yes.

15 Q. And then it says owner, spouse; that was your
16 recommendation?

17 A. Yes.

18 Q. And he disclosed spouse, right?

19 A. Correct.

20 Q. And then it says value, report the combined value of gold
21 bars by selecting the appropriate category, correct?

22 A. Correct.

23 Q. And then he had to value it somehow?

24 A. Yes.

25 Q. And the disclosure was it's between \$100,001 and \$250,000,

06rWmen3

Kopplin - Cross

1 right?

2 A. Correct.

3 Q. And then you recommended, on income type, that he select
4 none, right?

5 A. Assuming there was no income.

6 Q. And how would there be income on a gold bar, by the way?

7 A. If the value went up and he had a sale of that, then there
8 would be a capital gain, presumably.

9 Q. OK. So other than by a sale of the gold bar, there is no
10 income in possessing the gold bar, right?

11 A. That's correct.

12 Q. OK. And then, again, it says income amount, there's an
13 option for none, which is what he filled out and you
14 recommended, right?

15 A. Correct.

16 It's also important to note each one of these categories --
17 so the italicized words in my email, those are dropdown menus
18 that we discussed before on the form. And so I am recommending
19 what he would select based on the conversation we had.

20 Q. Thank you.

21 And I didn't highlight, but the asset type, the first one
22 says precious metal, personal property, and that's what he did,
23 he said -- he used that asset type, as you recommended?

24 A. That's correct.

25 Q. Fair to say Senator Menendez's filing follows your

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Kopplin - Cross

1 instructions word for word?

2 A. Yes.

3 Q. Based on the information he gave you, right?

4 A. Yes.

5 MR. WEITZMAN: Now, can we put up Defense Exhibit 1853
6 for the witness only and the Court.

7 Q. Do you recognize this as an email on the bottom between you
8 and Rob Kelly?

9 A. I do.

10 Q. And it doesn't have a date on it, but do you see that the
11 top one is dated March 16, 2022?

12 A. I do.

13 MR. WEITZMAN: We offer Defense Exhibit 1853.

14 THE COURT: Admitted, without objection.

15 MR. RICHENTHAL: With the standard limiting
16 instruction, your Honor.

17 THE COURT: Not for the truth.

18 MR. RICHENTHAL: Yes.

19 (Defendant's Exhibit 1853 received in evidence)

20 MR. WEITZMAN: Let's focus in on the bottom email for
21 Ms. Kopplin.

22 Q. It says: Rob, we reviewed the draft amendment, and it
23 looks great.

24 Is it fair to say that Mr. Kelly, as the filing assistant,
25 provided you a draft of the amended '22 disclosure?

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Kopplin - Cross

1 A. Yes.

2 Q. And you were commenting on it, right?

3 A. Yes.

4 Q. And then it says: We do offer one suggestion that may help
5 the senator avoid any misinterpretation of his report if the
6 media picks it up. Specifically, the senator may want to
7 consider revising how acquired to previously held and delete
8 the comment that the asset was a parental gift. Do you see
9 that?

10 A. I do.

11 Q. Does this refresh your recollection that at some point in
12 time you were informed, via email or conversation, that the
13 gold was a parental gift to Nadine?

14 A. Vaguely. I'm not trying to be difficult. It was just a
15 long time ago.

16 Q. Fair enough.

17 And then it says: As drafted, it could be interpreted that
18 is was gifts in 2020, and our understanding was that his spouse
19 held them for some time prior to their marriage. Do you see
20 that?

21 A. I do.

22 Q. Does this mean that Senator Menendez told you not only that
23 she had it at the time of her marriage, but that he's informed
24 she had it for some time prior to her marriage?

25 MR. RICHENTHAL: Objection to form.

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Kopplin - Cross

1 THE COURT: I'll allow it.

2 A. I don't remember that part of our conversation. So it
3 could have been facts I got from Senator Menendez or from Rob
4 Kelly.

5 Q. OK. One or the other told you that this had been some
6 long-held gold for, longer than 2020 held gold by Nadine,
7 right?

8 A. Yes. That is the impression I have from rereading this
9 email.

10 Q. OK. And then if you just go to the top of the email, and
11 you see Rob Kelly forwards it to Senator Menendez with the
12 title "update from ethics." Do you see that?

13 A. Yes.

14 Q. Now, is it fair to say that there's no obligation to file
15 periodic reports with respect to gold?

16 A. That's correct.

17 Q. Because periodic reports are typically for securities,
18 right?

19 A. Yes.

20 Q. And Senator Menendez, as we established, didn't have to
21 file those types of reports because he didn't hold securities,
22 right?

23 A. That's correct.

24 THE COURT: I thought your testimony was he didn't
25 hold individual securities.

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Kopplin - Cross

1 THE WITNESS: That's correct. He did not hold
2 securities that trigger a periodic transaction report
3 requirement.

4 BY MR. WEITZMAN:

5 Q. These conversations occurred in -- they started in February
6 '22, correct?

7 A. That's correct.

8 Q. That's -- I think your math is better than mine -- four
9 months before June 2022?

10 A. Yes.

11 Q. You've reviewed the indictment in this case?

12 A. Yes.

13 Q. You've reviewed transcripts of testimony in this case?

14 A. No.

15 Q. No. OK. But just the indictment, right?

16 A. Yes.

17 Q. Are you aware that there was a search conducted of the
18 senator's home in June 2022?

19 THE COURT: Sustained.

20 BY MR. WEITZMAN:

21 Q. Did you discuss -- did the senator reach out to you and
22 discuss the fact that there was a search of his home in June
23 2022?

24 A. No.

25 Q. But you would agree with me that February 2022 is before

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Kopplin - Cross

1 June '22?

2 A. Yes.

3 THE COURT: We've established that.

4 BY MR. WEITZMAN:

5 Q. And is it correct to say that the press attention that the
6 amended disclosure got, if you recall, occurred before June
7 2022?

8 MR. RICHENTHAL: Objection.

9 THE COURT: I'll allow that.

10 The news articles that you said there were about the
11 amended disclosure, were they, if you know, before June 2022?

12 THE WITNESS: Yes, they were.

13 BY MR. WEITZMAN:

14 Q. In the same call that you had with the senator about the
15 gold bullion, did he also ask you about his wife's LLC company?

16 A. He did.

17 Q. And do you recall the name of the LLC company?

18 A. I know it now, but I can't remember if he told me during
19 that conversation or just explained it was an LLC for her
20 consultancy business.21 Q. OK. He mentioned the LLC for a consultancy business in
22 that call in February 2022, correct?

23 A. Correct.

24 Q. And his question to you was whether he now needs to
25 disclose any income that she earned as a result of that

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Kopplin - Cross

1 company, right?

2 A. The question was how to disclose it at all, because it
3 could be disclosed both as an income or as an asset.

4 Q. So there was no question in his mind from your -- let me
5 rephrase it.

6 The question wasn't whether to disclose but how to
7 disclose, is that correct?

8 A. That's what I recall, yeah.

9 Q. And does the name Strategic International Business
10 Consultants LLC ring a bell?

11 A. Yes.

12 Q. And was that the LLC company that the senator discussed
13 with you?

14 A. I don't remember if he discussed a specific name.

15 Q. OK.

16 A. I do know that it was Mrs. Menendez's LLC.

17 Q. You guys keep notes of conversations with or inquiries from
18 staff and senators, right?

19 A. Yes.

20 Q. And have you reviewed any notes in preparation for your
21 testimony?

22 A. Yes.

23 Q. And do you recall that the senator asked about the
24 disclosure and he was actually advised that he need not make a
25 disclosure regarding the LLC. Is that correct?

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Kopplin - Cross

1 MR. RICHENTHAL: Objection. Misstates.

2 THE COURT: I'm not quite sure what you're asking.

3 Are you asking about a conversation?

4 BY MR. WEITZMAN:

5 Q. Do you recall that in June 2022, the senator made an
6 inquiry and he was told that he need not disclose -- he's not
7 required to disclose the LLC on part 3?

8 A. Yes.

9 Q. And what did that mean?

10 A. So, part 2 -- if you look at the forms, part 2 references
11 income. Part 3 references assets. So the question at issue
12 with Mrs. Menendez's LLC was whether it had to be reported in
13 both part 2 and part 3. She had income greater than a thousand
14 dollars, so it had to be reported in part 2, which he did.

15 Then I asked him some questions to determine if the LLC was
16 also an asset that his spouse held, and I determined it was not
17 an asset, didn't have -- you know, didn't own anything making
18 it an asset that also had to be reported in part 3.

19 Q. And when you disclose income of a spouse, the only option
20 is either less than a thousand or more than a thousand dollars,
21 is that right?

22 A. There's no option for less than a thousand. You just don't
23 disclose it.

24 Q. OK. And so whether she earned 10,000, 30,000 or more
25 dollars, the disclosure, the only disclosure the senator has to

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Kopplin - Cross

1 make or can make is that she earned more than \$1,000, right?

2 MR. RICHENTHAL: Objection. Compound.

3 THE COURT: I'll allow it.

4 A. That's correct.

5 MR. WEITZMAN: Your Honor, I'm moving on to a --

6 THE COURT: New area? Let's give the jury its break.

7 Ladies and gentlemen, let's take lunch. One hour. Be
8 back at five after two.

9 Thank you. The evidence is coming in apace.

10 (Continued on next page)

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1 (Jury not present)

2 THE COURT: You may step down, Ms. Kopplin.

3 (Witness not present)

4 THE COURT: One hour. Thank you.

5 MR. RICHENTHAL: Your Honor, this doesn't concern this
6 witness, so I'm happy to start.

7 THE COURT: You may be seated in the courtroom.

8 MR. RICHENTHAL: Mr. Menendez's team has informed us
9 that they now object to two exhibits that we had -- excuse me,
10 five exhibits that we had intended to offer while Mr. Gilday
11 was on the stand. As the Court is aware, the desire to call
12 Mr. Gilday's been mooted by a stipulation.

13 THE COURT: Yes.

14 MR. RICHENTHAL: But the exhibits are still relevant.
15 We just learned of this objection. I'm happy to take it up
16 with the Court now, sometime later. We obviously would like to
17 move these exhibits in before we rest. And it's not for
18 Ms. Kopplin. I just wanted to advise the Court.

19 THE COURT: All right. Thank you.

20 I was also told by Mr. Monteleoni that there were
21 going to be government motions in regard to defense witnesses.
22 How many, if you know, and when am I going to get those
23 motions; that is, today or tomorrow?

24 MR. RICHENTHAL: I'm going to let Mr. Mark handle that
25 one, your Honor.

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1 THE COURT: All right.

2 MR. RICHENTHAL: Because he's taking the lead.

3 THE COURT: Sure.

4 MR. MARK: Yes, your Honor.

5 We've been conferring actively with defense counsel on
6 their case. Mr. Hana was one of the first defense counsel to
7 actively confer, and we submitted a motion here to their
8 defense witnesses yesterday.

9 (Continued on next page)

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Kopplin - Cross

1 THE COURT: Yes.

2 MR. MARK: We got proffers obviously much later from
3 Mr. Menendez' counsel so we have been actively working and are
4 about to file over the lunch break a motion that is geared
5 towards their first two witnesses. After those first two
6 witnesses we understand that they intend to introduce certain
7 summary charts. Those summary charts are incredibly
8 voluminous, there are lots and lots of exhibits to that.

9 THE COURT: Have you seen summary chart like that
10 before in this case?

11 MR. MARK: We have, and we gave significant notice,
12 weeks and weeks of notice, on those draft charts. We only
13 received draft charts of those on Monday. We immediately
14 reviewed those yesterday morning, we wrote a long e-mail to
15 them raising certain issues both to the form of those charts,
16 that they're pretty much argumentative, and there are others
17 issues with them as well as to substance, laying out a number
18 of different categories we have objections to. We have said
19 that we would like to confer and are willing to confer on those
20 before we go to the Court. We have not yet had an opportunity
21 to confer with defense counsel.

22 THE COURT: Do that today.

23 MR. MARK: That will be our plan. And then we will,
24 promptly after conferring on the issues, hopefully we can
25 narrow them, we will raise them with the Court.

06R5men4

Kopplin - Cross

1 And I will note the challenge of this is we were
2 looking at charts that we received on Monday which had
3 thousands of rows. We are obviously working, just as defense
4 counsel did with our charts. We then now just got, earlier
5 today, new versions of all of those charts which we are going
6 to have to comb through. I point out that there is a lot to
7 do, we are working through all hours, but there might be a
8 number of issues that we are going to have to get teed up.

9 THE COURT: Let's get them teed up.

10 Now, I assume, Mr. Weitzman, if you have revised the
11 charts and there are hundreds of entries you can, in some way,
12 let the other side know what the revisions were so they don't
13 have to go line by line.

14 MR. WEITZMAN: We will make sure to do that. Can I
15 just make two quick points?

16 THE COURT: Go ahead.

17 MR. WEITZMAN: The first is there are, like a thousand
18 rows. Most of them are the government's rows. What we did is
19 we took the government's charts and we just interlineated, in a
20 separate column, in a separate row, our additions. And so it's
21 clearly --

22 THE COURT: Wait, wait, wait. You used the same row
23 in the underlying exhibit. You just added from the underlying
24 exhibit the portion that you wanted to add. Is that it?

25 MR. WEITZMAN: And added additional exhibits.

06R5men4

Kopplin - Cross

1 THE COURT: Well, that's different.

2 MR. WEITZMAN: I agree, but it is not thousands of
3 rows, your Honor. The thousands of rows are their rows. In
4 any event --

5 THE COURT: We don't have to debate that. All right?

6 MR. WEITZMAN: You know, I get it. I get it.

7 But to put some context on what Mr. Richenthal was
8 discussing, one of the concerns we had about the 700
9 exhibits -- and I mentioned this yesterday -- is we haven't
10 even had an opportunity to review all of the exhibits, it was
11 just too voluminous with everything we have done. They moved
12 in five exhibits that are specific exhibits that we raised
13 objections to in front of your Honor at transcript 3706 through
14 3709. we discussed it with your Honor that we were objecting
15 to the letters that Senator Menendez wrote objecting or
16 noticing, requesting an investigation of a former
17 congressman --

18 THE COURT: I remember.

19 MR. WEITZMAN: -- for FARA violations.

20 THE COURT: I remember.

21 MR. WEITZMAN: This was among the 700 that they moved
22 in, we just didn't even know. So now I raised it with
23 Mr. Monteleoni this morning. He graciously agreed that there
24 was confusion. I'm not suggesting anything improper. This is
25 why I didn't think this process was the right process. That

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Kopplin - Cross

1 said, we can tee up the issue for your Honor at any point.

2 THE COURT: Do it. I can only say sooner than later.

3 We have these motions today, tomorrow, that's it.

4 MR. WEITZMAN: We are not planning to put in a writing
5 submission on the FARA letters, we are just happy to argue it
6 before your Honor.

7 THE COURT: Fine. Fine.

8 MR. LUSTBERG: Your Honor --

9 MR. MONTELEONI: I apologize for the multiple
10 attorneys standing up.

11 Yes, I mean Mr. Weitzman is right that there was some
12 confusion that when we disclosed to them the exhibits that we
13 intended to offer in a large group they did review them and get
14 back to us with a number of objections. These weren't among
15 them and I didn't connect up their previous objections which I
16 didn't know were still outstanding after the stipulation.

17 Regardless, those exhibits did not make it in yet. There is
18 five exhibits, we can address them now, if you want, or at
19 another break today. We are still hoping to rest today.

20 It's --

21 THE COURT: I didn't realize that. Fine. We will
22 take them up at the end of the day.

23 You are planning on resting by the end of the day?

24 MR. MONTELEONI: We hope. We hope so, yes.

25 THE COURT: Well, obviously don't rest until these

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Kopplin - Cross

1 extant issues are handled.

2 MR. RICHENTHAL: Exactly.

3 MR. MONTELEONI: Exactly.

4 And also, your Honor, I apologize for the Court not
5 realizing that we had these additional exhibits this morning.
6 I actually didn't realize that we had told --

7 THE COURT: Let's get beyond that and deal with the
8 substance of the objections. I'm not going do it now, I want
9 everybody to get lunch, but at the end of the day then if you
10 haven't rested, we will handle these. If you are going to
11 rest, let me know that you want to tee these things up. We
12 will send the jury out, I will deal with them, then you will be
13 able to rest. It really depends upon whether you are able to
14 rest by the end of the day.

15 MR. MONTELEONI: Yes. That is what we are going to
16 try to do.

17 THE COURT: Thank you.

18 MR. WEITZMAN: Thank you, your Honor.

19 THE COURT: One hour.

20 (Luncheon recess)

21 (Continued on next page)

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Kopplin - Cross

1 A F T E R N O O N S E S S I O N

2 2:10 p.m.

3 THE COURT: Let's bring the jury in.

4 We can put the witness on the stand.

5 Mr. Weitzman, what is your estimate, sir?

6 MR. WEITZMAN: 20 minutes.

7 (Witness present)

8 THE COURT: Jury entering.

9 (Continued on next page)

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Kopplin - Cross

1 (Jury present)

2 THE COURT: You may be seated.

3 MR. RICHENTHAL: Either at this time or at the time
4 cross-examination finishes, may we have a very brief side bar?

5 THE COURT: Go ahead, sir.

6 Yes.

7 Go ahead.

8 MR. WEITZMAN: Yes, your Honor.

9 BY MR. WEITZMAN:

10 Q. I think we discussed on direct Senator Menendez was
11 required to disclose wedding gifts that he and his wife
12 received over a certain dollar value?

13 A. Yes.

14 Q. And the value was \$415; right?

15 A. Would have to disclose that, yes.

16 MR. WEITZMAN: Can we put up the disclosure which is
17 Government Exhibit 10E 5?

18 Q. This is the annual report for calendar year 2020; correct?

19 A. Correct.

20 Q. And it was filed on May 17, 2021?

21 A. Yes.

22 Q. And if you turn to page 3 there are a series of disclosures
23 here, wedding gifts over \$415?

24 A. That's correct.

25 Q. To be sure if someone gave \$250 or \$300, that wouldn't have

O6R5men4

Kopplin - Cross

1 to be on this form; right?

2 A. It would require a committee approval but it would not have
3 to be on the form.

4 Q. You identify a couple names you like Philip Sellinger. Do
5 you see that there?

6 A. Yes.

7 Q. Do you see the name in line 4, Balpreet Grewal?

8 A. I do.

9 Q. Do you know the name Gurbir Grewal, by any chance?

10 MR. RICHENTHAL: Objection.

11 THE COURT: I will allow the question.

12 THE WITNESS: Can you repeat the question?

13 BY MR. WEITZMAN:

14 Q. Do you know the name Gurbir Grewal?

15 A. I do.

16 Q. He is the head of the enforcement division with SEC?

17 A. Yes.

18 Q. Former New Jersey Attorney General, right?

19 A. Yes.

20 Q. Do you know if Balpreet Grewal is his cousin?

21 A. Yes.

22 Q. And there is Donald Scarinci. Can we go to the next page?

23 There is another name here; right?

24 A. Yes.

25 Q. The wedding was in October 2020?

O6R5men4

Kopplin - Cross

1 A. Correct.

2 Q. There is the typo that you talked about, right?

3 A. Yes.

4 Q. And it was a COVID wedding October 2020; right?

5 MR. RICHENTHAL: Objection.

6 A. Yes.

7 THE COURT: Sustained.

8 When you said "yes" to that question, what did that
9 question mean to you.

10 THE WITNESS: The United States was going through
11 COVID restrictions in October of 2020.

12 THE COURT: All right.

13 MR. WEITZMAN: That's what I meant.

14 BY MR. WEITZMAN:

15 Q. And am I correct that the senator's disclosures were timely
16 filed with respect to these wedding gifts?

17 A. Yes.

18 Q. Now, did the senator or his staff talk to you about filing
19 or disclosing these wedding gifts?

20 A. There was a process where the senator requested the wedding
21 waiver.

22 Q. Correct. And in that process when he was requesting the
23 wedding waiver, did the senator inform you or your office that
24 he needed to get the list of wedding gifts from his wife?

25 MR. RICHENTHAL: Objection.

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Kopplin - Cross

1 THE COURT: Did Senator Menendez say that to you in
2 words or substance at any time?

3 THE WITNESS: No, your Honor. That predates my time
4 with the committee.

5 BY MR. WEITZMAN:

6 Q. OK. Thank you. At any point in time did the senator tell
7 you that he needed to get a list of assets that his wife has?

8 A. I don't recall that.

9 Q. Now if, for example -- well, let me reframe it a bit
10 different. Am I correct that not all gifts to a spouse need to
11 be disclosed?

12 A. Yes.

13 Q. Putting aside dollar value, even gifts above \$415 don't
14 always need to be disclosed; right?

15 THE COURT: To a spouse?

16 Q. To a spouse, yes, above \$415.

17 THE COURT: To or from a spouse?

18 Q. Let me ask it again.

19 Even gifts to a spouse of a senator above the value of
20 \$415 need not necessarily be disclosed; right?

21 A. That's correct.

22 Q. And the circumstance where such gifts need not be disclosed
23 is when it is a gift to a spouse unrelated or independent of
24 the relationship to the senator; right?

25 A. Yes.

O6R5men4

Kopplin - Cross

1 Q. And if the senator doesn't know about it; right?

2 A. Yes.

3 Q. And so, if the senator doesn't know or acquiesce in the
4 gift, he need not disclose it so long as it is independent of
5 the relationship with the senator; right?

6 A. Yes. You are quoting Senate Rule 35.

7 Q. Fair enough. Thank you.

8 So, is it fair to say that any gifts that Nadine received
9 while they were married above \$415 that are personal gifts to
10 her, independent of the senator and the senator doesn't have
11 knowledge of them, need not be disclosed on a disclosure form?

12 MR. RICHENTHAL: Objection.

13 THE COURT: I will allow it.

14 A. That's fair.

15 Q. And on top of that, let's say there are birthday gifts
16 given to Nadine before they're married when she's his
17 girlfriend. Those never need to be disclosed; right?

18 A. That's correct.

19 Q. And if there is a birthday gift to a fiancée before they're
20 married, those never need to be disclosed either; right?

21 A. Yes, a gift to Nadine Menendez before they were married
22 does not need to be disclosed by the senator.

23 Q. Nadine Arslanian at the time; correct?

24 A. Yes.

25 Q. And so, if Nadine Arslanian receives an engagement gift or

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Kopplin - Cross

1 a birthday gift for that matter, those don't need to be
2 disclosed either; right?

3 A. If they are only to her, no knowledge by the senator;
4 that's correct.

5 Q. Let me break it down, though. If they're engaged and it is
6 only to her, it need not be disclosed at all whether there is
7 knowledge or not at the time of the gift; right?

8 A. Only to her, that's correct.

9 Q. If the gift is when they're married and it is only to her,
10 so like a wedding gift or something else only to her, then if a
11 senator doesn't know about it he doesn't have to disclose it;
12 right?

13 A. That's fair.

14 THE COURT: Well, I take it if he doesn't know about
15 it he can't disclose it; right?

16 THE WITNESS: Right. That's why I said it is fair.

17 THE COURT: You can't disclose what you don't know,
18 correct? Ms. Kopplin, correct?

19 THE WITNESS: Yes.

20 BY MR. WEITZMAN:

21 Q. And so, just to take it one step further, if Nadine got a
22 gift while they were married but told the senator that she paid
23 for it herself, that too wouldn't have to be disclosed because
24 the senator would be unaware of the gift?

25 THE COURT: Sustained.

O6R5men4

Kopplin - Cross

1 Q. What about dinners? Let's say that someone wines and dines
2 a senator. What is the dollar value that requires disclosure?

3 A. \$250.

4 Q. Now, if it is an expensive dinner and the senator pays for
5 his part of the meal in cash, reimburses whoever is paying for
6 it by credit card, you wouldn't have a disclosure obligation at
7 that point in time; correct?

8 A. That's correct.

9 Q. So as long as it is reimbursed in cash or some other way
10 there is no disclosure obligation?

11 A. That's correct.

12 Q. Now, you talked a bit about loans in your direct. Do you
13 recall that?

14 A. I do.

15 Q. That was, I guess part 7 of the financial disclosure?

16 A. Yes, sir.

17 Q. You guys get 1,200 calls a year, right?

18 A. 12,000.

19 Q. Sorry, 12,000 calls a year.

20 To your knowledge, do some of those calls concern
21 disclosure obligations with respect to loans?

22 A. Yes.

23 Q. And is it fair to say that some people are confused about
24 whether loans have to be reported if they are repaid before the
25 end of the calendar year?

O6R5men4

Kopplin - Cross

1 THE COURT: Sustained.

2 Q. Have you ever received a call from a senator or a filing
3 assistant about whether loans have to be disclosed if repaid
4 before the end of the calendar year?

5 A. Yes.

6 Q. Have you received one or more than one call like that?

7 A. More than one.

8 THE COURT: What is your answer to the question: Does
9 a loan have to be disclosed if repaid before the end of the
10 year?

11 THE WITNESS: Yes.

12 So, if you had a loan during any part of the calendar
13 year, you report the highest amount that was owed during that
14 calendar year, for a personal loan, a mortgage, that type of
15 thing. If this is a revolving charge account, you do not.

16 Q. If it is a spousal loan you have a disclosure obligation so
17 long as you are aware of the loan; right?

18 A. Yes.

19 Q. And if you are not aware you can't disclose it; right?

20 A. Yes.

21 THE COURT: Same point as before. You can't disclose
22 something you don't know about; right?

23 THE WITNESS: Yes, sir.

24 THE COURT: I think that is a given, Mr. Weitzman.

25 MR. WEITZMAN: Thank you, your Honor.

06R5men4

Kopplin - Cross

1 BY MR. WEITZMAN:

2 Q. Do you know whether the senator disclosed his spouse's car
3 loan to the U.S. Attorney's office in 2022?

4 MR. RICHENTHAL: Objection.

5 THE COURT: Do you know whether the senator informed
6 the United States Attorney's office in 2022 that his spouse had
7 incurred a car loan? Yes or no.

8 THE WITNESS: No, I do not know.

9 MR. WEITZMAN: I'm sorry. I put the wrong year in
10 there. I meant 2023.

11 THE COURT: Is your answer the same?

12 THE WITNESS: Same answer.

13 BY MR. WEITZMAN:

14 Q. Now, I think you went through a few more financial
15 disclosures of the senator.

16 Let's put up 10E-7.

17 This is the annual report for 2022; correct?

18 A. Yes, sir.

19 Q. Do you know why it is filed on June 26, 2023?

20 A. He had requested an extension that year to complete his
21 filing.

22 Q. And he got it; right?

23 A. Of course.

24 Q. And this one continues to report regarding the gold bullion
25 on page 4; right?

O6R5men4

Kopplin - Cross

1 A. Yes, sir.

2 Q. And then it also, in Section 4(b), next page, discloses
3 sales for what are called here partial sales of gold bullion;
4 correct?

5 A. That's correct.

6 Q. What does that mean in the context of gold bullion, that it
7 says "partial"? Do you have any idea how that could be
8 partial?

9 A. Yes, that there is still gold bullion owned by the senator
10 or his spouse in this case.

11 Q. So it is not as to the single bar. If there is a bar
12 referenced it is not that there is a partial ownership interest
13 still in that bar, it is that there is other gold bullion?

14 A. Correct.

15 Q. By the way, you told Senator Menendez in a prior e-mail
16 that if his wife sold these gold bars he would have to disclose
17 they in section part 4(b); right?

18 A. Yes.

19 Q. And that's exactly what he does here, right?

20 A. Yes.

21 Q. And there are four sales; correct?

22 A. Yes.

23 Q. They are dated in the transaction date April 7 and 8, and
24 then again June 14, 2022 and June 16, 2022; right?

25 A. Yes.

O6R5men4

Kopplin - Cross

1 Q. And each sale is listed as having a value between \$50,000
2 and \$100,000 or so; correct?

3 A. Yes.

4 MR. WEITZMAN: Can we do a split screen, just so that
5 we can confirm other documents that are in evidence? Can we
6 put up on the right side Government Exhibit 5A-5001A?

7 Q. Do you see this check to Nadine Menendez?

8 A. Yes.

9 Q. And it is dated April 7, 2022 and it is for the amount of
10 \$60,808?

11 A. Yes.

12 Q. Assuming that is all accurate, what you see on the check,
13 that is what he disclosed in line 1; correct?

14 A. That's correct.

15 MR. WEITZMAN: Can we do on the right Government
16 Exhibit 5A-5001B?

17 Q. And again that is an April 8, 2022 check to Nadine Menendez
18 from Avital Gold and Platinum; correct, in the amount of
19 \$60,000 or so?

20 A. Yes, sir.

21 Q. And again that lines up with row 2 of the disclosure;
22 right?

23 A. Yes.

24 Q. Now let's put up Government Exhibit 5A-5001C, and that's a
25 June 14, 2022 sale to Avital Gold in the amount of \$58,000 or

O6R5men4

Kopplin - Cross

1 so dollars; right?

2 A. Yes.

3 Q. That lines up with line 3; correct?

4 A. Yes.

5 Q. And then if we put up Government Exhibit 5I-603A, and
6 that's a June 16, 2022 check in the amount of \$58,000-plus from
7 Avital Gold to Nadine Menendez; correct?

8 A. That's correct.

9 Q. And that lines up with line 4 of this disclosure?

10 A. That's correct.

11 Q. If a senator makes a mistake in a disclosure -- actually,
12 let me withdraw that.

13 You said that part of your duties as -- chief counsel, is
14 that the title?

15 A. Yes.

16 Q. Chief counsel is oversight of enforcement and
17 investigations; right?

18 A. Yes.

19 Q. And that there are certain remedies or things that you all
20 can do as part of that enforcement responsibility; right?

21 A. Yes.

22 Q. If a senator makes a mistake in his disclosure, you guys
23 have the ability to censure a senator civilly or in the Senate
24 to deal with that mistake; right?

25 MR. RICHENTHAL: Objection.

06R5men4

Kopplin - Cross

1 THE COURT: Just a moment. I will allow it.

2 A. By "you guys" you are referring to the whole body of the
3 U.S. Senate?

4 Q. Yes.

5 A. Yes.

6 THE COURT: I take, it based on your prior testimony,
7 the Senate has a variety of options in regard to how to address
8 a mistake, an error in the filings; is that correct?

9 THE WITNESS: Yes. What I would say is if it was a
10 mistake, you would not be discussing disciplinary action. If
11 the mistake was found to be intentional, then there is a range
12 of opening that do include censure.

13 THE COURT: Thank you.

14 MR. WEITZMAN: Thank you, Ms. Kopplin.

15 MR. LUSTBERG: No questions, your Honor.

16 THE COURT: Mr. de Castro?

17 MR. DE CASTRO: No questions. Thank you.

18 THE COURT: Any redirect?

19 MR. RICHENTHAL: Can we have the brief side bar now,
20 your Honor?

21 THE COURT: Oh yes. Thank you.

22 (Continued next page)

06R5men4

Kopplin - Cross

1 (At side bar)

2 MR. RICHENTHAL: We think that Mr. Weitzman's
3 continued suggestion that the senator had no idea what these
4 forms were about, no idea what the rules were, etc., has opened
5 the door to a redacted version of the admonishment letter from
6 2018, or in the alternative, a stipulation that he was advised
7 of the gift rule in 2018.

8 I wanted to do this before he finished his cross.

9 THE COURT: Yes.

10 MR. WEITZMAN: First of all, I previewed yesterday or
11 maybe the day before, and your Honor made very clear that that
12 wouldn't come into evidence. I don't think I opened the door.

13 THE COURT: Well, that is the question.

14 MR. WEITZMAN: The questions I asked were whether she
15 has any evidence that any of the instructions or the manual
16 were provided to the senator and she has no such evidence.
17 It's an accurate statement of fact and it doesn't change
18 whether he has been admonished or not. I also asked whether
19 she knows who actually does the filing, and she doesn't know.
20 And it could be Rob Kelly, and I assure you --

21 THE COURT: You mean who pushes the button.

22 MR. WEITZMAN: Who pushes the button.

23 THE COURT: It is not for me, I don't think that went
24 anywhere. I don't know. That's up to the jury.

25 MR. WEITZMAN: Right. That also doesn't open the door

06R5men4

Kopplin - Cross

1 to an admonishment. The admonishment, that is a 404(b) issue
2 that they never even disclosed.

3 MR. RICHENTHAL: It is not another fact, your Honor,
4 so it is not 404(b), although we did give notice I think months
5 ago. The question is whether the jurors have now been left
6 with a misimpression regarding the senator's knowledge, in
7 particular, as to the rules regarding gifts.

8 On or about April 26, 2018, the senator was publicly
9 admonished including, by the way, by Senator Coons, the very
10 senator with whom he spoke, about gifts and the failure to
11 disclose gifts. Now we have prepared a redacted version of
12 that letter. I understand the defense may have objections to
13 portions of it. I can't speak to that. We sent that to them
14 yesterday. But I just want to say we think that either a
15 redacted version -- the version we prepared or a different
16 redacted version -- or stipulation is appropriate so that the
17 jury is not left with the misimpression that the senator was
18 not advised, in particular, about failure to disclose gifts and
19 the Senate rules concerning gifts.

20 MR. WEITZMAN: Your Honor, I would like to say I don't
21 think I asked a single question about the senator's knowledge.

22 THE COURT: I'm going to deny. I don't think the door
23 has been opened. I am denying the government's request.

24 MR. RICHENTHAL: Your Honor, I would just like a
25 little leeway on redirect just to explore that area.

06R5men4

Kopplin - Cross

1 THE COURT: How?

2 MR. RICHENTHAL: No, not the admonishment. By "that
3 area" I mean the area of his knowledge as to the instructions
4 and the rules. I think I probably have enough leeway in light
5 of the cross anyway.

6 THE COURT: Just set forth a few of the questions.

7 MR. RICHENTHAL: Your Honor, all I meant -- sorry. I
8 shouldn't have said "this area" because that suggests the
9 admonishment. I am not touching the admonishment, I am not
10 using that word, that is not what I intended.

11 What I mean is I would like to ask perhaps one or two
12 more questions than the Court might otherwise permit in the
13 interest of efficiency about the senator's knowledge of the
14 rules and the senator's knowledge of the form, not the
15 admonishment.

16 THE COURT: Formulate it now, if you can, so I can
17 hear.

18 MR. RICHENTHAL: So, Ms. Kopplin, in a given e-mail,
19 do you see reference there to my report? Yes, I see the
20 reference to my report. Is that the reference to the senator's
21 report or is that the reference to Mr. Kelly's report? Things
22 of this nature. Honestly, I think I would be able to ask those
23 questions anyway because the cross went to that.

24 THE COURT: That sort of thing --

25 MR. RICHENTHAL: I am not touching the admonishment.

06R5men4

Kopplin - Cross

I just wanted to put on the record I may have to ask one or two questions more than I would otherwise ask to explore the bases for the view that we have that the senator was aware of his filing obligation. I'm not touching the admonishment and didn't mean to suggest otherwise.

THE COURT: I will take it as it comes.

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06R5men4

Kopplin - Redirect

1 (In open court)

2 MR. RICHENTHAL: If it is OK with the Court, I would
3 like to proceed from here.

4 THE COURT: Yes. Of course.

5 REDIRECT EXAMINATION

6 BY MR. RICHENTHAL:

7 Q. Ms. Kopplin, do you recall being asked questions about
8 whether other senators have engaged lawyers in conversations
9 about disclosures?

10 A. Yes.

11 Q. Is Senator Menendez himself a lawyer?

12 A. Yes.

13 Q. Do you recall being asked questions about Senator Menendez'
14 awareness of how to fill out a financial disclosure form?

15 A. Yes.

16 Q. Now, again, approximately how long has Mr. Menendez been a
17 senator?

18 A. 2006.

19 Q. Has the disclosure requirement been in place since at least
20 2006?

21 A. Yes, sir.

22 Q. Do you recall being asked questions about --

23 THE COURT: I take it, do you know whether or not he
24 has filed annual financial forms, that reported form, annually,
25 since 2006.

O6R5men4

Kopplin - Redirect

1 THE WITNESS: Yes, sir, I do, and he has.

2 THE COURT: All right.

3 BY MR. RICHENTHAL:

4 Q. Now, do you recall being asked questions about whether
5 maybe Mr. Kelly and not Mr. Menendez reviewed or filed
6 Mr. Menendez' disclosures?

7 A. Yes.

8 Q. Do you recall being asked multiple questions on that
9 subject?

10 A. Yes.

11 MR. RICHENTHAL: Can we call up again Government
12 Exhibit 8K-1, please?

13 Q. Is that on your screen, Ms. Kopplin?

14 A. It is.

15 Q. Do you see the e-mail here to you on March 6, 2022?

16 A. Yes.

17 Q. Do you see that someone wrote: I am getting ready to amend
18 my report.

19 A. Yes.

20 Q. Who wrote this sentence that includes the words "my
21 report."?

22 A. Senator Menendez.

23 Q. Is Mr. Kelly even on this e-mail?

24 A. He is not.

25 MR. RICHENTHAL: Can we now call up Defendant's

O6R5men4

Kopplin - Redirect

1 Exhibit 1853?

2 THE COURT: It is in evidence, I take it?

3 MR. RICHENTHAL: Yes, it is in evidence, your Honor.

4 Q. Can we go to the e-mail that you sent Mr. Kelly? Do you
5 see where you wrote, Ms. Kopplin: If any of the underlying
6 facts are incorrect, just tell me.

7 A. Yes.

8 Q. From who did you "the underlying facts"?

9 A. Senator Menendez.

10 Q. To whom did Mr. Kelly forward this e-mail in which you said
11 that to Mr. Kelly?

12 A. Senator Menendez.

13 MR. RICHENTHAL: Can we go to the top, please?

14 Q. Do you recall being asked questions, more than one, about
15 whether cash had to be reported as an asset?

16 A. Yes, sir.

17 Q. If a senator receives cash from a non-relative of, say,
18 more than \$1,000, does that have to be disclosed as a gift for
19 his income?20 A. If he received it as a gift it would have to be reported as
21 a gift.

22 Q. What if he received it as income?

23 A. Then it would have to be reported as income.

24 Q. What year would it have to be disclosed as either income or
25 a gift?

O6R5men4

Kopplin - Redirect

1 A. The year it was received or earned.

2 Q. Now, if the Senator's spouse received, say, more than
3 \$1,000 in cash, not independent of the senator, would that have
4 to be disclosed as either a gift or income?

5 A. Yes.

6 Q. In what year?

7 A. In the year it was received or earned.

8 Q. Now, do you recall being asked about jewelry?

9 A. Yes.

10 Q. Regardless of the form of jewelry, if a senator receives
11 jewelry from a non-relative of worth more than, say, \$1,000
12 again, would that have to be reported as either a gift or
13 income?

14 A. As a gift, yes.

15 Q. For what year?

16 A. For the year it was received.

17 Q. Now the same question for the senator's spouse. If that
18 jewelry was not provided independent of the senator, would it
19 have to be reported as a gift?

20 A. Yes.

21 Q. For what year?

22 A. The year it was received.

23 Q. Would any of the answers you just gave about jewelry change
24 if it wasn't jewelry, it was just gold?

25 A. No.

06R5men4

Kopplin - Redirect

1 MR. RICHENTHAL: Now, sticking with gifts for a
2 moment, Ms. Wechsler, can you put up Government Exhibit 10E-9
3 in evidence, the instruction forms for the years we talked
4 about, and can we go to PDF page 26 which is page 23 of the
5 instructions? Can we blow up, that is zoom in, the general
6 instructions, please?

7 Q. Can I now direct your attention to where it says "note" and
8 it is bolded?

9 A. Yes.

10 Q. Can you read the first sentence?

11 A. Disclosure of a gift does not authorize its acceptance.

12 Q. What does that mean?

13 A. I discussed this briefly earlier. It means just because
14 you have disclosed the gift doesn't mean that it is acceptable
15 under the Senate rules that govern whether you could have
16 actually accepted the gift.

17 MR. RICHENTHAL: You can take that down, Ms. Wechsler.

18 Q. Sticking with gifts for a moment, do you recall being asked
19 hypothetical questions about whether Ms. Menendez received
20 engagement gifts?

21 A. Yes.

22 Q. And whether those might have to be disclosed?

23 A. Yes.

24 Q. Now, if a gift is not just to the fiancée it is in fact to
25 the fiancée and the future husband, would that have to be

O6R5men4

Kopplin - Redirect

1 disclosed?

2 A. Yes, which is what my hesitation was, because usually an
3 engagement or a wedding gift is addressed to the couple.

4 Q. Can you explain what you mean by that was the source of
5 your hesitation?

6 A. Well, the question was if a gift was given to Senator
7 Menendez' spouse, then fiancée, independent of him. But given
8 its nature as an engagement gift, most people write the
9 engagement gift to both the bride and the groom or the brides
10 or the grooms.

11 Q. Are you familiar with the word "divisible"?

12 A. Yes.

13 Q. Does that have a meaning in the context of gifts for Senate
14 disclosures?

15 A. No. Not really.

16 Q. What does the word divisible mean to you?

17 A. It means it can be divided up between the parties.

18 Q. Now, in the context of gifts and disclosures, what if a
19 gift can't be divided?

20 A. Then you report the entire gift.

21 Q. If, for example, a gift was to two people, you can't
22 readily determine what percentage was to one person and what
23 percentage was to another; does the senator have to disclose
24 it?

25 A. Our advice would always be to err on the side of

06R5men4

Kopplin - Redirect

1 disclosure, especially in the context of an engagement or a
2 wedding gift.

3 Q. I have asked you some questions about gifts, let me just
4 switch to something else. If a senator or senator's wife was
5 loaned money for a car, again, say more than \$1,000, would that
6 have to be disclosed as a liability?

7 A. It would have to be disclosed as a liability. It may also
8 have to be looked at as a gift depending on the terms of the
9 loan.

10 Q. Could it in fact have to be disclosed as both?

11 A. Yes.

12 Q. Now, for what year, if there was a disclosure requirement,
13 would with this loan have to be disclosed?

14 A. The year that they took the loan, that they assumed
15 responsibility for the loan.

16 Q. Would it matter if it were paid back in part during the
17 year?

18 A. No.

19 Q. Would it matter if it were paid back entirely in the year?

20 A. No.

21 Q. Now, I asked you about a loan for a car. What if the loan
22 was for a home. Would your answers change?

23 A. No.

24 Q. Still have to be disclosed as either a liability or a gift?

25 A. Definitely as a liability and likely also as a gift

O6R5men4

Kopplin - Redirect

1 depending on the terms of the loan. And specifically what I
2 mean is if you get more favorable terms on a loan than you
3 would from a financial institution like a bank, that part of it
4 is considered a gift and we would look at it separately.

5 Q. Now, same question asked about the car. What if the
6 mortgage loan was paid back in part during the year. Still
7 have to be disclosed?

8 A. You disclose the highest amount during that year.

9 Q. What if it was paid back entirely in the year?

10 A. You disclose the highest amount of during that year.

11 THE COURT: Highest amount owed during that year?

12 THE WITNESS: Yes, sir.

13 Q. Now, in the disclosures we looked at, did Senator Menendez
14 ever disclose a car loan?

15 A. No, sir.

16 MR. WEITZMAN: Objection. Scope.

17 THE COURT: I will allow that.

18 Q. Did he ever disclose a mortgage other than the Mr. Cooper
19 and Select Portfolio?

20 A. For what year?

21 Q. Any of the years I showed you.

22 A. Yes. He disclosed a mortgage in 2018 and 2019.

23 Q. Was that the Mr. Cooper and the Select Portfolio?

24 A. No, sir. It was for a mortgage on a residence he owed.

25 Q. I see. A mortgage he owned. What about one his spouse

06R5men4

Kopplin - Recross

1 owned?

2 A. So he disclosed that in 2020, 2021, and 2022.

3 Q. And he disclosed it for Mr. Cooper; is that right?

4 A. Yes.

5 Q. And Select Portfolio?

6 A. Yes.

7 Q. Anyone else?

8 A. No.

9 Q. Ever in that time period?

10 A. No.

11 MR. RICHENTHAL: No further questions.

12 THE COURT: Thank you.

13 MR. WEITZMAN: Just one?

14 THE COURT: Go ahead, sir.

15 RECROSS EXAMINATION

16 BY MR. WEITZMAN:

17 Q. You were talking about engagement gifts and wedding gifts
18 and how they're usually given to a couple?

19 A. Yes, sir.

20 Q. You have heard of bridal shower gifts?

21 A. Yes.

22 Q. Those are usually given to the bride?

23 A. Yes.

24 Q. Before she gets married, right?

25 A. Yes.

OR5men4

Wheeler - Direct

1 MR. WEITZMAN: No further questions.

2 THE COURT: You are excused, Ms. Kopplin. Thank you.

3 You may step down.

4 (Witness excused)

5 THE COURT: Next witness for the government, please.

6 MR. MONTELEONI: The government calls Special Agent
7 Elisabeth Wheeler.

8 THE DEPUTY CLERK: Raise your right hand.

9 ELISABETH WHEELER,

10 called as a witness by the Government,

11 having been duly sworn, testified as follows:

12 THE DEPUTY CLERK: Please state your full name and
13 spell your name for the record.

14 THE WITNESS: Elisabeth Wheeler. E-L-I-S-A-B-E-T-H
15 W-H-E-E-L-E-R.

16 THE COURT: Good afternoon, Ms. Wheeler, welcome.
17 Please move the microphone down towards your face, it is a
18 directional mic. Thank you.

19 Your witness, Mr. Monteleoni.

20 MR. MONTELEONI: Thank you, your Honor.

21 DIRECT EXAMINATION

22 BY MR. MONTELEONI:

23 Q. Good afternoon. Are you currently employed?

24 A. Yes.

25 Q. Where do you work?

OR5men4

Wheeler - Direct

1 A. The Federal Bureau of Investigation, also known as the FBI.

2 Q. What is your title at the FBI?

3 A. Special agent.

4 Q. How long have you been with the FBI?

5 A. Approximately 12 years.

6 Q. What unit are you currently assigned to at the FBI?

7 A. I'm assigned to a unit called the Cellular Analysis Survey
8 Team, also known as CAST.

9 Q. For how long have you been on the Cellular Analysis Survey
10 Team or CAST?

11 A. Approximately a year and a half.

12 Q. What did you do at the FBI before joining CAST?

13 A. I was assigned, for 11 years, to the FBI New York field
14 offices violent crime task force. That task force, that squad
15 was composed of 12 FBI agents and NYPD detectives, and we
16 investigated reactive violent crime in the five boroughs of New
17 York City, primarily bank robberies, armored car robberies,
18 fugitives, kidnappings, extortions. Those types of
19 investigations.

20 Q. What did you do before becoming an FBI agent?

21 A. I was an intelligence analyst with a unit called the High
22 Intensity Drug Trafficking Area Initiative. Also, the acronym
23 for that is HIDTA. It is a program run out of the White House
24 that puts members of retired law enforcement and other
25 intelligence analysts in various intelligence centers across

OR5men4

Wheeler - Direct

1 the country to assist local police departments with their
2 investigations.

3 Q. And when you use intelligence in the sense in which you
4 were an intelligence analyst, what does that refer to?

5 A. Intelligence in the sense of assisting with, primarily,
6 criminal investigations.

7 Q. What were some of your duties and responsibilities as an
8 intelligence analyst for the High Intensity Drug Trafficking
9 Area Initiative?

10 A. I looked at local trends in the area, primarily anything
11 involving drugs, patterns and trends that we were seeing
12 locally, and across the country, and also assisting local law
13 enforcement officers with investigative checks and leads to
14 assist with their criminal investigations.

15 Q. Now, directing your attention to your time in the FBI's
16 CAST unit, the current assignment, during that time as a CAST
17 agent have you worked on criminal investigations?

18 A. Yes.

19 The CAST unit is composed of approximately 80 FBI
20 agents and task force officers across the country, and we are
21 tasked with analyzing historical call detail records maintained
22 by the cellular telephone companies to determine approximate
23 locations of phones both historically and prospectively. So,
24 if we are looking in the past at a phone we are able to look at
25 those records and determine the phone's approximate location,

OR5men4

Wheeler - Direct

1 and if we are out actively searching for someone, we would use
2 the current phone records on a prospective basis to track that
3 person. We are also trained to teach other members of law
4 enforcement to do the same thing. Since there are only 80 of
5 us, we want to train our fellow agent, officers, detectives,
6 investigators, to be able to analyze records from the phone
7 companies. And, we also provide expert testimony.

8 Q. So, you mentioned analyzing historical call detail records
9 for location information. Is that type of data also referred
10 to as cell site location data?

11 A. Yes.

12 Q. At a high level, what is cell site location data?

13 A. So any time our phones make or receive a phone call or text
14 message or use the internet, a record is generated with a phone
15 company and those are the records that we are trained to
16 analyze and use to determine the approximate location of the
17 device used at the time of that transaction.

18 Q. And when you say "transaction," do you mean the connection
19 of the phone to the cellular network to transmit data, make a
20 phone call, send a text message, that type of thing?

21 A. Yes.

22 Q. Now, is the type of analysis that you are trained to
23 perform as a CAST agent, is that method of analysis reliable?

24 A. Yes.

25 Q. Is it used for purposes besides law enforcement?

OR5men4

Wheeler - Direct

1 A. Yes. It is used by the phone companies themselves when
2 they're looking at their network and laying out enhancements,
3 upgrades, improvements to determine where their customers'
4 needs are. It is also used -- it was used at the start of
5 COVID for all of those COVID maps that we saw. And it is also
6 used when a hiker for example hikers go missing. And then, in
7 CAST, we use it and validate our methodology on a weekly basis,
8 daily basis at times, when we regularly find missing children
9 and fugitives, which we do more than once a week.

10 Q. Now I want to talk a little bit more about your background
11 in analyzing this type of historical location information. Did
12 you receive specialized training to become a CAST agent?

13 A. Yes.

14 Q. Can you please describe the training that you received to
15 become a CAST agent?

16 A. Yes.

17 So, the training to become a certified member of the
18 CAST unit is approximately 250 hours. It starts with two or
19 three days of a basic class in which we are introduced to
20 records from the various phone companies -- AT&T, Verizon,
21 T-Mobile. We are taught to look at them, interpret them, map
22 them, and begin to understand those records. After that is a
23 week-long advanced class in which we again look at these
24 records from these phone companies. This time we create maps
25 and present those maps to our colleagues. After that is a

OR5men4

Wheeler - Direct

1 week-long field training exercise. The field training exercise
2 is a little bit different than the previous two classes in that
3 every morning and every afternoon we are presented with a new
4 scenario, a scenario that was previously worked by a member of
5 the CAST unit in real-time. So, for example, we will be told
6 that, simulating as if we were in a command post, and we are
7 told the parents of an 11-year-old girl woke up this morning to
8 find that she wasn't in her bedroom. The last time they saw
9 her was when she went to bed the previous evening. This
10 morning she's not there and her phone is on her bed. So you
11 need to help us locate this missing girl. So, we request
12 database checks, we request records, and conduct a logical
13 investigation to determine the location of the missing girl and
14 we then present our findings and maps to our colleagues as if
15 they were about to go out and look for this missing girl.

16 We are graded on each exercise in the morning and
17 afternoon, and if our grades are high enough, we are invited to
18 attend a four-week long certification course. The first week
19 of the certification course consists of a week of radio
20 frequency theory instruction from professors from the Florida
21 Institute of Technology. It is basically a semester's worth of
22 material that they present to us in a week. At the conclusion
23 of that week we have to pass a lengthy test. We are then, we
24 bring in members from AT&T, Verizon and T-Mobile, the companies
25 themselves. They bring in people from their custodians of

OR5men4

Wheeler - Direct

records group, so the people that work as custodians of records come in and talk to us about the records, how to request them, any nuances in the records, that type of thing. And then, they also bring in engineers. So we then receive instruction from the engineers from the companies about how they lay out their networks and all of the different subtleties in the laying out of the cellular network.

Then we receive instruction from a company called Gladiator. Gladiator provides the CAST unit with the mapping software we use for our products and also with the scanners that we use when we are doing network surveys which is determining the exact footprint of the cell site at a given moment in time.

Finally, we are given one last practical scenario and we have to work that scenario similar to what we did at the field training exercise, from its inception to the conclusion. However, this time, instead of presenting on our findings and maps to our colleagues, we instead present it in a moot court setting. And if we pass that moot court and all of the other prior exams, then we become certified members of the CAST unit.

Q. So, when you become a certified member of the CAST unit, does that certification last forever or are you required to do anything to maintain your certification or to get recertified?

A. Yes. There are various requirements that we have to meet throughout the year in terms of the number of analyses we do,

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1 the number of records we look at, the number of classes we
2 teach because, again, teaching tool with law enforcement is a
3 major component of our program. And we also have to attend an
4 annual recertification conference in which we again bring in
5 members of T-Mobile, AT&T, and Verizon, we talk to our
6 professors again from the Florida Institute of Technology, we
7 are trained on any new developments. We also bring in members
8 of companies like Google and Apple and Meta to learn more about
9 how our work can be impacted by these third -- these social
10 media companies, phone companies, that also provide hardware
11 and operating systems. And then we also meet as a group to
12 discuss best practices, new techniques that we can use in
13 analyzing records, and other best practices.

14 Q. What is the approximate date of your most recent
15 recertification?

16 A. March of this year.

17 Q. Now, I want to talk a bit more about the methodology that
18 you use as a CAST agent. Has the CAST methodology for
19 historical location information analysis been tested and
20 verified?

21 A. Yes. Again, we, as a unit, test it and verify it on a near
22 daily basis. I think last week there were three minors located
23 using this technology and 11 fugitives apprehended using the
24 same technology.

25 Q. Now, can this technology determine the exact location of a

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1 phone?

2 A. No.

3 Q. Have you nonetheless used this methodology yourself to
4 locate missing persons or fugitives?

5 A. Yes.

6 Q. About how many times in your case?

7 A. It is hard to say exactly. A dozen, two dozen, three
8 dozen.

9 Q. Have you, yourself, ever used historical location
10 information analysis in this methodology to rule out subjects
11 of investigations?

12 A. Yes. I have and members of the CAST unit have also used
13 the same methodology to exonerate persons who had previously
14 been convicted.

15 Q. And, by the way, so you testified that before you were in
16 CAST you were on the violent crimes task force. Did you
17 analyze this kind of location information in that position as
18 well?

19 A. Yes. Almost every case I worked on the violent crime task
20 force required some type of cell phone analysis.

21 Q. You testified that before you were in the FBI you were an
22 intelligence analyst with HIDTA, the High Intensity Drug
23 Trafficking Area Initiative. Did you analyze cell phone
24 location information in that position?

25 A. I did.

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1 Q. About how many historical location information analyses did
2 you say you conducted over the course of your career?

3 A. Hundreds.

4 Q. Have you testified in court on previous occasions?

5 A. Yes.

6 Q. On any of those occasions, were you qualified by a court as
7 an expert in the field of historical location information
8 analysis?

9 A. Yes.

10 Q. About how many times have you provided expert testimony in
11 that field?

12 A. Nine.

13 MR. MONTELEONI: At this time, pursuant to Federal
14 Rule of Evidence 702, the government offers Special Agent
15 Wheeler as an expert in the field of historical location
16 information analysis.

17 MR. FEE: No objection.

18 THE COURT: I grant that motion.

19 Ladies and gentlemen, all that means is that this
20 witness can answer opinion questions in regard to historical
21 location information analysis. My granting a motion to declare
22 an expert means nothing more and nothing less. She can be
23 asked opinion questions in the area of her expertise.

24 Proceed.

25 MR. MONTELEONI: Thank you, your Honor.

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1 BY MR. MONTELEONI:

2 Q. Special Agent Wheeler, did you examine historical call
3 detail records in connection with this case?

4 A. Yes.

5 Q. After reviewing those records, did you form any expert
6 opinions?

7 A. Yes.

8 Q. How, if at all, do you document your opinions?

9 A. So, after uploading the original records from the phone
10 company into my mapping software and drilling down on certain
11 dates, times and locations, I take screenshots of those maps
12 and I embed those screen shots of maps into a PowerPoint
13 presentation that contains additional information, and I then
14 complete that PowerPoint and that is what reflects my opinions.

15 Q. When you say that you uploaded the location information
16 into your mapping software, does that refer to the software
17 provided by the Gladiator company?

18 A. Yes.

19 Q. Now, what, if any additional review, did the report that
20 you just described undergo after you completed it?

21 A. So prior to testifying in court, as a member of the CAST
22 unit, it is required that we submit our reports to another
23 member of the CAST unit for peer review. When we conduct a
24 peer review, you take the original record from the phone
25 company and the report and you go through and look at each --

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1 every item that is reflected in the report in the original
2 records to ensure that what is in the original records is
3 accurately depicted in the maps.

4 MR. MONTELEONI: Mr. Hamill, can you please show just
5 the witness, the parties, and the Court the document that has
6 been marked for identification as Government Exhibit 16C-2?

7 Q. Special Agent Wheeler, do you recognize this document?

8 A. Yes.

9 Q. Did you review this prior to testifying?

10 A. Yes.

11 Q. What is this?

12 A. This is my report.

13 Q. Does the report that you drafted fairly and accurately
14 reflect your opinions in this case?

15 A. Yes.

16 Q. Now this particular exhibit, Government Exhibit 16C-2, does
17 this include, in addition to your report, excerpts from other
18 government's exhibits in this case?

19 A. Yes.

20 Q. Do you examine each of these excerpts to compare the times
21 that were noted in the excerpts to the times noted in the
22 report?

23 A. Yes.

24 MR. MONTELEONI: The government offers Government
25 Exhibit 16C-2.

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1 THE COURT: Admitted, without objection.

2 (Government's Exhibit 16C-2 received in evidence)

3 MR. MONTELEONI: Mr. Hamill if you could publish just
4 the first page of Government Exhibit 16C-2?

5 BY MR. MONTELEONI:

6 Q. Special Agent Wheeler, let's start with the basics. How do
7 cellphones work?

8 A. So, our phones are essentially radios, similar to the
9 walkie-talkies used as kids. The difference is walkie-talkies
10 speak directly to each other, walkie-talkie to walkie-talkie
11 and, as such, they generally need to be fairly close to each
12 other in order to communicate. However, cellphones do not
13 speak directly to other cellphones. Cellphones speak to other
14 cellphones through intermediaries which are called cell sites.
15 These cell sites are constantly emitting radio frequency and
16 they cover our Earth so that we have continuous cellular
17 coverage as we move from one location to another.

18 Any time that a phone uses one of these cell sites, a
19 record is generated with the phone company and those are the
20 records that we are trained to analyze to determine the phone's
21 approximate location at the time that it made a text, made a
22 phone call or sent a text message or used data services to
23 access, for example, the internet.

24 (Continued on next page)

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1 MR. MONTELEONI: Mr. Hamill, could you please take us
2 to page 3, Government Exhibit 16C-2.

3 Q. Special Agent Wheeler, you mentioned cell sites. What is a
4 cell site?

5 A. So, cell sites are the structures that I just talked about,
6 those intermediaries that cover Earth. Traditionally, they
7 were very much like the image here on the left side of the
8 screen, a big tall tower, sometimes triangular, that had lots
9 of antennas off the top.

10 Here, in New York City, we don't really see these. We see
11 these more alongside of a highway or more suburban and rural
12 areas, because these are very large structures that require a
13 lot of physical space. Also, they're not quite tall enough
14 here in New York City -- right -- due to the number of
15 skyscrapers in Manhattan and the other boroughs.

16 So, this -- however, even though it's not used so much in
17 New York City, it's -- what we talk about here is it
18 illustrates how this is a traditional macro cell site which has
19 antennas angled in various directions. This is called a
20 sectorized cell site, and what a sectorized cell site does is
21 those antennas emit radio frequency in a particular direction,
22 so customers in that direction, in that area, are able to use
23 that cell site. In order to use a cell site, your phone must
24 be located within the coverage area of that cell site.

25 Before we talk about the coverage areas, these sectors that

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1 we want to talk about, those are reflected in the records with
2 an azimuth. An azimuth is a center point of that sector. So
3 if we were to think about being in this courtroom today, and
4 all of a sudden, the lights were to go off and I were to have a
5 flashlight and shine it at the wall, the center point, where
6 I'm pointing, that would be the azimuth. And that is where the
7 light would be the brightest.

8 However, radio frequency, similar to light, we know that
9 the flashlight is not going to shine and illuminate only the
10 wall where I'm pointing. There will be light that will diffuse
11 away from the center of my flashlight and illuminate other
12 parts of the courtroom. That is the same for sectors on cell
13 sites. So the radio frequency will diffuse and provide
14 coverage to a given area.

15 Now, the coverage area of these cell sites, it can be, in
16 urban areas, much smaller than it would be in more rural areas,
17 and that is because the cell phone companies and their
18 engineers create and lay out the network based on population
19 density. So where you have more people you have more cell
20 sites, and therefore, the coverage area of those cell sites
21 becomes smaller. And that is because the engineers set the
22 network up to assure that there is overlapping coverage between
23 the cell sites so that I can move from, let's say, the Bronx
24 into Queens without having to drop my phone call. And so you
25 have that overlapping coverage, but they don't want too much

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1 overlapping coverage.

2 The example I usually use is a sprinkler system, like a
3 really big lawn or a golf course or something. In order to
4 keep the lawn alive and watered, the sprinklers will provide
5 slight overlapping coverage to ensure that there are no parts
6 of the grass that aren't being watered. So that would be the
7 equivalent of ensuring that I can move from one point to
8 another without dropping coverage. However, if those two
9 sprinklers are providing so much overlap in coverage, they
10 would be drowning out the grass, which would also kill the
11 grass.

12 Now, in the example of radio frequency, that is
13 interference. When you have that much radio frequency on top
14 of each other, that creates interference. So the engineers
15 carefully lay out the network to ensure there's slight
16 overlapping coverage but not too much overlapping coverage.

17 THE COURT: Ma'am, you used the words or phrase "drop
18 the call" and "drop the coverage." What did you mean?

19 THE WITNESS: So, if we were, for example, in an area
20 that didn't have coverage, similar to -- there's a spot on the
21 FDR, for example, when you're driving north from this area and
22 going past StuyTown, there's a part of the FDR where Verizon
23 just does not have cell phone coverage. And so if I'm on the
24 phone -- not speaking on the phone but on Bluetooth -- and all
25 of a sudden, that call just drops, that means that I've lost

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1 the connection to the network; my phone can no longer connect
2 to the network.

3 That doesn't happen too often because if that happens
4 frequently, I would probably start to say maybe Verizon isn't
5 the best provider for me. Maybe if I lived, for example, in
6 this area, I may consider switching to another provider. So
7 the phone company's bottom line is retaining its customer base
8 and ensuring there's overlapping coverage so that our phone
9 calls can continue without disconnecting as we move from one
10 place to another.

11 THE COURT: Thank you.

12 BY MR. MONTELEONI:

13 Q. So, you mentioned a number of things that I want to go over
14 in more detail, but first, I want to talk a little bit more
15 about the different types of cell sites. So you've
16 described -- the image on the left, I believe you described it
17 as a tower that you referred to as a macro cell site?

18 A. Yes.

19 Q. What does the term "macro" distinguish it from?

20 A. The opposite would be a micro cell site. So, as I alluded
21 to, the cell sites on the left, these very large cell site
22 towers that require a lot of space -- they're not very
23 prevalent in New York City, the five boroughs. What we tend to
24 see are also macro cell sites, but instead of being on the top
25 of tall poles, they're mounted on top of existing structures

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1 and buildings. So if you look at a lot of tall buildings in
2 the city, you'll see antennas on the corners facing different
3 directions. Those are also macro cell sites.

4 However, due to the nature of New York City and its
5 buildings, the cell companies use a lot of micro cell sites,
6 which boost coverage in a smaller area. An example of this is
7 the image on the right. You have two red boxes with red dotted
8 lines. The box towards the center bottom of the screen, that
9 depicts the antenna of this particular -- the radio of this
10 particular cell site. And the box on the top, that is the
11 actual antenna for that cell site. And as you can see in this
12 image here, it's mounted on top of an existing, you know,
13 street lamp, traffic light pole. So these micro cell sites or
14 distributed antenna systems, sometimes they provide sectorized
15 but they often provide 360-degree coverage to boost coverage in
16 a small area.

17 An easy way to understand it is if I'm standing just west
18 of the Empire State Building and the closest cell site to me is
19 on the other side of the Empire State Building, the Empire
20 State Building is going to block that cell site signal to my
21 phone. So my phone may reach further, to a cell site that's
22 further away to get coverage because the closest cell site to
23 me is blocked by a very tall building. Because ideally, our
24 phones want to connect, and the engineers want to ensure that
25 our phones are connecting to the closest cell site so that

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1 we're not jumping over other cell sites and causing
2 interference in the network. However, that's not always
3 possible -- again, using the example of the Empire State
4 Building, and there are a lot of other factors that would
5 impact coverage. So, for example, not just tall buildings --

6 THE COURT: Agent Wheeler, I'm going to ask you to
7 slow down.

8 THE WITNESS: OK.

9 THE COURT: We have a reporter and we have
10 interpreters.

11 THE WITNESS: Oh.

12 A. So, in addition to large buildings impacting coverage,
13 other things, such as topography -- hills, valleys,
14 mountains -- and bodies of water all impact coverage. All of
15 this is taken into account by the engineers when they lay out
16 the network. The ultimate goal is our phones will connect to
17 the closest cell site which provides the best signal. However,
18 the best signal may not always be the closest cell site --
19 again, thinking about the Empire State Building or other
20 features, such as topography.

21 Q. And directing your attention to this expanded image of what
22 I believe you called a micro cell site that's on the right in
23 slide 3 of Government Exhibit 16C-2, is this one of the cell
24 sites that you analyzed in connection with preparing your
25 report in this case?

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1 A. Yes.

2 Q. What's the meaning of the letters at the bottom that say
3 CID 116784 (AT&T) ?

4 A. So, CID stands for cell ID -- it's an abbreviation -- for
5 the unique number AT&T has given this particular cell site on
6 its network. So this is a site we will see later in my report
7 located in Manhattan at the corner the Madison Avenue and East
8 71st Street, and the number assigned to it by AT&T is 116784.

9 MR. MONTELEONI: If we could drop that expansion,
10 Mr. Hamill, and then focus on the middle of the cell site
11 that's depicted here on page 3 of Government Exhibit 16C-2.

12 Q. Special Agent Wheeler, what does this image depict?

13 A. This is another macro cell site, which provides sectorized
14 coverage, but instead of having the antennas almost in a
15 triangular direction, all of the antennas are concealed within
16 this one single structure. So they're all inside, and it
17 provides sectorized coverage; it just looks different than the
18 traditional macro cell site.

19 This is also a cell site that appears frequently in my
20 report. It is located in New Jersey, and it's on the AT&T
21 network assigned cell ID 812175.

22 MR. MONTELEONI: All right. You can drop that
23 expansion, Mr. Hamill. Thank you.

24 Q. So you talked about a coverage area. In order to get
25 service, does a cell phone always have to connect to a cell

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1 site for which it is in the coverage area?

2 A. Yes. You cannot connect to a cell site if you don't fall
3 within the coverage area of that cell site.

4 Q. Now, you've also testified about circumstances where
5 something like the Empire State Building being in the way might
6 mean that a phone would not connect to the closest cell site
7 within the coverage area. Is something like a big obstruction,
8 like a building being in the way, the only type of factor that
9 would cause this?

10 A. No.

11 Q. Before we get to other factors, as a general matter, do
12 cell phones usually connect to cell sites in which they are in
13 close proximity?

14 A. Yes.

15 Q. Now, what are some of the other factors besides something
16 like the Empire State Building that affect which cell site has
17 the best signal?

18 A. So, building materials, concrete, glass. Those all impact
19 coverage.

20 Bodies of water carry a cell signal much further than a
21 landmass would. And again, valleys and hills and other tall
22 topography also impacts coverage.

23 MR. MONTELEONI: All right. So, you mentioned
24 something about the directionality of cell signals and the
25 azimuth. I want to talk about that a little more.

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1 Mr. Hamill, could you please take us to page 4.

2 Q. Special Agent Wheeler, what are we looking at here?

3 A. On the right side of the screen is a bird's-eye view of a
4 traditional macro cell site, the type we saw on the previous
5 slide on the left-hand side.

6 The blue lines and the blue shaded area are what you are
7 going to see in my report to indicate the cell site and sector
8 used. So, what we have and what you're not seeing here that
9 you will see in my report is where those two blue arms meet,
10 there will be a dot and that dot is a cell site itself.

11 Now, in the center here, you have an arrow that, in this
12 image, is facing due north or zero degrees or 12:00 if you
13 think of it like a clock. That is the azimuth or the center
14 point. So the shaded area, this blue shaded area here, between
15 those two arms, indicates the directionality of this particular
16 sector. It is a way to visually demonstrate which direction
17 the particular sector used is facing. It does not at all imply
18 the coverage area of that sector but instead the
19 directionality.

20 So radio frequency -- again, like the flashlight example --
21 the cell signal does not end exactly where those two straight
22 arms are. It could go on either side of those arms. It could
23 not extend all the way to the arms. That is not what this
24 image is meant to depict. What we depict in our reports is the
25 location of a cell site and the directionality of that sector.

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1 THE COURT: But you're showing, I take it, an
2 amplitude of 180 degrees, is that right?

3 THE WITNESS: Yes.

4 THE COURT: Why do you do that? What's the purpose of
5 having 180 degrees when you say that the coverage may be less,
6 it may be more?

7 THE WITNESS: Yes. So, it's actually -- I'm sorry,
8 not 180. It's 120 degrees.

9 THE COURT: I'm sorry. 120 degrees.

10 THE WITNESS: No, no.

11 So what we do, because traditionally, the sectors each
12 spanned approximately 120 degrees, or if you think of it like a
13 clock, four hours.

14 THE COURT: Is that the average or the normal exposure
15 of each cell site, 120 degrees?

16 THE WITNESS: Generally, yes. It depends on -- on
17 each individual cell site, and the only way to measure the
18 actual footprint of the cell site is to drive test that cell
19 site, which cannot be done with any accuracy after the fact.

20 So if we -- if we are looking at a cell site soon
21 enough -- let's say a couple of months -- to the time in
22 question, we can get the coverage area at that point in time of
23 the cell site. But looking at it years later, the coverage
24 area is going to be different than it was at the time.

25 THE COURT: Thank you.

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1 BY MR. MONTELEONI:

2 Q. Now, understanding the qualifications you just said about
3 the directionality, do traditional sectorized cell sites that
4 are set up, or the cell towers, rather, that are set up by
5 phone companies typically arrange their cell sites in three
6 120-degree arcs, essentially, to cover the whole 360 degrees?

7 A. Yes. That was what was traditionally done and remains
8 common practice.

9 Q. Can a phone connect to a sector of a cell site like this if
10 it's not in the shaded arc depicted here?

11 A. Yes.

12 Q. So here, the shaded arc is pointed to the north. Could a
13 phone that's to the east or west that's nonetheless -- that's
14 out of the shaded arc, could it connect to that north-facing
15 sector in this diagram?

16 A. Yes.

17 MR. MONTELEONI: Mr. Hamill, could you please take us
18 to page 5.

19 Q. Special Agent Wheeler, what are we looking at here, on
20 slide 5 of Government Exhibit 16C-2?

21 A. This is an overview of AT&T's network in April of 2019 in
22 the metropolitan New York and New Jersey area.

23 Also on this map are three flags. One is yellow with a
24 white house, and the other two are blue with white writing.
25 Those flags correlate to addresses provided to me by the U.S.

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1 Attorney's Office and are places that you will see in the
2 substantive slides in my report.

3 THE COURT: Can you circle those flags.

4 It wasn't working the other day either. All right.

5 Thank you.

6 MR. MONTELEONI: For the benefit of the transcript, I
7 believe you highlighted a yellow-orange house icon near the top
8 center of the map depicted here. You also highlighted a blue
9 icon with the letters RP around the center of the map.

10 Q. Is there a third location a little bit farther down?

11 A. Yes, towards the bottom center of the map is another blue
12 flag with the white No. 9.

13 Q. Now, there's also, in addition to those flags, there's a
14 number of blue dots on this map. What do those dots represent?

15 A. Each dot is one of AT&T's cell sites in April 2019.

16 Q. And about how dense is this concentration of cell sites in
17 the New York-New Jersey area?

18 A. So, you can see that parts of New York City have such a
19 density of cell sites you can't see the underlying land. As
20 you move north, east and west, the cell sites spread out and
21 become further apart.

22 Q. Understanding that it's not all as dense as the midtown
23 area at the bottom center of the map, overall, about how dense
24 is the surrounding area of the New York-New Jersey metropolitan
25 area?

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1 A. It's still one of the densest networks in the country, even
2 in more suburban parts of New York City.

3 Q. What, if any, impact does that density of cell sites have
4 on the precision of the location data that you were able to
5 obtain from the historical call detail records?

6 A. So, the cell site coverage areas in such a dense network
7 tend to be much smaller. Again, the goal is to have slight
8 overlapping coverage but not so much so that it creates
9 interference. So when we look at the surrounding network,
10 we're able to understand that the density or a more dense
11 network results in much smaller coverage areas.

12 MR. MONTELEONI: Mr. Hamill, could you please put up
13 page 6 of Government Exhibit 16C-2.

14 Q. Special Agent Wheeler, what is shown on this slide?

15 A. So, on this slide I have the phone numbers and addresses
16 provided to me by the United States Attorney's Office in
17 conjunction with this case.

18 On the top left box are the four phone numbers whose
19 records I analyzed in creating maps.

20 Highlighted in red is the AT&T phone number (201) 290-8400
21 associated with Robert Menendez.

22 Highlighted in black is AT&T phone number (201) 315-2629
23 associated with Fred Daibes.

24 Highlighted in blue is the AT&T phone number (516) 395-1745
25 associated with Nadine Menendez.

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1 And highlighted in orange is the AT&T telephone number
2 (646) 675-1648 associated with Fernando Barruos.

3 In the box to the right that is all black and white, those
4 are three phone numbers that I used as reference in this report
5 but whose records I did not map. So you will see those names
6 and numbers referenced in my call-out boxes, but you -- I did
7 not map those particular records.

8 So telephone number (201) 681-3520 is associated with José
9 Uribe. Telephone number (551) 574-4550 is associated with Will
10 Hana. And phone number (917) 623-7331 is associated with John
11 Pilot.

12 Q. Why are the lines for the phones that are mapped in the
13 report in the box on the left color coded in the ways that the
14 ones in the box on the right for the nonmapped phones are not?

15 A. So, in my substantive slides, which we will be seeing
16 shortly, every time a cell site is mapped, the cell site --
17 those two arms in the shaded area -- will be in the color
18 associated with this chart here as will the call-out box, which
19 describes the activity that occurred at that cell site.

20 So whenever you see a red cell site and a red call-out box,
21 that will correspond to the phone of Robert Menendez. If it's
22 black that will correspond to the phone of Fred Daibes. If
23 it's blue that will correspond to the phone of Nadine Menendez.
24 And if it's orange that will correspond to the phone of
25 Fernando Barruos.

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1 Q. Now, directing your attention to the associated name column
2 in each of the left and right phone number boxes, for the
3 purpose of your testimony today, I'm going to refer to those
4 phones by their associated names. Are you comfortable with
5 that?

6 A. Yes.

7 Q. What are the locations in this bottom box?

8 A. These are the three locations that are depicted in my
9 report. We saw these locations flagged on the previous slide,
10 the geographic overview. And if we start with the top line
11 here, the blue flag with the white No. 9 corresponds to the
12 Rothschild mansion at 41 East 70th Street in New York, New
13 York. The blue flag with the white RP writing corresponds to
14 the River Palm Terrace restaurant located at 1416 River Road in
15 Edgewater, New Jersey. And the yellow flag with the white
16 house corresponds to the Menendez residence at 41 Jane Drive in
17 Englewood Cliffs, New Jersey.

18 MR. MONTELEONI: Mr. Hamill, could you please take us
19 now to slide 7.

20 Q. Special Agent Wheeler, what are we looking at here?

21 A. This is the first of my substantive slides, my maps. And
22 this shows activity of Robert and Nadine Menendez's phones on
23 April 6 of 2019 between 9 and 11:54 p.m.

24 Q. Now, one line down from that date and time notation at the
25 top, where it says voice, SMS and data activity, and then the

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1 number of the Menendez cell phone in red and the number of the
2 Nadine Menendez cell phone in blue, what does that line mean?

3 A. Yes. So I -- in this particular slide I looked at voice
4 activity, which is phone calls; SMS activity, which is text
5 messages; and data activity, which is internet usage. So you
6 know, searching the internet, looking at the news, receiving
7 information from an app, like if you were looking at the
8 Weather Channel or Skype or something, any of those would use
9 data services.

10 THE COURT: Do you know what SMS stands for? SMS is
11 text, is that it?

12 THE WITNESS: Yes.

13 THE COURT: What does it stand for?

14 THE WITNESS: Short message service.

15 THE COURT: Thank you.

16 BY MR. MONTELEONI:

17 Q. Now, directing your attention to the map, near the bottom
18 center of the map, there's a large red shaded arc and then four
19 arms protruding that end with red portions. Can you describe
20 what that diagram depicts down the bottom center of the map?

21 A. Yeah. So, that is one single cell site used by both Nadine
22 and Robert Menendez's phones. However, both phones use two
23 sectors on that cell site, which explains why instead of having
24 two arms we have four arms. Those two sectors overlapped in
25 their coverage areas. And for visual clarity -- not indicative

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of coverage area, but for visual clarity -- the red arc is slightly larger than the blue so that you can see that both phones used that particular cell site and sector. Because if they were the same size, you wouldn't really be able to see any red; the blue would just look a little bit more purple.

Q. Directing your attention to the box next to those two arcs, so first, in the white portion of that box, what does it mean where it says CID 812123?

A. Yes. So, that is the identifier for the cell site -- so AT&T cell site 812123. And then I have 20 degrees and 300 degrees, which that is the directionality, or the azimuth, of those two sectors used by those two phones.

Q. Directing your attention to the red portion of that same box, what does it mean that in the red portion it says 9:10 to 11:10 p.m., multiple data sessions?

A. Yes. So, the red call-out box indicates that Robert Menendez's phone used this cell site and these sectors for multiple data sessions between 9:10 and 11:10 p.m.

Q. In your opinion, is the data that you reviewed consistent with the Menendez cell phone being in the vicinity of this lower cell site indicated on the map between 9:10 and 11:10 p.m. on April 6, 2019?

A. Yes.

Q. Directing your attention to the blue portion of that same box, what does it mean where it says 9 to 11:10 p.m., two

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1 calls, 14 SMS, multiple data sessions?

2 A. Yes. So, this call-out box indicates that Nadine
3 Menendez's phone used this cell site and these sectors for two
4 phone calls, 14 text messages and multiple data sessions
5 between 9 o'clock and 11:10 p.m., to include 12 texts with Will
6 Hana's number and two calls to Uribe.

7 Q. Now, when you say texts, are you referring to SMS, or short
8 message service messages?

9 A. Yes.

10 Q. Can you tell from looking at phone records when a user
11 sends a particular SMS message?

12 A. Yes.

13 Q. Do iPhones have the ability to send text-based messages
14 that are not SMS messages?

15 A. Yes.

16 Q. What other types of text messages can they send?

17 A. So, two iPhones can send what is called an iMessage which
18 does not use the traditional cellular network but instead is
19 transmitted through the cellular network as data. And so those
20 iMessages we cannot isolate the way we can a traditional text
21 message between, let's say, an iPhone and a Samsung Galaxy.

22 Q. What can you tell about how many iMessages a user sends or
23 when they send them just from looking at the historical call
24 detail records?

25 A. We can't.

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1 Q. Are other apps that allow the sending of text messages
2 using these data sessions?

3 A. Yes. Any application that you can send messages on --
4 WhatsApp, Skype, Telegram, Signal, all of those would be
5 transmitted through data sessions as opposed to a traditional
6 SMS that would be reflected in the call detail records.

7 Q. For all of these that are transmitted through data
8 sessions, what can you tell about when such messages are sent
9 or how many from the call detail records alone?

10 A. We can't.

11 Q. Do many of these apps also allow voice calls, by the way?

12 A. They do.

13 Q. And what can we tell about how many voice calls a user
14 makes through apps like these just from historical call detail
15 records or when those voice calls were made?

16 A. We can't.

17 Q. Now, so still in the blue portion of the box, directing
18 your attention to that portion, is the data that you reviewed
19 consistent with the Nadine Menendez cell phone being in the
20 vicinity of this lower cell site on the page from 9 to 11:10
21 p.m. on April 6 of 2019?

22 A. Yes.

23 Q. Now, directing your attention a little bit up the page to
24 around the center of the map, what does it mean where it says,
25 in a blue box, 11:12 p.m. data session handover?

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1 A. So, AT&T is unique in that they provide us not only with
2 the starting cell site for a data session, because AT&T data
3 sessions can last up to 60 minutes. So instead of providing us
4 just with the cell site used at the start of that data session,
5 they provide us with what is called handovers. And the
6 handovers show any other cell site used for the duration of
7 that data session, which could last up to 60 minutes. So in
8 this case, at 11:12 p.m., the Nadine Menendez cell site -- cell
9 phone used a cell site further north of the one that she had
10 been, her phone had been using. This one was cell site 812175,
11 which is located just at the southeast of the Menendez
12 residence. And the particular sector used faces south
13 southwest, and the handover is denoted the same way as a
14 regular cell site and sector, but instead of having the shaded
15 arc, you just have the arc outlined to show that it was a
16 handover as opposed to the start of a new data session.

17 Q. In the context of this particular handover that you have
18 depicted on the map, in your opinion, is that consistent with
19 the Nadine Menendez cell phone traveling physically in
20 approximately that time frame?

21 A. Yes.

22 Q. What direction is it consistent with the cell phone
23 traveling?

24 A. North.

25 Q. And by the way, you mentioned that this upper cell site

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Wheeler - Direct

1 that the handover was to is No. 812175. Is that one of the
2 ones that was depicted in the slide that we saw previously?

3 A. Yes. I think it was slide 3 that showed those three
4 visuals of cell sites. This was the cell site in the center,
5 that tall pole with the singular cylinder at the top.

6 MR. MONTELEONI: Mr. Hamill, can we please just put up
7 slide 3 for a moment.

8 Q. And now that central image is an image of the cell site
9 that that data session handover connects the Nadine Menendez
10 cell phone to in slide 7, is that right?

11 A. Yes.

12 MR. MONTELEONI: All right. You can take down slide
13 3, Mr. Hamill. Thank you.

14 Q. Directing your attention now, Special Agent Wheeler, to the
15 big red upward-facing arc at the top center of the page, what
16 does that arc mean?

17 A. The red arc indicates that Robert Menendez's phone used
18 cell site 812175 with an azimuth of 30 degrees, or facing the
19 northeast, for multiple data sessions between 11:14 and 11:54
20 p.m.

21 Q. And what does the blue portion of that same call-out box
22 mean?

23 A. That Nadine Menendez's phone used the same cell site and
24 sector as Robert Menendez's phone at -- for data sessions that
25 began at 11:13 and 11:21 p.m.

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1 Q. And directing your attention to the little yellow home
2 icon, a little bit above that cell site, what does that
3 represent?

4 A. That is the Menendez residence at 41 Jane Drive in
5 Englewood Cliffs, New Jersey.

6 Q. Is the data that you reviewed, in your opinion, consistent
7 with the Menendez cell phone and the Nadine Menendez cell phone
8 being in the vicinity of 41 Jane Drive starting at
9 approximately 11:13 p.m. that evening?

10 A. Yes.

11 Q. Directing your attention to the excerpt, below the portion
12 you prepared, directing your attention, first, to row 694,
13 reflecting that Nadine Arslanian texted José Uribe -- Bob and I
14 are going home, my house, if you want, come by any dime, he
15 just finished his big G, at 11:11 p.m. -- when is that text
16 message in comparison to the time period during which you
17 testified that the data is consistent with the Menendez cell
18 phone and the Nadine Menendez cell phone being in the vicinity,
19 first of that lower cell site?

20 A. It's one minute after the last connection of Nadine's phone
21 to the lower cell site.

22 Q. When is that text message in comparison to the time period
23 during which the data's consistent with the Menendez cell phone
24 and the Nadine Menendez cell phone being in the vicinity of 41
25 Jane Drive?

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1 A. It is approximately one minute before Nadine Menendez's
2 phone uses the cell site just southeast of the Menendez
3 residence.

4 Q. What's the data consistent with the Nadine Menendez cell
5 phone doing at the time when that text message was sent?

6 A. It's consistent with Nadine Menendez's phone traveling.

7 Q. In what direction?

8 A. North.

9 MR. MONTELEONI: Mr. Hamill, could you please take us
10 to slide 8.

11 Q. Special Agent Wheeler, what is the date of this slide?

12 A. May 3, 2019.

13 Q. What is the time of this slide?

14 A. 12:31 to 12:53 p.m.

15 Q. Whose phone number is depicted on the map in this slide?

16 A. Fernando Barruos.

17 Q. What does the orange box next to the shaded arc in this map
18 mean?

19 A. So, this orange call-out box indicates that the cell site
20 located on the corner of Morris Park Avenue and White Plains
21 Road in the Bronx was used by Barruos's phone for four phone
22 calls between 12:31 and 12:53 p.m. on May 3 of 2019.

23 Q. Now, what does the green area on the map that's to the left
24 of the cell site that the Barruos phone connected to represent?

25 A. That's the Bronx Zoo.

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Wheeler - Direct

1 Q. Is the data, in your opinion, consistent with the Barruos
2 cell phone being in the Bronx from the 12:31 to 12:53 p.m. time
3 frame?

4 A. Yes.

5 Q. Directing your attention to the excerpted text messages at
6 the bottom between Fernando Barruos and José Uribe in which
7 Barruos writes what's translated as, LOL that's called power,
8 and Uribe writes, call and pay this using the Barruos account,
9 I don't want to use anything with my name on it, and writes
10 then send the money orders, when were those text messages sent
11 in relation to the time period during which you just testified
12 data is consistent with the Barruos cell phone being in the
13 Bronx?

14 A. It was during the time.

15 MR. MONTELEONI: Mr. Hamill, can you please take us to
16 slide 9.

17 Q. Special Agent Wheeler, what's the date and time on this
18 slide?

19 A. It's the same day, just later on in the afternoon, so
20 between 2:47 and 3:19 p.m. on May 3 of 2019.

21 Q. Where is the cell site that's depicted on the map here
22 located?

23 A. Also in the Bronx, just east of the Bronx Zoo.

24 Q. What does the orange call-out box next to the shaded arc
25 mean here?

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1 A. The call-out box shows that Barruos's phone used this cell
2 site just east of the Bronx Zoo for four calls with Uribe
3 between 2:47 and 3:19 p.m.

4 Q. In your opinion, is the data that you reviewed consistent
5 with the Barruos cell phone being in the Bronx from the 2:47 to
6 3:19 p.m. time frame?

7 A. Yes.

8 Q. Is it consistent with the cell phone being in the Bronx
9 during these calls with Uribe that are reflected in the orange
10 box?

11 A. Yes.

12 Q. And directing your attention to the excerpt, do the first
13 two lines show some of those calls between the Barruos cell
14 phone and the Uribe cell phone that are reflected in the orange
15 box on the map?

16 A. Yes.

17 Q. Directing your attention to the last line of the excerpt,
18 where José Uribe texts Nadine Arslanian, please call me, I need
19 some information to make the payment, when is that text message
20 sent in relation to the time frame during which you just
21 testified that the data's consistent with the Barruos cell
22 phone being in the Bronx and engaging in several calls with
23 Uribe's cell phone?

24 A. It was sent within the time frame.

25 MR. MONTELEONI: Mr. Hamill, can you please take us to

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Wheeler - Direct

1 slide 10.

2 Q. Special Agent Wheeler, what's the date on this slide?

3 A. May 4 of 2019.

4 Q. How does that compare to the date on the slide we just
5 looked at?

6 A. It was the next day.

7 Q. What's the time period of this slide?

8 A. 10:23 to 10:29 p.m.

9 Q. Please explain what's depicted on this slide.

10 A. Yes. These are two cell sites used by Barruos's phone --
11 one at 10:23, one at 10:29 -- for two outgoing calls. Both
12 cell sites are located just east of the Bronx River Parkway in
13 the Bronx.

14 Q. In your opinion, is the data that you reviewed consistent
15 with the Barruos cell phone being in the Bronx in the 10:23 to
16 10:29 p.m. time frame on May 4, 2019?

17 A. Yes.

18 Q. Directing your attention to the excerpt on the bottom of
19 the page, on line 804, the top line, in which José Uribe sends
20 a message to Fernando Barruos saying what's translated as, it's
21 a little late, the number is 1548, how does the time of that
22 message compare to the time frame during which the data's
23 consistent with the Barruos cell phone being in the Bronx?

24 A. It's during the time frame.

25 THE COURT: Mr. Monteleoni, why don't you find a

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Wheeler - Direct

1 logical time for me to give the jury its midafternoon break.

2 MR. MONTELEONI: This is the most logical time of all.

3 THE COURT: All right.

4 Ladies and gentlemen, why don't you just take ten
5 minutes.

6 (Continued on next page)

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Wheeler - Direct

1 (Jury not present)

2 THE COURT: You may step down, Agent.

3 (Witness not present)

4 THE COURT: Ten minutes.

5 MR. FEE: Your Honor, the government just filed
6 something publicly. We need a sidebar.

7 THE COURT: Sidebar.

8 (At sidebar)

9 THE COURT: What's the issue?

10 MR. FEE: Your Honor, the government just filed a
11 letter publicly that recites and actually quotes from proffers
12 we have made about the anticipated testimony of the sisters of
13 Robert Menendez and Nadine Arslanian, respectively. They each
14 only have one sister.

15 If you recall, every 302, every description of
16 government witness testimony, every reference to a name, even
17 the existence of a confidential source, was filed in redacted
18 form. We are very concerned, given the press scrutiny, that
19 this will have a chilling effect on these witnesses and others,
20 and we ask that this be as quickly as possible taken off the
21 public docket.

22 THE COURT: All right. I understand.

23 MR. MARK: Your Honor, briefly, the individuals
24 referenced are anonymized as consistent with how the parties
25 have generally referred to these matters. The content that is

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1 referred to in the proffers was not protected by the protective
2 order in this case, and we had a meet-and-confer last night
3 with defense counsel where we talked with them, that we
4 anticipated we might file such a letter, and they never raised
5 any request for anything to be redacted. In this circumstance,
6 we think that request is misplaced.

7 THE COURT: Was it discussed, sir?

8 MR. FEE: Your Honor, I think it goes without saying
9 in this case, given all the nonpublic filings about witness
10 statements, including many that are not protected --

11 THE COURT: Sir, was it discussed yesterday?

12 MR. FEE: It was not discussed that they would file
13 this publicly, your Honor. Absolutely not. And I would just
14 say they're not anonymized. Each of these people only have one
15 sister.

16 THE COURT: All right. Right now, let's take it down
17 and we'll figure out -- that may get its own press scrutiny.

18 MR. FEE: We would prefer that.

19 THE COURT: Right now, let's take it down.

20 MR. FEE: We would prefer that, your Honor.

21 MR. MARK: We can't. We cannot take that down.

22 MR. FEE: The clerk's office can.

23 MR. MARK: But obviously we have no objection to the
24 Court making a request to the clerk's office to take it down.

25 THE COURT: They will figure it out.

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Wheeler - Direct

1 Direct the clerk of court to take down document 486.

2 MR. LUSTBERG: Judge, while we're here, the government
3 filed a similar motion about our witnesses. I just wanted to
4 let you know we expect to have a response in to you by
5 tomorrow.

6 THE COURT: I'm going to go through what I have and
7 the responses I need.

8 MR. LUSTBERG: Got it.

9 THE COURT: What document number are you talking
10 about?

11 MR. LUSTBERG: I don't remember what their document
12 number is.

13 THE COURT: We'll go through it shortly. And at the
14 end of the day, we'll go into the robing room and I'll deliver
15 my opinion on the subpoena.

16 Does the defense witness list include Lewin? Does it
17 include Krieger? I'm sorry. No. Lewin.

18 MR. FEE: Yes.

19 THE COURT: OK.

20 (Recess)

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Wheeler - Direct

1 THE COURT: Bring in the jury.

2 Put the witness on the stand.

3 Jury entering.

4 (Jury present)

5 THE COURT: Please be seated in the courtroom.

6 You may continue Mr. Monteleoni.

7 MR. MONTELEONI: Thank you, your Honor.

8 BY MR. MONTELEONI:

9 Q. Before we return to your report, Special Agent Wheeler, I
10 want to go back to something that a colleague of mine pointed
11 out might not have come across as clearly in the transcript.
12 When I asked you how many times you provided expert testimony
13 in other cases, did you say "none" or "nine"?

14 A. The number nine.

15 Q. Thank you.

16 MR. MONTELEONI: Mr. Hamill, can you please put up
17 Government Exhibit 16C-2, slide 12?

18 Q. Special Agent Wheeler, what is the date and time of this
19 slide?

20 A. June 4 of 2019, at 12:11 a.m.

21 Q. What is depicted here?

22 A. This is a cell site used by Fernando Barruos' phone at
23 12:11 a.m. when it received a call from Uribe using a cell site
24 located just east of the Bronx Zoo.

25 Q. Is the data that you reviewed, in your opinion, consistent

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Wheeler - Direct

1 with the Barruos cellphone being in the Bronx during this
2 12:11 a.m. call from the Uribe cellphone?

3 A. Yes.

4 Q. Directing your attention to the excerpt at the bottom of
5 the page, how does the time of the first text listed there on
6 line 842 compare to the time of the call during which you
7 testified that the data is consistent with the Barruos
8 cellphone being in the Bronx?

9 A. One minute before the call.

10 MR. MONTELEONI: Mr. Hamill, can you please take us to
11 slide 13?

12 Q. Special Agent Wheeler, what is the date and time of this
13 slide?

14 A. 8:43 p.m. on September 5 of 2019.

15 Q. Whose phone activity is depicted here?

16 A. Nadine Menendez.

17 Q. Can you please explain what the blue box in the middle of
18 the map reflects?

19 A. Yes. That is a callout box indicating that at 8:43 p.m.,
20 Nadine Menendez' phone sent a text message to José Uribe's
21 using a cell site located in Manhattan.

22 Q. Is that the cell site that is depicted here visually?

23 A. Yes.

24 Q. What part of Manhattan is this cell site located in?

25 A. The Upper West Side.

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Wheeler - Direct

1 Q. What does the blue portion of the map reflect?

2 A. That is the Hudson River.

3 Q. Now, is the data that you reviewed consistent with the
4 conclusion, in your opinion, that the Nadine Menendez cellphone
5 sent an SMS text message, to José Uribe, that was transmitted
6 through a cell site that was physically located in Manhattan?

7 A. Yes.

8 Q. Does that mean that the Nadine Menendez cellphone was,
9 itself, located in Manhattan when it sent that message?

10 A. Not necessarily. In this case, the cell site is located
11 immediately adjacent to the Hudson River, which carries cell
12 signal further than it would across land. And when people are
13 located along the river, we often will see cell sites used on
14 either side of the river.

15 Q. Have you reviewed other records related to her location on
16 that day?

17 A. Yes.

18 Q. Where does it appear Nadine Menendez was most likely at,
19 around the time this message was sent?

20 A. Most likely in New Jersey.

21 Q. Directing your attention to the excerpt below, directing
22 your attention to the second listed text on row 11 in which
23 Nadine Arslanian writes to José Uribe: *No, we will have cigars*
24 *and I have grand mariner but no beer. 41 Jane Drive, Englewood*
25 *Cliffs. I will text you when we are on our way. Should be by*

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Wheeler - Direct

1 9:30 p.m. Thank you for making it. How does the date, time,
2 sender and recipient of that excerpted text compare to the text
3 that you just testified the data is consistent with the
4 conclusion was transmitted through the Manhattan cell site?

5 A. It is the same date, time, sender, and recipient, as
6 depicted on my map.

7 MR. MONTELEONI: Mr. Hamill, can you please take us to
8 slide 14?

9 Q. Special Agent Wheeler, what is the date and time of this
10 slide?

11 A. September 6, 2019.

12 Q. How does that compare to the -- sorry -- at what time?

13 A. 2:03 p.m.

14 Q. How does that date compare to the date of the previous
15 slide?

16 A. This is the next day.

17 Q. So what is depicted on this slide at 2:03 p.m.?

18 A. This slide depicts the Robert Menendez and Nadine Menendez
19 phones using two separate cell sites; Robert Menendez' phone
20 using one in New Jersey and Nadine Menendez' phone using one in
21 Manhattan when Robert Menendez' phone calls Nadine Menendez'
22 phone.

23 Q. Now, there are two different boxes and two different cell
24 sites. Do those depict the same call or different calls?

25 A. It is the same call. We are seeing both the sender and the

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Wheeler - Direct

1 recipient.

2 Q. So, directing your attention to the blue callout box
3 corresponding with Nadine Menendez, where is the cell site that
4 that corresponds to located?

5 A. In Manhattan.

6 Q. What part of Manhattan?

7 A. It is just south of the George Washington Bridge, north of
8 Harlem.

9 Q. Is the data that you reviewed consistent with the
10 conclusion that in this call from Robert Menendez, the Nadine
11 Menendez cellphone connected to a cell site in Manhattan for
12 that call?

13 A. Yes.

14 Q. Does that mean that Nadine Menendez cellphone was
15 physically in Manhattan?

16 A. No. Again, on this date, looking at the totality of the
17 records, it appeared more consistent with Nadine Menendez'
18 phone being in New Jersey but, again, somewhere along the river
19 where the cell site signal was carried across the river.

20 Q. Now, directing your attention to the excerpts below,
21 directing your attention to the call on line 1044, how does the
22 date, time, caller, and calling party of that listed call
23 compare to the call that the data is consistent with the
24 conclusion was transmitted through this cell site in Manhattan?

25 A. It is the same date, time, sender, and receiver of the

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Wheeler - Direct

1 phone call depicted on my map.

2 MR. MONTELEONI: Mr. Hamill, can you please take us to
3 slide 15?

4 Q. Special Agent Wheeler, what is the date and time on this
5 slide?

6 A. This is 7:36 to 9:37 p.m. on September 19 of 2021.

7 Q. What is depicted on this slide?

8 A. These are the cell sites used by Robert Menendez', Nadine
9 Menendez', and Fred Daibes' phones during this time period for
10 both voice, text, and data activity.

11 Q. So what do the black boxes reflect?

12 A. Those reflect callout boxes corresponding to Fred Daibes'
13 phone activity.

14 Q. Directing your attention to the blue tag with the no. 9 on
15 it, what is the address of that location?

16 A. 41 East 70th Street, New York, New York.

17 Q. And what neighborhood is that in?

18 A. The Upper East Side.

19 Q. What is that green area on the map?

20 A. Central Park.

21 Q. In your opinion, is the data you reviewed consistent with
22 the conclusion that the Daibes cellphone was in the vicinity of
23 41 East 70th Street from 7:38 to 9:37 p.m. on September 19,
24 2021?

25 A. Yes.

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Wheeler - Direct

1 Q. What does the blue portion of the right-most box mean?

2 A. The blue callout box highlights an incoming text in
3 multiple data sessions that Nadine Menendez' phone had while
4 using cell site 112480 to the southeast of the blue flag with
5 the no. 9.

6 Q. In your opinion, is the data you reviewed consistent with
7 the conclusion that the Nadine Menendez cellphone was in the
8 vicinity of 41 East 70th Street in Manhattan, from 7:43 to
9 9:35 p.m., on September 19, 2021?

10 A. Yes.

11 Q. What does the red portion of the box mean?

12 A. The red callout box highlights multiple data sessions that
13 Robert Menendez' phone used the same cell site to the southeast
14 of the blue flag with the white no. 9.

15 Q. Is the data that you reviewed consistent with the
16 conclusion that the Menendez cellphone was in the vicinity of
17 41 70th Street from 7:59 to 8:59 p.m.?

18 A. Yes.

19 Q. Directing your attention to the excerpt below, does the
20 scheduled date and time of the dinner listed in that line
21 compare to the time period in which the data is consistent with
22 the Menendez' cellphone, the Nadine Menendez' cellphone and the
23 Daibes' cellphone being in the vicinity of 41 East 70th Street?

24 A. Yes.

25 Q. How does the time of the dinner compare to the time in

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Wheeler - Direct

1 which the data is consistent with being there?

2 A. The time of the dinner indicates 7:15 p.m., and the cell
3 sites used by the Nadine and Robert Menendez phones and Fred
4 Daibes phones, begins at approximately 7:38, 7:43 and 7:59.

5 MR. MONTELEONI: Mr. Hamill, can you please take us to
6 slide 16?

7 Q. Special Agent Wheeler, what is the date and time on this
8 slide?

9 A. This is activity between 4:20 and 6:20 p.m. on October 17
10 of 2021.

11 Q. And generally, what is depicted here?

12 A. This is SMS and data activity for Robert and Nadine
13 Menendez' phones during this time period.

14 Q. Now, directing your attention to the bottom callout boxes
15 on the map, is the data that you reviewed consistent with the
16 conclusion that the Menendez cellphone and the Nadine Menendez
17 cellphone were in the vicinity of each other, I guess starting
18 at approximately 4:20 p.m. that day?

19 A. Yes.

20 Q. Is it consistent with the conclusion that those two
21 cellphones were in the vicinity of each other from
22 approximately 4:20 to approximately 6:20 p.m. that day?

23 A. Yes.

24 Q. Now, where is it consistent with the conclusion that they
25 were at the beginning of that 4:20 to 6:20 p.m. period?

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Wheeler - Direct

1 A. In the vicinity of JFK International Airport, just north of
2 the airport itself.

3 Q. Where is it consistent with the conclusion that they were
4 at the end of the period?

5 A. The Menendez residence in Englewood Cliffs, New Jersey.

6 Q. What boroughs is the data consistent with the conclusion
7 that they passed through during that period?

8 A. Queens, the Bronx, and Manhattan.

9 Q. Directing your attention to the second cell site from the
10 top, the one that is near the Hudson River on the map, which
11 bridge over the Hudson River is it closest to?

12 A. The George Washington.

13 Q. Directing your attention to the excerpt, directing your
14 attention to line 175, about when is the call on that line from
15 Robert Menendez to John Pilot with respect to the start of this
16 4:20 p.m. to 6:20 p.m. time frame?

17 A. Just over 15 minutes before.

18 MR. MONTELEONI: Mr. Hamill, can you please take us to
19 slide 17?

20 Q. Special Agent Wheeler, what is the date and time depicted
21 on this slide?

22 A. This depicts 10:06 to 11:15 a.m. on October 18 of 2021.

23 Q. Directing your attention to the red portion of the callout
24 box, what does that depict?

25 A. The red callout box indicates voice SMS and data activity

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Wheeler - Direct

1 of Robert Menendez' phone between 10:06 and 11:15 a.m. using
2 multiple sectors of the cell site immediately to the southeast
3 of the Menendez residence.

4 Q. Is the data that you reviewed consistent with the
5 conclusion that the Menendez cellphone was in the vicinity of
6 41 Jane Drive from 10:06 a.m. to 11:15 a.m. on October 18,
7 2021?

8 A. Yes.

9 Q. What does the blue portion of the callout box depict?

10 A. The blue callout box depicts voice and data activity on
11 Nadine Menendez' phone between 10:20 and 11:15 a.m. using
12 multiple sectors of the cell site located immediately
13 south-southeast of the Menendez residence.

14 Q. Is the data reviewed consistent, in your opinion, with the
15 conclusion that the Nadine Menendez cellphone was in the
16 vicinity of 41 Jane Drive from 10:20 a.m. to 11:15 a.m.?

17 A. Yes.

18 Q. What does the black portion of the callout box depict?

19 A. The black callout box indicates data activity of the Fred
20 Daibes phone, between 10:29 and 11:03, using the cell site
21 immediately south-southeast of the Menendez residence.

22 Q. In your opinion, is the data that you reviewed consistent
23 with the conclusion that the Fred Daibes cellphone was in the
24 vicinity of 41 Jane Drive from 10:29 a.m. to 11:03 a.m.?

25 A. Yes.

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Wheeler - Direct

1 Q. Where is it consistent with the conclusion that the
2 Menendez and the Nadine Menendez cellphones were in the
3 vicinity of during that time?

4 A. The Menendez residence.

5 Q. 41 Jane Drive?

6 A. Yes.

7 Q. What does the note in the bottom right corner of the slide
8 depict?

9 A. That after 11:03, the Daibes phone's records indicate that
10 the Daibes phone moved south, away from the Menendez residence.

11 Q. Directing your attention to the excerpt below on line 1254
12 reflecting that the terms "how much is 1 kilo of gold worth"
13 appearing in Menendez' Safari search history, when is that
14 entry in relation to the time period when the data is
15 consistent with the Daibes cellphone being in the vicinity of
16 41 Jane Drive?

17 A. Approximately nine minutes later.

18 MR. MONTELEONI: Mr. Hamill, can you please take us to
19 slide 18?

20 Q. Special Agent Wheeler, what is the date and time of this
21 slide?

22 A. March 30th, 2022, between 12:31 and 1:01 p.m.

23 Q. What does this slide depict?

24 A. Voice and data activity for Nadine Menendez' and Fred
25 Daibes' phones.

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Wheeler - Direct

1 Q. What does the blue tag saying "RP" on it represent?

2 A. The River Palm Terrace Restaurant located at 1416 River
3 Road in Edgewater, New Jersey.

4 Q. What does the black portion of the callout box mean?

5 A. The black callout box highlights activity of Fred Daibes'
6 phone at this cell site between 12:31 and 1:01 p.m. for voice
7 activity and data session activity. And again, this cell site,
8 in particular, the reason there is a circle on this cell site
9 as opposed to the two sector arms with the shaded sector, this
10 is because this particular cell site was omni-directional and
11 provided coverage in a 360-degree circle. So, the circle here
12 does not indicate the coverage area of the cell site but,
13 instead, highlights the fact that the cell signal from the cell
14 site was broadcast in a 360-degree direction.

15 Q. Is the data that you reviewed consistent, in your opinion,
16 with the conclusion that the Daibes cellphone was in the
17 vicinity of the River Palm at or about 12:31 to 1:01 p.m. on
18 March 30th of 2022?

19 A. Yes.

20 Q. What does the blue portion of the callout box mean?

21 A. The blue callout box highlights a data session that began
22 on Nadine Menendez' phone at 12:53 p.m., using the same cell
23 site used by Fred Daibes' between 12:31 and 1:01 p.m.

24 Q. Is the data reviewed consistent, in your opinion, with the
25 conclusion that the Nadine Menendez cellphone was also in the

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Wheeler - Direct

1 vicinity of the River Palm at about 12:53 p.m.?

2 A. Yes.

3 Q. Where is the data consistent with the conclusion that the
4 Daibes cellphone was in the vicinity of at the time?

5 A. The River Palm Terrace Restaurant.

6 Q. Directing your attention to the excerpts and directing your
7 attention to line 269, where Nadine Menendez texts Fred Daibes
8 "Thank you, Fred" and some emojis; when is that text in
9 relation to the time period where the data was consistent with
10 the Nadine Menendez cellphone and the Daibes cellphone both
11 being in the vicinity of the River Palm?

12 A. Just over 45 minutes later.

13 MR. MONTELEONI: Mr. Hamill, can you please take us to
14 slide 19?

15 Q. Special Agent Wheeler, what is the date and time of this
16 slide?

17 A. May 26 of 2022, between 8:18 and 10:27 p.m.

18 Q. Directing your attention first to the bottom portion of the
19 map and the bottom callout box there, what is depicted in the
20 black portion of the bottom callout box?

21 A. The black callout box indicates activity on Fred Daibes'
22 phone at cell site 812133, for calls and text messages between
23 8:21 and 10:22 p.m.

24 Q. What is depicted in the red portion of that box?

25 A. The red callout box highlights an incoming text and a data

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Wheeler - Direct

1 session on Robert Menendez' phone at the same cell site sector
2 used by Fred Daibes' phone. The incoming text is at 8:18 p.m.
3 and the data session begins at 9:29 p.m.

4 Q. Is the data that you reviewed consistent, in your opinion,
5 with the conclusion that the Menendez cellphone and the Daibes
6 cellphone were in the vicinity of each other between,
7 approximately, 8:21 and 9:29 p.m., on May 26, 2022?

8 A. Yes.

9 Q. Directing your attention to the upper red box, what does
10 that depict?

11 A. That depicts the cell site used by Robert Menendez' phone
12 to begin a data session at 10:27 p.m., and this is the same
13 cell site we have been seeing located immediately
14 south-southeast of the Menendez residence.

15 Q. Is the data consistent, in your opinion, with the
16 conclusion that the Menendez cellphone was in the vicinity of
17 41 Jane Drive at approximately 10:27 p.m.?

18 A. Yes.

19 Q. Directing your attention to the excerpt on line 342 where
20 it reflects the search terms "1 kilo gold price" appear in
21 Menendez' search history, when is that in relation to the time
22 period during which the data is consistent with the Menendez
23 cellphone being in the vicinity of 41 Jane Drive?

24 A. It is approximately five minutes after the start of the
25 data session.

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Wheeler - Cross

1 Q. When is this entry an excerpt in relation to the time
2 period during which the data is consistent with the Menendez
3 cellphone being in the vicinity of the Daibes cellphone?

4 A. Approximately one hour after.

5 MR. MONTELEONI: No further questions.

6 THE COURT: Sir.

7 CROSS-EXAMINATION

8 BY MR. FEE:

9 Q. Hi, Agent Wheeler.

10 A. Good afternoon.

11 Q. Good afternoon.

12 I believe you testified that cell site data is not always
13 perfectly accurate. Fair to say?

14 A. No.

15 THE COURT: I'm sorry. No, meaning that's not fair to
16 say, or it is not always perfectly accurate?

17 THE WITNESS: I never said it was not perfectly
18 accurate. I didn't talk about accuracy.

19 Q. Why didn't you talk about accuracy?

20 A. Because the phone records are accurate.

21 Q. OK. What about cell site location data? When a cell site
22 data indicates a user in a particular location based on cell
23 site activity, is that always perfectly accurate?

24 A. Yes. So the process, when a transaction is made, the cell
25 site that processed that transaction, whether it was a call or

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Wheeler - Cross

1 a text message, essentially stamps the record with its unique
2 identifier that gets sent to the company. Those are the
3 records that we obtain and are then certified, and those are
4 the ones that we analyze when we create our maps.

5 Q. Can you tell where a human being is located based on cell
6 site data?

7 A. We can tell approximately where the human being is if the
8 human being is the one holding the cellphone.

9 THE COURT: When you say approximately, is there a
10 range within which you can say it is accurate?

11 THE WITNESS: No. Again, it is going to depend on the
12 cell network in that particular area. If it is in an urban
13 area where the coverage area of those cell sites are smaller,
14 we can better approximate where the phone is. If it is a rural
15 area where cell sites have much larger coverage areas, then it
16 is a greater area where we would be approximating the phones.

17 THE COURT: What if it is a suburban area?

18 THE WITNESS: It would be somewhere in the middle. It
19 would be smaller than a rural area, larger than an urban area.

20 BY MR. FEE:

21 Q. And in some cases on which you worked, the precision of
22 locating the user of a phone can be very significant; correct?

23 MR. MONTELEONI: Objection.

24 THE COURT: Sustained. I'm not sure what you mean,
25 sir.

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Wheeler - Cross

1 Q. For example, if you are trying to isolate a missing person
2 by tracking the phone using cell site data, it is really
3 important to be as accurate as you can be, technology allowing.
4 Right?

5 A. Yes.

6 Q. Do you work on any cases where locations are not in
7 dispute?

8 MR. MONTELEONI: Objection.

9 THE COURT: I will allow it.

10 THE WITNESS: What locations by locations in dispute?
11 What do you mean?

12 Q. Like meaning your work is designed to locate, as best as
13 the technology and your expertise allows, the user of cell
14 phones; correct?

15 THE COURT: The location of cellphone users?

16 MR. FEE: Thank you.

17 A. Yes, generally the devices themselves, and with the devices
18 you usually find a person.

19 Q. Right, there is a human attached to the device, yeah.

20 But that's what the focus of your work is, locating the
21 devices in question; correct?

22 A. Generally. Yes.

23 Q. And in some cases you would consider yourself to be a part
24 of the investigative team. Fair to say?

25 A. In exigent circumstances, generally yes. We are often, as

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Wheeler - Cross

members of the CAST unit, called to command posts where we are sitting with the other individuals trying to locate somebody and we will be discussing with those other investigators all of the information coming in and trying to use our expertise to help whatever that situation is.

THE COURT: For a missing person, for example, or a kidnapped person?

THE WITNESS: Yes.

THE COURT: Is that correct?

THE WITNESS: Yes.

THE COURT: Or perhaps a perpetrator? Bank robber?

THE WITNESS: Generally we don't set up command posts for bank robbers, but for example, like a mass shooter when command posts are set up because there has been a mass shooting incident and the subject is still at large then, yes, that is another example in which we are called in to a command post.

THE COURT: As part of an investigative team. Is that what you are saying?

THE WITNESS: Yes.

THE COURT: All right.

BY MR. FEE:

Q. Just to clarify the distinction here. Where you are working with an investigative team they are actually feeding you information about why you need to locate a particular device; correct?

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Wheeler - Cross

1 MR. MONTELEONI: Objection.

2 THE COURT: Sustained.

3 Q. You do work on cases where you are given information about
4 the device in question in terms of its investigative
5 importance; correct?

6 MR. MONTELEONI: Objection.

7 THE COURT: If you understand it you may answer.

8 THE WITNESS: Generally, the only time I am given
9 details about a case, apart from just the records and some
10 locations and dates and times of interest, is in a proactive
11 setting where I am trying to assist in locating somebody who is
12 actively being sought after. On a historical basis, I am
13 generally not provided with many details about the case.

14 BY MR. FEE:

15 Q. And you considered the testimony here today focusing on
16 historical device location data. Fair to say?

17 A. Yes.

18 Q. Were you given any details about the reasons to focus on
19 these particular dates and devices in connection with your
20 testimony here?

21 MR. MONTELEONI: Objection.

22 THE COURT: I will allow it.

23 A. No.

24 Q. How did you decide which dates and devices to put in the
25 slide presentation we just reviewed?

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Wheeler - Cross

1 A. I was asked to look for certain devices in certain
2 locations on certain dates.

3 Q. By the prosecutors?

4 A. Yes.

5 MR. FEE: Let's put up page 6, please.

6 Q. And so, you were told to map these four phone numbers: One
7 for Robert Menendez, one for Fred Daibes, one for, listed here
8 Nadine Menendez, and another for Fernando Barruos; correct?

9 A. No.

10 Q. Correct me. What did I get wrong?

11 A. I was asked to look at these phones and other phones on
12 certain dates and times.

13 Q. Did you map phones that are not reflected in the box that
14 is titled Phones Mapped in Report?

15 A. Not in my report, no.

16 Q. In other work you did on this case that did not end up in
17 the final report you mapped other phones and other dates;
18 correct?

19 A. I don't believe any of the other phones factored in or
20 appeared in the maps on the dates and times that I mapped.

21 Q. Got it. Got it.

22 So, did you or did you not ever map cell location data
23 associated with the José Uribe phone ending in 3520?

24 A. I was given records for that phone. They did not
25 ultimately end up in the report.

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Wheeler - Cross

1 Q. Got it.

2 And the folks who decided what ended up in the ultimate
3 report was not you, it was the prosecutor; right?

4 A. Not exactly. I created the maps based on the times and
5 locations of interest and then based on their case needs, which
6 I was not privy to, certain maps were put into the final
7 product.

8 Q. So that sounds like the prosecutors decided what slides
9 went in the final product; is that right?

10 MR. MONTELEONI: Objection.

11 THE COURT: I will allow that.

12 Did the prosecutors decide which slides to put in your
13 report?

14 THE WITNESS: Yes and no. I created the maps and
15 there were certain dates that I was told were no longer
16 relevant.

17 BY MR. FEE:

18 Q. You would agree, that in this report we just reviewed,
19 there is not a slide that reflects the device location of the
20 José Uribe phone?

21 A. No.

22 THE COURT: Wait. I'm sorry. No meaning you would
23 not agree?

24 THE WITNESS: There is no map in my product that
25 depicts José Uribe's phone.

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Wheeler - Cross

1 THE COURT: All right. Thank you.

2 BY MR. FEE:

3 Q. Did you write the associated names in this little box
4 Phones Mapped in Report Associated Names?

5 A. Yes, I did.

6 Q. Why did you write "N. Menendez"?

7 A. That is the name.

8 Q. Who gave you that name?

9 A. I believe it was the prosecutors.

10 MR. FEE: Can we just flip forward through some of the
11 slides? Stop there.

12 Q. Are you aware that that name was the wrong name for most of
13 the dates in the slide deck?

14 MR. MONTELEONI: Objection.

15 THE COURT: Sustained.

16 Q. OK, we will get the name here in the little rows. The
17 prosecutors put those rows on the slides?

18 A. Yes. Anything that has the yellow government exhibit stamp
19 on the bottom is data that was not part of my original report
20 but was added to it to create a new exhibit.

21 Q. Got it.

22 Did you see this report with the government rows, the
23 prosecutor rows inserted into it before you took the stand here
24 today?

25 A. Yes.

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Wheeler - Cross

1 Q. So did you see the name here "Nadine Arslanian"?

2 A. Yes.

3 Q. Do you know if that is the same person that you are
4 describing as Nadine Menendez?

5 A. I believe it is, yes.

6 Q. Do you know when her name shifted from Nadine Arslanian to
7 Nadine Menendez?

8 A. I do not.

9 Q. Gotcha.

10 Did the prosecutors tell you that before, say,
11 October 2020, she should be referred to as Nadine Arslanian, to
12 be accurate?

13 A. No.

14 Q. But you do want to be accurate in all the work you do, I am
15 sure.

16 A. Yes.

17 MR. FEE: Let's put that down, the blowup, and go back
18 to page 6.

19 THE COURT: As far as you know -- well, is it your
20 belief this is the same person?

21 THE WITNESS: Yes.

22 THE COURT: All right.

23 BY MR. FEE:

24 Q. Got it.

25 In locations mapped in the report, where did you get the

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Wheeler - Cross

1 names of Rothschild Mansion?

2 A. When you Google that address, it comes up.

3 Q. Ah. Are you the one who put that name in this part of the
4 slide deck?

5 A. Yes.

6 Q. Do you know, do you have any understanding as to the
7 significance of that address, 41 East 70 Street, in the
8 investigation here?

9 MR. MONTELEONI: Objection.

10 THE COURT: Do you have any understanding as to why
11 that location is set forth there?

12 THE WITNESS: No.

13 Q. The house symbol, the third one says Menendez residence, 41
14 Jane Drive, similar to the names, who told you to describe that
15 as the Menendez residence?

16 A. I was told that was the Menendez residence by the
17 prosecutors.

18 Q. Did you Google that address as you did the Rothschild
19 Mansion?

20 A. No.

21 Q. Did you ever look at the actual name of the owner of 41
22 Jane Drive in any of the stuff you were given in connection
23 with your work on this case?

24 A. I did not.

25 Q. Do you know if it is accurately described as owned by a

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Wheeler - Cross

1 person named Menendez?

2 MR. MONTELEONI: Objection.

3 THE COURT: Sustained.

4 Q. Let's go to page 7 of your 1303.

5 April 6, 2019, you testified about this slide?

6 A. I did.

7 Q. On the bottom, the prosecutor read these text messages
8 between Nadine Arslanian and José Uribe where Nadine says: *Bob*
9 *and I are going ho my house. If you want, come by any dime.*
10 *He just finished his meeting.*

11 Who did you map on this slide?

12 A. This is Nadine and Robert Menendez' phones.

13 Q. And Senator Menendez is blue and Nadine is red; is that
14 right?

15 A. No.

16 Q. You tell me.

17 A. So Nadine Menendez is blue and Robert Menendez is red.

18 Q. I had a 50/50 chance. I failed.

19 Do you know if José Uribe actually came over to 41 Jane
20 Drive on April 6, 2019?

21 A. No.

22 Q. If I were to tell you that he didn't come over that night,
23 what would that indicate to you about the purpose of this
24 mapping?

25 MR. MONTELEONI: Objection.

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Wheeler - Cross

1 THE COURT: Sustained.

2 MR. FEE: Let's drop that down. Let's look at page 8.

3 Q. Who are you mapping here?

4 A. This is a phone belonging to Fernando Barruos.

5 Q. And again, I assume you were not given any information
6 about Mr. Barruos' role in this case?

7 A. No.

8 Q. And let's go to the next slide. You are mapping again
9 Mr. Barruos?

10 A. Yes.

11 Q. And he is by the Bronx Zoo and Planet Fitness still?

12 A. That is the cell site used, yes.

13 Q. His phone.

14 A. Yes.

15 Q. The phone assigned to Barruos.

16 MR. FEE: Let's go to the next page.

17 Q. Again, mapping Barruos?

18 A. Yes.

19 MR. FEE: Next page?

20 Q. Again, mapping Barruos, yes? Did you say yes?

21 A. Yes.

22 Q. Next page, again mapping Barruos; correct?

23 A. Page 12, yes.

24 Q. Thank you. So pages 8, 9, 10, 11, 12 mapped the device
25 associated with Fernando Barruos and no one else; right?

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Wheeler - Cross

1 A. Can we go back and look at each one to make sure those page
2 numbers are correct?

3 MR. FEE: Yes. Mr. Kelly, just back up all the way to
4 8 one at a time?

5 A. OK. OK. OK. Yes, page 8 through 12.

6 Q. And you have him in the Bronx for each of those slides?

7 A. Yes.

8 Q. Or, excuse me, the device associated with him is in the
9 Bronx for each of those slides?

10 A. Yes.

11 Q. Were you given any information indicating whether
12 Mr. Barruos or Mr. Uribe, on the dates mapped in 8, 9, 10, 11,
13 and 12, told Robert Menendez where they were?

14 MR. MONTELEONI: Objection.

15 THE COURT: Sustained.

16 Q. Well, in any of the slides that were created with you and
17 the government for presentation here today, do you see any
18 texts indicating that Barruos or Uribe share their location
19 with Senator Menendez? And we can review the slides again, if
20 you want to look.

21 A. Well, I was the one that created the slides, they weren't
22 created by myself and the government. So, I created these
23 slides and I did not see or was not privy to any of the text
24 messages exchanged in this investigation before I created my
25 report, my finalized report. And the text messages that are

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Wheeler - Cross

1 here on the report with the Government Exhibit 1303 stickers,
2 those I saw only after the fact when my report was then
3 finalized.

4 Q. So how should I refer to this thing with the government
5 rows? I don't want to confuse you on this slide.

6 A. So it would just be my report and then the addition of
7 Exhibit 1303 came after my report was submitted.

8 Q. But you have reviewed this once the prosecutors put on
9 those text messages, correct?

10 A. I believe I saw it last week.

11 Q. So let's just look. On slide 12 of Exhibit 1303, do you
12 see anything there indicating Uribe or Barruos shared their
13 location with Senator Menendez?

14 THE COURT: You mean looking in the text portion?

15 MR. FEE: Yes, your Honor. Yes, your Honor.

16 THE COURT: All right.

17 A. Did you want to start with slide 8 or are we starting with
18 slide 12?

19 Q. Let's start with slide 12, just to save time.

20 A. No, these are not text messages involving Robert Menendez.

21 Q. Thank you.

22 Let's go to page 11. Same question, Agent Wheeler.

23 A. No.

24 Q. Page 10; same question.

25 A. No.

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Wheeler - Cross

1 Q. Page 8.

2 A. No.

3 Q. I'm sorry. Page 9. Thank you. And then page 8, the last
4 one; same question?

5 A. No.

6 Q. Let's go to page 13. So, I believe -- let me just
7 understand how this -- what is reflected in the portion of the
8 report you prepared on this page, Agent Wheeler? So these two
9 lines are supposed to reflect the approximate location of the
10 device at issue; is that correct?

11 MR. MONTELEONI: Objection.

12 Q. Tell me what this is supposed to indicate.

13 A. So you have two sector arms that meet and intersect at the
14 cell site that was used. The shaded area indicates the
15 directionality of the sector, quite different from the
16 approximate coverage area.

17 Q. OK. So if I were to ask you, this is a Senator Menendez
18 phone?

19 A. No.

20 Q. Whose phone is this?

21 A. This is Nadine's.

22 Q. Thank God you are here.

23 If I were to ask you what does this image tell me about the
24 location of Nadine's phone as of 8:43 p.m. on September 5,
25 2019, what would you tell me?

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Wheeler - Cross

1 A. That Nadine Menendez' cellphone used a cell site and was
2 located within the coverage area of this particular cell site
3 located just east of Riverside Drive at approximately West 80th
4 Street.

5 Q. You are showing me what the tower is covering at the time
6 her device connects to that tower?

7 A. No.

8 Q. Tell me what I got wrong there.

9 A. I am showing you the directionality of that particular
10 sector. So, that sector faced northeast.

11 Q. And what you can tell from this image about the location of
12 the phone is what?

13 A. That it used that cell site and that sector.

14 Q. Well, a slightly different question. What can you tell, if
15 anything, about the location of the phone based on this image
16 and the data associated with it?

17 A. That it was located within the coverage area of this cell
18 site. Now, in looking in totality at the records and all the
19 other records from that day, and having examined many sets of
20 cell sites when somebody that we have located has been located
21 along a river, we see cell sites bouncing back and forth across
22 the river in time periods that are completely incongruous with
23 actually being able to travel from one side of the river to the
24 other during that time period.

25 Q. So you made this slide with the image we see here depicting

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Wheeler - Cross

a portion of the Upper West Side of Manhattan?

A. Yes.

Q. But your testimony, as you just said, is that you think Nadine's phone is actually in New Jersey at this time and the entire day; is that right?

A. I didn't say the entire day.

Q. OK.

A. But in looking at the records for that day, at this particular time period, the totality of the records appears more consistent with Nadine's phone being in New Jersey than in New York.

Q. So your expert opinion is that she is likely -- that phone is likely in New Jersey at the time depicted in this image?

A. Yes.

Q. So why make this image?

MR. MONTELEONI: Objection.

THE COURT: Sustained.

Q. Did you tell the prosecutors before they prepared this part of the deck that you actually believed Nadine's phone was in Jersey on this date?

MR. MONTELEONI: Objection.

THE COURT: Sustained.

Q. Did you discuss with the prosecutors before you testified here today where you thought Nadine actually was?

MR. MONTELEONI: Objection.

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Wheeler - Cross

1 THE COURT: I will allow it.

2 A. Yes.

3 Q. And was there any indication as to whether you would still
4 present this slide given your opinion about the location of
5 Nadine's phone at this time?

6 A. The location of the device at the time, I don't know what
7 the importance of that is. I don't know if it is important one
8 way or another, if it is even important, or if it is important
9 if it is in one place or the other. I was asked to map this
10 particular time and so I mapped this particular transaction.

11 Q. Would you agree that if we didn't have you here to explain
12 what your actual opinion was, that this image would
13 potentially, to a layperson like me, be misleading?

14 MR. MONTELEONI: Objection.

15 THE COURT: Sustained.

16 Q. Do you think this image can be fairly interpreted without
17 your analysis?

18 MR. MONTELEONI: Objection.

19 THE COURT: Sustained as to form.

20 Q. Did you have any concerns about how this particular slide
21 would be interpreted by folks who aren't experts?

22 MR. MONTELEONI: Objection.

23 THE COURT: Sustained.

24 MR. FEE: Let's go to page 14.

25 BY MR. FEE:

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Wheeler - Cross

1 Q. So, I believe your testimony on direct, correct me if I am
2 wrong, was similar for the blue cell site area hit in Manhattan
3 in this slide; is that fair?

4 A. Could you repeat your question?

5 Q. No, because that would be embarrassing.

6 Tell me, is this Nadine's phone in blue?

7 A. Yes.

8 Q. Tell me where you think she was, in your expert opinion, at
9 the time depicted in the slide September 6, 2019, 2:03 p.m.?

10 A. Looking at the totality of the records in this time period,
11 it appears more consistent that Nadine's phone was in New
12 Jersey than in New York at this particular time.

13 Q. So again, any idea why the prosecutors asked you to present
14 a slide showing her phone with a dot and some lines in
15 Manhattan?

16 MR. MONTELEONI: Objection.

17 THE COURT: Sustained.

18 Q. Did you discuss with the prosecutors the purpose of this
19 slide?

20 MR. MONTELEONI: Objection.

21 THE COURT: I will allow it, just as I did before.

22 THE WITNESS: No. I very rarely ask prosecutors the
23 why.

24 Q. Why not?

25 MR. MONTELEONI: Objection.

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Wheeler - Cross

1 THE COURT: Sustained to that.

2 Q. Can I ask you when you told the prosecutors that you
3 actually didn't think these people were in Manhattan?

4 MR. MONTELEONI: Objection.

5 THE COURT: Sustained.

6 Q. Let's go to page 16. Did you map any location, any devices
7 in Washington, D.C.?

8 A. I had records that included locations in Washington, D.C.
9 I looked at those, yes.

10 Q. But none of them ended up in the final presentation; is
11 that fair to say?

12 A. Yes, that is fair to say.

13 MR. FEE: Let's look at 18, actually. I'm sorry,
14 Mr. Kelly.

15 Q. So you are here showing the devices used by Mr. Daibes and
16 Ms. Menendez by this time, on March 30 of 2022; is that fair to
17 say?

18 A. March 30th, 2022.

19 Q. Yes. I'm sorry. Not 2002.

20 Are you aware that Senator Menendez was in Washington, D.C.
21 on that day, just from your work on the cell phones? Just from
22 your work on the cell phones, do you know where he was on that
23 day?

24 MR. MONTELEONI: Objection.

25 THE COURT: I will allow it if she knows.

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Wheeler - Cross

1 THE WITNESS: I would have to pull up the records.

2 This particular case there were over 1 million lines
3 of transactions so my recall of where various phones were on
4 times that don't appear on my maps is not great.

5 BY MR. FEE:

6 Q. Understood. Just from your recall, I won't ask specifics,
7 but you do recall mapping Senator Menendez' cellphone in
8 Washington, D.C. a significant amount of time; correct?

9 MR. MONTELEONI: Objection.

10 THE COURT: Did you map his cellphone in Washington,
11 D.C.?

12 THE WITNESS: Yes. There were dates that I did. I
13 couldn't say it was significant number of dates.

14 Q. Because you mapped only the dates the prosecutors asked
15 you?

16 A. Yes. I was given a lengthy list, for at least CAST work a
17 lot of locations, a lot of dates, much more than we normally
18 map for trials.

19 Q. Much more than you normally map but you have 19 pages of
20 slides?

21 THE COURT: Do you have 19 pages of slides?

22 A. I technically have 20.

23 Q. Sorry. You have 20 pages of slides. Where is most of the
24 work?

25 MR. MONTELEONI: Objection.

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Wheeler - Cross

1 Q. You said more work than normal. Is it reflected in the
2 sides you presented to this jury?

3 A. Typically trial reports are shorter than this.

4 Q. So there is a lot of work that for whatever reason, didn't
5 end up being presented to the jury?

6 MR. MONTELEONI: Objection.

7 THE COURT: Sustained.

8 MR. FEE: I'm sorry. Sustained?

9 THE COURT: Sustained.

10 Did you do work that is not reflected in these slides?

11 THE WITNESS: Yes.

12 THE COURT: All right.

13 BY MR. FEE:

14 Q. You are aware that Senator Menendez is a United States
15 senator?

16 A. Yes.

17 Q. And you know that the Senate meets in Washington, D.C.?

18 A. Yes.

19 Q. And that he spends time in Washington, D.C. for that job?

20 MR. MONTELEONI: Objection.

21 THE COURT: I think it is self-evident but I will
22 allow the witness to answer.

23 THE WITNESS: I don't know what percentage of time
24 senators spend in Washington versus their home constituencies.

25 Q. With this phone data you are able to map the percentage of

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Wheeler - Cross

1 time he spends in D.C. versus New Jersey or New York; aren't
2 you?

3 THE COURT: Sustained.

4 MR. MONTELEONI: Argumentative.

5 Q. Do you think you are able to map the percentage of time his
6 phone is in D.C. versus New York?

7 A. Yes. With the records you can do that.

8 Q. And you have the records, right?

9 A. Yes.

10 Q. But this, the presentation you made here, does not reflect
11 anyone located in D.C. on any of these dates; correct?

12 A. That is correct.

13 MR. FEE: Page 16, please.

14 Q. Were you given by the prosecutors, as a starting point for
15 this slide on October 17, the information that Bob and
16 Nadine -- Senator Menendez and Nadine -- were arriving at JFK?

17 A. I don't remember if it was a time period I was given that
18 began at 4:20. I would have to look at, you know, to see what
19 the initial request for this particular date was but I believe
20 it was the time period.

21 Q. Fair to say that, in your expert opinion, this map reflects
22 a couple driving from or traveling from John F. Kennedy Airport
23 to the place you have identified as the Menendez residence;
24 correct?

25 MR. MONTELEONI: Objection.

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Wheeler - Cross

1 THE COURT: I will allow it.

2 Are you able to say that based on this information?

3 THE WITNESS: Yes.

4 Q. Are you aware if there is any dispute in this case about
5 whether you need to drive through Manhattan to get to New
6 Jersey from JFK?

7 MR. MONTELEONI: Objection.

8 THE COURT: Sustained.

9 I take it you are not aware of disputes in this case?

10 THE WITNESS: I am not.

11 MR. FEE: Page 19 and then I will be done. One
12 second, your Honor? Mr. Weitzman is whispering to me.

13 (Counsel conferring)

14 Q. This reflects the locations of the device used by Senator
15 Menendez and Fred Daibes; correct?

16 A. Yes.

17 Q. On May 22 of 2022?

18 A. May 26 of 2022.

19 Q. May 26 of 2022. Thank you.

20 You see in the rows inserted by the prosecutors on the
21 bottom, Senator Menendez tells Fred Daibes -- or sends a
22 message that states: Signal: At restaurant. Do you see that?
23 Above the "1 kilo gold price"?

24 A. Can you repeat your question?

25 Q. Sure. Do you see in the text message there or the message

O6R5men6

Wheeler - Cross

1 there from Menendez to Daibes it said the words "at
2 restaurant"?

3 A. Yes.

4 Q. Are before you were asked if you saw here "1 kilo gold
5 price" by the prosecutor?

6 A. Yes. In the subsequent one.

7 Q. Where are you given any information about whether Senator
8 Menendez was responding to an ethics question during this
9 period of time --

10 MR. MONTELEONI: Objection.

11 Q. -- about the value of gold?

12 MR. MONTELEONI: Objection.

13 THE COURT: Sustained.

14 MR. FEE: Let's put that down. No, you can keep that
15 up, that page, please, page 19.

16 Q. So I believe you testified on direct that your opinion was
17 these two phones were in the same place for some period of
18 time?

19 A. They used the same cell site and sector, yes.

20 Q. Got it. Got it.

21 During what period of time were those two phones using the
22 same cell site sector?

23 A. Approximately 8:21 to 9:29 p.m. on May 26 of 2022.

24 Q. Would you agree that could be dinner time for some folks?

25 A. Yes.

O6R5men6

Wheeler - Cross

1 Q. Do you know if -- well, in your experience mapping the
2 locations of devices, do you tend to find long-term friends'
3 devices in the same location frequently?

4 MR. MONTELEONI: Objection.

5 THE COURT: Sustained.

6 MR. FEE: Your Honor, no further questions.

7 THE COURT: Thank you.

8 Mr. Lustberg?

9 MR. LUSTBERG: Ms. Collart has a couple of questions.

10 THE COURT: All right. Thank you.

11 MS. COLLART: Your Honor, given the time, do you want
12 me to start?

13 THE COURT: Yes. How long do you think you have?

14 MS. COLLART: Less than 10 minutes.

15 THE COURT: Let's do it. Ladies and gentlemen of the
16 jury, OK? All right.

17 CROSS-EXAMINATION

18 BY MS. COLLART:

19 Q. My name is Anne Collart, I represent Mr. Hana. I just want
20 to ask you about one page of your report.

21 And Mr. Kelly, if we can put up GX 16C-2 and turn to
22 page 7?

23 So this covered the time period between 9 and 11:54 p.m. on
24 April 6, 2019, correct?

25 A. Yes.

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Wheeler - Cross

1 Q. And your testimony earlier was that based on the handover
2 and the data sessions, these red and blue sectors indicate that
3 the senator and Nadine are traveling north towards 41 Jane
4 Drive; right?

5 A. Yes. That is consistent with the records.

6 Q. And it is also consistent with the text messages below
7 where I think in Government Exhibit 1303 she texts to José
8 Uribe to come over any time after she drops Mr. Hana off;
9 correct?

10 MR. MONTELEONI: Objection.

11 THE COURT: Do you see that the first line, line 694,
12 Agent? Do you see that?

13 THE WITNESS: Yes, I do.

14 THE COURT: Go ahead. Ask your question.

15 BY MS. COLLART:

16 Q. So this is the only page of the report that references
17 Mr. Hana; correct?

18 MR. MONTELEONI: Objection.

19 Q. On that report?

20 THE COURT: No, I will allow it.

21 A. No, I believe I would have to look at all the other pages
22 but there may be other conversations in which I have called out
23 communications between one of the four mapped phones and
24 Mr. Hana but I would have to look at all the pages.

25 MS. COLLART: Mr. Kelly, could you quickly scroll

O6R5men6

Wheeler - Cross

1 through those for Agent Wheeler?

2 A. A little slower.

3 Q. That's my fault.

4 A. OK. OK. OK. OK. OK. OK. OK. OK. OK, yes,
5 that is the only slide that Mr. Hana's name appears in my
6 report.

7 Q. Thank you for confirming.

8 MS. COLLART: Can we go back to page 7?

9 Q. On the bottom blue box here where Mr. Hana's name is it
10 says 12 SMS messages; right?

11 A. Yes.

12 Q. So I just want to be clear that by including his name here,
13 you are not actually saying anything about his location;
14 correct?

15 A. That is correct.

16 Q. But based on the fact that there are 12 messages here, you
17 could say something about his location if you had the data;
18 right?

19 MR. MONTELEONI: Objection.

20 THE COURT: Rephrase.

21 Q. But would you, if you were given his phone records,
22 including these 12 messages, be able to map his location?

23 A. Yes.

24 Q. And if I understand your testimony from before the break
25 earlier today, even if you didn't have those text messages,

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Wheeler - Cross

1 could you map his location anyway?

2 MR. MONTELEONI: Objection.

3 THE COURT: I will allow it if she can answer.

4 Q. I can rephrase.

5 A. Yes.

6 Q. So I think you testified that any time you have a phone and
7 it's connecting to cell towers in their range you get data from
8 that; right?

9 A. So any time our phones make or receive a phone call, or in
10 this case a text message sent over the cellular network, not an
11 iMessage not a Signal message, not a Telegram, WhatsApp, a
12 record is generated with the phone company. And depending on
13 the provider, we can get the location of that text message.
14 Now, for example, T-Mobile, Verizon, don't always provide us
15 with locations for text messages. AT&T does.

16 (Continued on next page)

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1 BY MS. COLLART:

2 Q. OK. So if you had that data for Mr. Hana, you could map
3 his location?

4 A. It would depend on his provider.

5 Q. Do you know his provider?

6 A. I don't remember. Again, there was a very voluminous
7 amount of records in this case, so I would have to look at the
8 original records.

9 MS. COLLART: OK. We won't do that. Thank you.

10 Nothing further, your Honor.

11 THE COURT: Thank you.

12 Mr. De Castro, anything?

13 MR de CASTRO: Not from us.

14 THE COURT: All right?

15 Any redirect?

16 MR. MONTELEONI: No, your Honor.

17 THE COURT: All right. You are excused, Agent. You
18 may step down. Thank you.

19 (Witness excused)

20 THE COURT: Ladies and gentlemen, thank you for the
21 full day of testimony. Again, we continue so far to be
22 relatively on track.

23 Tomorrow we'll go until 1:30. What I'll do is I'll
24 give you a 15-minute break around 11:30 or so, so you may want
25 to bring apples or oranges or a sandwich or something if we're

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1 going to go until 1:30.

2 Tomorrow, 9:30 to 1:30. That will be almost a full
3 day of testimony. Enjoy the rest of the day.

4 (Continued on next page)

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1 (Jury not present)

2 THE COURT: Government, is the next witness your last
3 witness?

4 MR. MONTELEONI: Yes, your Honor.

5 THE COURT: How long will the direct take?

6 MS. GHOSH: Not longer than an hour and a half, your
7 Honor.

8 THE COURT: All right. Thank you.

9 Then the government plans on resting after we deal
10 with some evidentiary issues?

11 MR. MONTELEONI: Yes, your Honor, but I do have an
12 application to make in light of the cross-examination, if you
13 have a moment.

14 THE COURT: Yes.

15 You may be seated in the courtroom.

16 MR. MONTELEONI: I think that really it's appropriate
17 for another instruction on investigative techniques given the
18 repeated, persistent attempts by defense counsel to suggest
19 that there's something wrong with the government asking
20 witnesses to analyze certain things and not, you know,
21 analyzing other things in particular, not conducting particular
22 investigative techniques. And I want to highlight both that
23 this is a persistent issue, but in this case it actually ended
24 up being extremely misleading because, first of all, not all
25 the records that were sought were available for the correct

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1 date ranges, but obviously -- first of all, the jury shouldn't
2 have to worry about this, but it's almost impossible to prove
3 the negative, marking every record as an exhibit, and showing
4 that we actually didn't have location information for Uribe for
5 the relevant dates. But also the suggestion that there was
6 something misleading about mapping the fact that a cell tower
7 went across the river into Manhattan is very unfair because, in
8 fact, I told the witness to check the hypothesis that the cell
9 phone was in New Jersey and asked her to do additional work to
10 confirm that and then brought it out on direct testimony. And
11 to suggest in a way that I can't rebut without getting into my
12 own conversations with the witness, which is totally improper,
13 to suggest that there's something misleading about it, I think
14 it's inappropriate, and I think that another instruction on
15 this point is appropriate, especially where the defense is
16 disputing venue and to try to make it seem like we're making a
17 to-do out of nothing and feeding the witness something that's
18 improper is really --

19 THE COURT: I understand your point. It's not unusual
20 for me to hear testimony about the cell site signals pinging
21 off towers across the river. I've heard that a number of
22 times.

23 MR. MONTELEONI: Yes, your Honor, but I just think
24 that the repeated focus on things we should have done is
25 unfair.

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1 THE COURT: I understand. I understand.

2 MR. FEE: Your Honor, the purpose of the cross
3 generally is to point out the lack of evidence.

4 Can I say specifically here we are disputing venue. A
5 person in New Jersey whose cell phone hits on a tower in New
6 York does not supply venue. This jury, I imagine, is not
7 familiar with cell-site location mapping. We have to point
8 out -- they put on two slides where there's, like, a dot and a
9 triangle and the name of our client in Manhattan, where the
10 witness clarifies, we don't think that person's in Manhattan.

11 THE COURT: She didn't quite say that. This witness
12 was very precise.

13 MR. FEE: Very precise.

14 THE COURT: Much more precise than the normal
15 cell-site expert. It's obviously for the jury, but I was
16 impressed with her precision.

17 She didn't say that. She said given the totality of
18 all the evidence the likelihood is that she would be in New
19 Jersey. That's correct.

20 MR. FEE: That's right.

21 We think it is very, very fair and, frankly, necessary
22 on cross to highlight the fact.

23 THE COURT: I don't dispute that. By the same token,
24 I don't think there's anything to be lost by repeating the
25 instruction that I will, in fact, give them and that everyone

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1 knows, that investigative techniques are not their concern.

2 Take five minutes, and then I'll see the lawyers in
3 the robing room.

4 MR. WEITZMAN: Your Honor, I have my own application
5 to make.

6 THE COURT: Yes, sir.

7 MR. WEITZMAN: Apologies.

8 THE COURT: They made one. You get to make one.

9 MR. WEITZMAN: It follows up on the instruction you
10 already gave to the jury about how Will Hana is not charged
11 with FARA violations.

12 THE COURT: Yes.

13 MR. WEITZMAN: I think an appropriate instruction in
14 light of Ms. Kopplin's testimony is that Senator Menendez is
15 not charged with violating or lies on his financial
16 disclosures. There is a crime that the government could have
17 charged with respect to that. It's, frankly, what Senator
18 Menendez had been charged with in New Jersey. The government
19 chose not to charge that crime here, and I think the jury
20 should be instructed in the same way the jury was instructed
21 about Mr. Hana.

22 MR. RICHENTHAL: We did charge the crime here. It's
23 called honest services fraud. We intend to argue that those
24 were material omissions and lies, so it would be false to say
25 it's not charged. If Mr. Weitzman is referring to a different

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1 charge over which we did not have venue, I agree. We did not
2 bring charges over which we did not have venue.

3 MR. WEITZMAN: The honest services fraud charge is not
4 about lies on these ethics forms. They will use the ethics
5 form as evidence of consciousness of guilt, which is very
6 different than charging the lies as a violation of law.

7 THE COURT: Wait just a moment.

8 Go ahead, sir.

9 MR. RICHENTHAL: I would respectfully request your
10 Honor look at the requests to charge. I'm not even sure the
11 parties disagree on this. It is common -- I think it's even
12 uniform that in honest services fraud cases the government
13 points to lies and omissions on forms as one of the methods for
14 either lying or concealing bribes. That occurred in the
15 Sheldon Silver matter. It occurred, I believe, in the Sampson
16 matter. We also cited it in our motions *in limine*. I can't
17 think of an honest services fraud case, to my knowledge, which
18 didn't.

19 THE COURT: All right. Just take a look at the
20 proposed charges, and when we go back into the robing room, you
21 can give me the number of each of the charges that are
22 included.

23 All right. Five minutes to refresh yourselves.

24 MS. COLLART: Your Honor, my apologies. In case we're
25 not on the record back in the robing room, your deputy asked me

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1 to put on the record our request for a letter.

2 THE COURT: Oh, yes, please. Let's put that on the
3 record.

4 Go ahead.

5 MS. COLLART: We have at least one defense witness who
6 is still located overseas and has applied for a visa but has
7 been told that there is a lengthy delay, into 2025, to even
8 obtain an appointment. I've been working with Ms. Clark to try
9 and seek an expedited review of that, very helpfully, very
10 collaboratively, and we've been advised that they're requesting
11 a letter from the Court to request that and to advise that
12 there's a pending trial where his testimony is required.

13 THE COURT: All right. I gather, because you wrote an
14 email to my deputy, which I'll read, that came in today during
15 the lunch break. This was to my deputy from Ms. Collart:

16 As discussed, below is the information related to our
17 request that the Court issue a letter requesting expedited
18 consideration of a visa application.

19 Can I set forth the individual's name?

20 MS. COLLART: I think that's fine, your Honor.

21 THE COURT: The individual's name is Ibrahim Ezzat
22 Ibrahim Hussein Abdalla.

23 We request that the letter convey that the witness is
24 needed to give testimony in a pending trial and that the
25 testimony could be needed as early as Monday. Accordingly,

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1 expedited consideration of the visa application would be
2 considered.

3 Ms. Clark, with my sincere gratitude -- and this is
4 with Ms. Collart's sincere gratitude -- is trying to identify
5 to whom the letter should be addressed, and we will follow up
6 once we have that information. I can also put this on the
7 record at the end of the day if the Court would like, but I
8 wanted to make the above available to you now, as you'd
9 requested.

10 Please let me know -- and that's to my deputy. Please
11 let me know if you need anything further. Many thanks for your
12 help with this.

13 All right. I assume there's no objection from the
14 parties.

15 MR. MARK: The government has no objection to that
16 letter without being prejudiced to our motion that's pending to
17 preclude this witness's testimony. But obviously the
18 government wants to make sure that that witness is available
19 and doesn't prejudge the Court's ruling.

20 THE COURT: And I haven't had a response to that
21 motion, right, yet?

22 MS. COLLART: That's correct, your Honor.

23 THE COURT: OK.

24 MS. COLLART: We're anticipating filing that tomorrow.

25 THE COURT: All right.

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1 Do we know who this letter should go to?

2 MS. CLARK: Your Honor, I think part of the issue is
3 that the individuals are in New Delhi. We're working
4 diligently to determine who it should be sent to.

5 THE COURT: When you get that name and that address,
6 file it with the Court, and then I'll get the letter out.
7 Thank you.

8 The letter will be addressed to whomever it should be
9 addressed to, and I will say I am currently presiding over the
10 trial of United States v. Robert Menendez, et al., 23 Cr. 490,
11 in the United States District Court for the Southern District
12 of New York. I've been informed by one of the parties that --
13 I'll set forth his name -- is needed to give testimony on
14 behalf of that party and that testimony could be needed as
15 early as Monday. Accordingly, I would appreciate it if you can
16 give expedited consideration to Mr. Abdalla's visa application.
17 Very truly yours, and I'll sign it. All right?

18 Thank you. Five minutes. I'll see everyone in the
19 robing room.

20 MR. FEE: Your Honor, I'm sorry. Can we release our
21 clients?

22 THE COURT: Of course. We're going to be handling
23 legal matters only.

24 MR. RICHENTHAL: We have two other matters. I'm not
25 saying the clients have to remain.

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1 THE COURT: I assume they're legal matters.

2 MR. RICHENTHAL: Yes, and they're not about the robing
3 room issue.

4 THE COURT: All right. Then we'll do it later.

5 (Recess)

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1 (In the robing room)

2 THE COURT: Robert Menendez issued a subpoena, dated
3 April 18, 2024, pursuant to Fed. R. Cr. P. 17(c) to the law
4 firm of Krieger Lewin LLP to produce documents relating to its
5 prior representation of José Uribe. In relevant part, the
6 subpoena demanded "any and all" documents internal to Krieger
7 Lewin or between Krieger Lewin and Uribe regarding statements
8 made during a July 19, 2023, proffer by counsel for Mr. Uribe
9 at Southern District of New York U.S. Attorney's Office about
10 Nadine Menendez paying Uribe back for car payments Uribe made
11 on her behalf. (ECF 395-2.)

12 Along with Kasowitz Benson Torres LLP, the firm
13 currently representing Uribe, Krieger Lewin moved to quash the
14 subpoena on May 12, 2024. (ECF 394.) The parties' briefing on
15 this portion of the Krieger Lewin subpoena focused
16 substantially on whether the requested documents were protected
17 by attorney-client or work product privileges. (ECF 394, 418,
18 419.)

19 In an order dated May 31, the Court granted the law
20 firm's motion to quash the subpoena without prejudice to
21 Menendez moving to establish a factual basis supporting
22 application of the xxxxxxxxxxxxxxxxxxxxxxxx to the attorney-client
23 or work product privileges. (ECF 432.) I note that the May 31
24 order also granted, without qualification, Kasowitz Benson and
25 Krieger Lewin's motion to quash Menendez's subpoena to Kasowitz

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for documents (395-1) as well as a subpoena to Uribe to testify and produce documents. (395-3.)

Menendez moved to set forth the factual basis supporting application of the xxxxxxxxxxxxxxxxxxxxxxx by filing letters under seal on June 13 and 14. The June 14 letter indicated that Menendez also intends to call Nick Lewin of Krieger Lewin to testify about the same proffer to the government on July 19, 2023. Krieger Lewin's opposition letter and the government's letter joining in that opposition were both filed under seal on June 17.

With the benefit of this additional briefing and the sealed conference with the parties on June 13, the Court has concluded that it need not decide whether Menendez has established a factual basis to support application of the xxxxxxxxxxxxxxxxxxxxxxx. Instead, regardless of whether the xxxxxxxxxxxxxxxxxxxxxxx applies, the Rule 17 subpoena dated April 18, 2024, to Krieger Lewin is quashed with prejudice, and the related testimony of Lewin is excluded pursuant to Rule 402 and 403 and requirements of relevancy, specificity and admissibility set forth by the United States Supreme Court in *United States v. Nixon*, 418 U.S. 683 (1974).

Menendez has proffered that Nick Lewin's testimony and the requested documents are relevant to Menendez's defense that he lacked knowledge of Uribe's payments for Nadine's car, specifically evidence that "Uribe duped his lawyer into

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1 believing that the car payments were a loan makes it more
2 likely that the scheme similarly duped Senator Menendez."
3 (June 14, 2024, letter, at 2.)

4 The Court disagrees.

5 First, Uribe has already testified extensively by the
6 government and then cross-examined by the defendants during the
7 trial of this action on June 7, 10, 11 and 12 and has left the
8 stand. Uribe testified that he told Lewin that the car
9 payments were loans, and Lewin subsequently proffered that fact
10 to the government in a proffer session. (Transcript of June
11 10, Tr. 3172-73.) Whether Lewin himself believed Uribe's
12 story, which Uribe testified was not true, and was thereafter
13 "duped" by his client, Uribe, is not relevant to the charges in
14 this case. Moreover, there's nothing in the record to suggest
15 that Uribe duped or attempted to dupe Menendez. Indeed, Uribe
16 testified that he did not speak directly to Menendez whatsoever
17 about the car payments, let alone about whether those payments
18 were loans or not. (June 11 transcript, 3176-77.) Even if
19 Menendez were to argue to the jury that he was duped by
20 Nadine -- and he's free to do so if he chooses to assert
21 that -- the fact that Uribe allegedly duped Lewin did not make
22 it any more or less likely that Nadine duped Menendez into
23 believing that Uribe's payments for the car were loans.

24 In addition, the inquiry sought by Menendez into what
25 Lewin's belief was as to the truthfulness of his client is not

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1 an appropriate subject for questioning. A lawyer's belief in
2 his client's statements -- or lack thereof -- is irrelevant to
3 impeaching Uribe or assisting Menendez. It is impermissible
4 opinion testimony. Even if Lewin's beliefs were an appropriate
5 subject of inquiry, which they are not, they would not bear on
6 the question of whether Menendez was duped by Nadine into
7 believing that Uribe's payments to Nadine were merely loans and
8 not payments of bribes.

9 Finally, any possible probative value is substantially
10 outweighed by the danger of confusing the issues, wasting time
11 and misleading the jury, under Rule 403.

12 To support his argument for the requested testimony of
13 Lewin, Menendez relies on the decision from 1984 in which the
14 U.S. Court of Appeals for the Second Circuit determined that a
15 defendant accused of knowingly importing drugs was able to
16 introduce evidence that his coconspirators had previously duped
17 other couriers into unknowingly transporting drugs. See *United*
18 *States v. Aboumoussallem*, 726 F.2d 906 (2d Cir. 1984).

19 According to the Second Circuit, the evidence of the
20 coconspirators' common plan or scheme to dupe couriers was
21 admissible under Federal Rule of Evidence 404(b) because that
22 evidence made it less likely that the defendant himself had
23 knowledge. Put another way, if coconspirators had a common
24 plan to not disclose to couriers that they were transporting
25 drugs, then it was less likely that Aboumoussallem knew he was

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1 transporting drugs. But the same is not true here. Uribe
2 lying to his lawyers about his payments to Nadine does not make
3 it any more or less likely that Menendez knew the payments were
4 bribes. As explained above, Uribe's statements to Lewin and
5 Lewin's beliefs about them are simply not relevant to
6 Menendez's knowledge about whether the payments were bribes or
7 loans. *Aboumoussalem* is inapposite.

8 Menendez also urges, as noted, that Lewin's testimony
9 is admissible to impeach Uribe. Specifically, Uribe testified
10 at trial that he had "no doubt to believe that Mr. Menendez
11 knew he was making payment for the car." But according to the
12 government's notes of Lewin's July 19, 2023, proffer, Uribe
13 "doesn't know if [Menendez] knew about the payments." June 14
14 letter at 3. However, this is not proper impeachment material
15 because Uribe had already testified that the July 19 proffer
16 was not truthful. Even if it were proper impeachment material,
17 Menendez already had ample opportunity to cross-examine Uribe
18 directly. Now that Uribe's testimony is concluded, impeaching
19 him through Lewin would be improper, pursuant to Rule 613,
20 which only permits extrinsic evidence of his prior inconsistent
21 statement if Uribe is given an opportunity to explain or deny
22 the statement.

23 In addition, additional testimony from Lewin
24 essentially repeating Uribe's testimony that the July 19
25 proffer was not truthful is needlessly cumulative under Rule

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1 403. Moreover, the document demand in the subpoena does not
2 comply with Rule 17(c) or *Nixon*, as set forth in this Court's
3 May 31, 2024, order, the Rule 17(c) subpoena may not be a
4 general "fishing expedition." *United States v.*

5 *Mendinueta-Ibarro*, 956 F.Supp.2d 511, 513 (S.D.N.Y. 2013). The
6 party who serves the subpoena "must be able to reasonably
7 specify the information contained in or believed to be
8 contained in the documents sought rather than merely hope that
9 something useful will turn up." *United States v. Louis*, 2005
10 WL 180855, at *5 (S.D.N.Y. Jan. 27, 2005) (quoting *United*
11 *States v. Sawinski*, 2000 WL 1702032, at *2 (S.D.N.Y. Nov. 14,
12 2000)).

13 At the conference on June 13, counsel for Menendez
14 clearly stated he was seeking "documents we don't know about"
15 and he was "entitled to explore" Uribe's documents. (June 13
16 Tr. 3726, 3728.) Similarly, when asked for the relevance of
17 the requested documents, counsel replied, "Just hypothetically
18 thinking, what if José Uribe sent to Nick Lewin a document that
19 hasn't been produced by anybody?" (Tr. 3726.) It is manifest
20 that Menendez is engaging in a fishing expedition, making it
21 inappropriate under *Nixon* and Rule 17(c). The document demand
22 itself also suggests that Menendez is engaged in a fishing
23 expedition calling for "any and all handwriting, notes,
24 documents, memoranda and communications" for the entire
25 duration of Lewin's representation of Uribe and is not limited

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1 to any specific Krieger Lewin attorneys or any particular
2 dates. See *Mendinueta-Ibarro*, 956 F.Supp.2d 513.

3 Accordingly, the Court hereby quashes the Rule 17(c)
4 subpoena and excludes the related testimony of Nick Lewin. It
5 denies Menendez's June 13 letter, filed under seal, seeking the
6 order requiring Krieger Lewin to produce documents.

7 That's the order of the Court.

8 What I propose is that this be filed publicly with the
9 exception of two very brief references to -- I guess it would
10 have to be redacted here -- xxxxxxxxxxxxxxxxxxxxxxxx. I mentioned
11 xxxxxxxxxxxxxxxxxxxxxxxx twice. I propose that this be filed now
12 with the three references to that redacted, but otherwise
13 filed.

14 Any objection?

15 MR. LUSTBERG: No objection.

16 MR. FEE: None, your Honor.

17 MR. MARK: No objection.

18 All right. We've got some outstanding evidentiary
19 matters. Let's take them up outside.

20 (Continued on next page)

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1 (In open court)

2 THE COURT: The redacted version of that transcript
3 will be filed tonight.

4 Let's see where we stand on outstanding matters.

5 I have the Daibes gift-giving issue, which has been
6 briefed, and you'll get a decision on that within the next day,
7 if not tomorrow, then -- well, by the end of the day tomorrow
8 or Saturday. I'll try to do it by tomorrow.

9 We have the sealed Gibbons letter, and I'm going to
10 get a government response tonight? Tomorrow?

11 MR. RICHENTHAL: Mr. Lustberg, with our consent, has
12 now filed the letter in only modestly redacted form. I believe
13 he did that an hour or two ago. It's now been docketed. I
14 think your Honor's referring to the June 22 letter motion,
15 regarding --

16 THE COURT: Let me just see. Regarding 613(b)?

17 MR. RICHENTHAL: Yes, your Honor.

18 THE COURT: Yes.

19 When am I going to get a response? Your choices are
20 tonight or tomorrow.

21 MR. RICHENTHAL: I would choose option C, but I don't
22 think I've been given an option C.

23 THE COURT: All right.

24 MR. RICHENTHAL: So if we could choose tomorrow, we'll
25 take it.

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1 THE COURT: Yes. Please.

2 I have document 485, which is objection to Hana's
3 evidence, and Mr. Lustberg has already said I'll have that
4 response tomorrow.

5 MR. LUSTBERG: Yes, your Honor.

6 THE COURT: All right.

7 MR. RICHENTHAL: For clarity, your Honor, the letter
8 that Mr. Lustberg docketed, which is the letter to which I
9 think the Court's referring, has now been docketed at 486.

10 THE COURT: No. The one I'm referring to is docketed
11 at 485, the June 26 letter.

12 MR. MARK: And that's the government's letter.

13 THE COURT: Oh, I am sorry. Thank you. In other
14 words, Mr. Lustberg has already responded, you're telling me.

15 MR. RICHENTHAL: The June 22 letter motion regarding
16 Federal Rule of Evidence 613(b) --

17 THE COURT: Yes.

18 MR. RICHENTHAL: That was originally submitted under
19 seal.

20 THE COURT: Yes.

21 MR. RICHENTHAL: The parties conferred regarding
22 limited redactions.

23 THE COURT: The limited redaction document is 486.

24 MR. RICHENTHAL: Correct. Publicly docketed. That's
25 been done. I just wanted to advise the Court, and that's the

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1 letter to which we'll be responding tomorrow.

2 THE COURT: Now I understand. OK.

3 MR. RICHENTHAL: Yes.

4 Then there's the separate letter that I think Mr. Mark
5 was just talking about, which is our motion to which Mr. Hana
6 is going to respond. I don't recall the date. I'm sorry.

7 MR. LUSTBERG: That's tomorrow.

8 THE COURT: Tomorrow. He's going to respond to 485.

9 MR. RICHENTHAL: Yes.

10 THE COURT: I think we understand each other, perhaps.
11 We'll see.

12 It's hard for me to know what the remaining objections
13 are. There either are objections to the letter having to do
14 with a former congressman and FARA or there aren't. There
15 either are or there are not objections to Mr. Menendez's
16 summary chart. I guess let me ask. What are the outstanding
17 evidentiary issues? Tee them up and I'll decide them now.

18 MR. MONTELEONI: The ones that are ripe for the Court
19 involve Mr. Menendez's FARA letters and Associated Press
20 releases. They are five particular government exhibits, which
21 we're having our paralegal come up to show them on the screen.
22 But the paralegal's underway. I could pass up my iPad if you
23 wanted to look through them, or we could take something else
24 first. But that one is a definite, concrete pending issue.

25 The Menendez summary chart is a looming future issue.

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1 THE COURT: Sir, let's take it one by one. OK?

2 MR. MONTELEONI: Yes.

3 THE COURT: What's the first issue you want to bring
4 to me?

5 MR. MONTELEONI: The first issue -- there are five
6 exhibits that I'll identify for you right now. There's 10C-2
7 and then 10D-1 through 10D-4. Those are two letters by
8 Menendez publicly posted on the Senate Foreign Relations
9 Committee website and with accompanying press releases from the
10 Senate Foreign Relations Committee website. Form of those
11 letters also went out as a press release on Menendez's personal
12 office website. That's the fifth one. But basically they are
13 two calls for the Justice Department -- first the FARA unit,
14 then a call to the Attorney General -- to investigate.

15 THE COURT: When you say calls, you mean requests.

16 MR. MONTELEONI: Yes, yes. Calls to investigate, just
17 requests for investigation.

18 THE COURT: When you say call, I think of a call.

19 MR. MONTELEONI: I'm sorry. We've been putting in so
20 much evidence about calls. It's very ambiguous. I apologize.

21 THE COURT: Two requests.

22 MR. MONTELEONI: Two requests that the Justice
23 Department investigate former Congressman David Rivera.

24 THE COURT: I've seen this already.

25 MR. MONTELEONI: Right. So, we intend to offer them.

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1 These are the last outstanding exhibits apart from those that
2 we'll go in with our last summary witness, and we understand
3 that the defense has essentially 403-based objections. We that
4 think they're highly probative. They, in fact, are quoted in
5 the indictment. The relevance of them was quite apparent in
6 the indictment, and it's quite apparent here.

7 Knowledge of Menendez about the Foreign Agents
8 Registration Act is highly at issue because he is prohibited
9 from taking conduct that would be encompassed by those
10 prohibitions, so the fact that he authorized the sending of
11 these letters calling upon the Justice Department to
12 investigate someone for violating those very same prohibitions
13 is highly relevant. And we also have some evidence that's
14 already in the record that, in fact, he was aware of public
15 statements that were going out under his authority -- that's
16 Government Exhibit 8F-23 -- where a different press release,
17 not regarding this, but a different press release was run by
18 him, by the press director.

19 And we also have defense counsel very much putting at
20 issue Menendez's knowledge of a number of things that we think
21 are highly apparent to him, like he claimed today that he
22 didn't know -- he claimed through cross-examination that he
23 didn't know factors about the financial disclosure form regime.

24 THE COURT: What does that have to do with FARA?

25 MR. MONTELEONI: Well, he's claiming that he's not

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1 aware of the various provisions that are governing his conduct.
2 This brings us now to the Senate ethics manual. The Senate
3 ethics manual actually has a reference saying that he's
4 prohibited from acting in a way that would require him to
5 register under FARA. So by disputing his awareness of this
6 document, it's entirely fair for us to respond that he was
7 aware of these particular prohibitions.

8 THE COURT: I see.

9 MR. MONTELEONI: And one of the letters refers to the
10 public FARA database, so the fact that he's aware of his
11 ability to look things up in the public --

12 THE COURT: This is a letter from him?

13 MR. MONTELEONI: Yes. I mean, look, I'm sure that his
14 staff was involved, but it's going out under his authority,
15 under his signature.

16 THE COURT: Thank you.

17 Let me hear from whoever wants to speak.

18 MR. WEITZMAN: Yes, your Honor.

19 THE COURT: It's a 403 issue, sir?

20 MR. WEITZMAN: It's 403. It's multiple issues.

21 First, the senator is not charged with failing to
22 register as an agent.

23 THE COURT: Right.

24 MR. WEITZMAN: That's a different statute. He's
25 charged under 18 U.S.C. Section 219. A senator cannot register

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1 as an agent of a foreign government, so they are not analogous
2 allegations. That's the first one.

3 The second thing is when you look at the letter, the
4 reason Senator Menendez raised this is because of specific
5 allegations in a lawsuit filed against a former congressman.

6 THE COURT: Someone should put it up.

7 MR. WEITZMAN: Yes. It's 10D-1. And if you look at
8 the second paragraph on the first page --

9 I'll let you read that before I continue.

10 THE COURT: Yes, sir.

11 MR. WEITZMAN: -- this has nothing to do --

12 THE COURT: Who is Rivera here?

13 MR. WEITZMAN: He's a former congressman. At the time
14 of the complaint or the allegations in this letter, he's no
15 longer a congressman. The allegations in this letter do not
16 concern his conduct when he was a congressman, so they don't go
17 to the senator's knowledge or scienter or understanding of what
18 is permitted or not permitted for a congressman or a senator to
19 do. They go to whether someone should register as a lobbyist.

20 Now, there's no allegation, there's no charge here
21 that Mr. Hana needed to register or not register; we've already
22 dealt with that. So the fact that Senator Menendez can read a
23 lawsuit and then say, hey, shouldn't DOJ investigate whether
24 this person should have registered as a FARA agent doesn't go
25 to the Section 219 charge.

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1 The third thing is there's language throughout this
2 letter that would be deeply prejudicial and improper to
3 include, and that's the legal instructions, for example, about
4 what FARA is. That's not for the senator to tell the jury.
5 The senator could have gotten it wrong. It's for your Honor to
6 tell the jury. If you look at, for example, 10D-1, page 2,
7 there's all these statements in the first two full paragraphs
8 about what FARA is.

9 THE COURT: Is that what's up now?

10 MR. WEITZMAN: Yes.

11 THE COURT: All right.

12 Go ahead.

13 MR. WEITZMAN: The same is true, your Honor, of the
14 next letter, which is 10D-3. In the second paragraph there is
15 legal discussion about what the law is, what its purpose is.
16 Obviously it would be not only unfairly prejudicial, but it
17 invades the role of the Court. And then again, in the next
18 letter, there is --

19 THE COURT: The first one was what, 10D-2?

20 MR. WEITZMAN: 10D-1 was the first one.

21 The second one is 10D-3. In the next paragraph from
22 10D-3, he's quoting from a lawsuit. Now, your Honor, I'm not
23 going to say what Senator Menendez's motivations are. I'm sure
24 they are in good faith in doing this. I will point out you're
25 talking about the Medura regime. You're talking about a highly

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1 contentious dispute between Republicans and Democrats about
2 Venezuela, and you're talking about former Republican
3 congressman that Senator Menendez is now complaining about.
4 There's an element of politics in this letter, not an admission
5 by senator as to a recognition of what he can or cannot do as a
6 senator, and therefore, I don't think it's probative of
7 anything.

8 The next two exhibits are just press releases about
9 these letters. I think the letters should come out and I think
10 the press releases should as well. They have their stipulation
11 on FARA. They have the instruction from your Honor on FARA.
12 They have the manual on FARA. We've never said one word to
13 this jury about whether the senator knows what FARA is or
14 doesn't know what FARA is, and we don't intend to argue that if
15 he violated FARA it's because he didn't know what it was.
16 We're not arguing footfall. We're arguing he did not violate
17 FARA, period.

18 THE COURT: I thought you don't need to argue that.
19 It's a 219.

20 MR. WEITZMAN: Yes. 219 statute, correct.

21 THE COURT: But you see, the fact that you merged 219
22 and FARA -- I know you didn't mean to do it, but that may be
23 all the more reason to give it to the jury.

24 MR. WEITZMAN: Your Honor. The reason I merged the
25 two is because 219 does reference FARA.

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1 THE COURT: Yes.

2 MR. WEITZMAN: It says that Menendez, as an agent of a
3 foreign principal required to register under FARA, it's that
4 the Egyptian officials -- well, I'm sorry. Menendez acts as an
5 agent of a foreign principal requires.

6 THE COURT: Can you interpret 219 without interpreting
7 FARA? No, but your argument is I'm going to tell them about
8 FARA. Isn't that what your argument is?

9 MR. WEITZMAN: Yes, your Honor. Yes, your Honor.
10 Exactly. You will give them the instruction, and whether the
11 senator or not accused someone else of needing to register
12 under FARA, which is not what 219 requires him to do, he cannot
13 register under FARA.

14 THE COURT: Yes. He's a public official.

15 MR. WEITZMAN: Correct. And so whether he's accusing
16 a former official -- again, ignore that the person's a
17 congressman, because it's not relevant to the accusation --
18 whether he's accusing a private person of violating FARA
19 doesn't make it more likely or not that he himself is aware or
20 willfully violated FARA.

21 THE COURT: OK. Let me hear from the government. I
22 think everything goes together, I think.

23 MR. WEITZMAN: Right.

24 THE COURT: I don't know about 10C-2, but 10D-1
25 through 4 are the two letters and the two press releases, is

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1 that right?

2 MR. MONTELEONI: We think that they raise similar
3 issues, your Honor, and it makes sense to consider them
4 similarly. But the first thing we would say is if there's
5 something particular about the factual context in which this
6 arises, the fact of this lawsuit or anything like that, that
7 the defense thinks is prejudicial, we have no problem redacting
8 that. That's not the point.

9 The point is his statement of his awareness of the law
10 is absolutely relevant, and the idea that it invades the
11 province of the judge to instruct on the law is very creative.
12 That's like saying that someone charged with insider trading
13 who emails people at his firm, hey, important not to trade on
14 any inside information because that's against the law, the idea
15 that we would say oh, no, no, no, you can't get that into
16 evidence because the judge is going to instruct the jury is
17 incredibly novel.

18 THE COURT: Wait. I'm sorry. I was distracted by the
19 text here.

20 (Continued on next page)

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1 THE COURT: Go ahead, sir.

2 MR. MONTELEONI: So, when someone, like Menendez,
3 whose state of mind with respect to the legality of their
4 conduct is at issue -- and here it is definitely at issue --
5 they have not stipulated to Menendez' knowledge, they have
6 disputed his awareness of evidence that we offered of Menendez'
7 knowledge of precisely these requirements, the Senate Ethics
8 manual, when someone like that makes a statement about their
9 belief of what the law is, we don't exclude that as invading
10 the province of the jury, we admit that as highly probative
11 evidence of exactly what the jury has to consider, which is
12 what they believed were the rules governing their conduct. And
13 here, because Section 219 expressly references, incorporates,
14 who is a foreign agent required to register under FARA, that is
15 what he is prohibited from doing, is being that person. Right?
16 Because of that, his statements and his awareness of what makes
17 someone such a person is incredibly relevant. So his
18 statement, his awareness that FARA requires the registration of
19 agents of foreign principals like that is exactly like the
20 analogy I suggested of someone at a trading firm who e-mails to
21 a fellow trader something that shows that they're aware of the
22 insider trading laws.

23 THE COURT: I understand.

24 Mr. Weitzman?

25 MR. WEITZMAN: Your Honor, so the fact is Mr. Rivera,

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1 in part as a result presumably of these letters, was charged by
2 the Department of Justice with being an agent of a foreign
3 principal. I think we are injecting an issue here that is
4 going to cause jurors to do research and we don't know how --

5 THE COURT: No, they've been instructed not to do
6 research.

7 MR. WEITZMAN: I know, your Honor.

8 THE COURT: This would be too boring for them to
9 research.

10 MR. WEITZMAN: But the fact of the matter is that
11 whether the senator, based on allegations, that there was a
12 contract between -- natural written contract between Mr. Rivera
13 and a foreign entity, foreign government, essentially,
14 therefore it knows that he is also acting as an agent of a
15 foreign principal taking direction because he is ghost writing
16 a letter or doing anything of the sort as the government has
17 alleged, are apples and oranges, they're not the same thing.
18 And the fact that one can read the FARA statute, with all of
19 its exemptions and everything else, doesn't mean that there is
20 a recognition or an understanding on the senator's part as to
21 whether his actions --

22 THE COURT: No, but the fact that he is saying here
23 FARA requires registration of agents of foreign principals
24 engaged in political activities and so forth, does indicate his
25 knowledge of FARA. And Mr. Monteleoni's point is it is not

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1 Menendez telling the jury what the requirements of FARA are, it
2 is he is setting forth his understanding of FARA.

3 MR. WEITZMAN: Yes, but he is not setting forth the
4 understanding of FARA that is relevant to this case which is in
5 the next -- in that sentence he is talking about acting as a
6 public relations counsel, publicity agent, information service
7 employee or political consultant. Those are not the things
8 that Senator Menendez is accused of doing. He could not
9 register as a foreign agent.

10 THE COURT: We have established that, right?

11 MR. WEITZMAN: So the fact that he knows that others
12 might be able or maybe should register as a foreign agent
13 doesn't indicate whether he knows his prohibition and that he
14 is acting against it or lawfully.

15 MR. MONTELEONI: Your Honor, one factual point and
16 then a legal point.

17 The factual point is that he absolutely is charged
18 with violating Section 219 --

19 THE COURT: Yes, 219.

20 MR. MONTELEONI: -- because, while being a public
21 official, he acted as a political consultant for or in the
22 interests of the foreign principal of Egypt because that is
23 defined as someone who informs the foreign principal of policy
24 matters, such as the piece of information he provided about the
25 U.S. embassy, about arms sales, about the human rights

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1 concerns. These are actions that fall within the political
2 consultant language, which language is incorporated to him by
3 Section 219. And, he also describes in this letter political
4 activities more broadly. That covers additional conduct that
5 is beyond the political consultant language so this is very
6 squarely -- this is a statement that is very squarely on point
7 to what he did. But, the broader legal point is that it
8 doesn't have to be. You don't usually get a defendant who
9 actually says that he is aware of the precise subsection that
10 he is then later alleged to have violated. These things are
11 evidence not for the weight of the admissibility. Mr. Weitzman
12 gave what sounds like a summation. These are things he can
13 argue, counsel can argue in summation. That doesn't mean that
14 it should come in.

15 And in paragraph 112 of the indictment, the to wit
16 clause absolutely charges him with political activities and
17 acting as a political consultant. The fact that he says it
18 here is really on the nose but it is much more on the nose than
19 it even needs to be.

20 MR. WEITZMAN: Your Honor?

21 THE COURT: Last word.

22 MR. WEITZMAN: I apologize.

23 THE COURT: Last word I said.

24 MR. WEITZMAN: I think, at a minimum, I think this is
25 a 403 problem. I think they have lots in the record that they

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1 can use to argue the senator's knowledge.

2 THE COURT: I really don't see there is a 403 problem
3 but you are about to, perhaps, suggest some limited redactions
4 that will make it clear. I don't see it as 403, I do see it as
5 relevant to an argument that can be made that he understands
6 what FARA requires and it is not me instructing them. But, go
7 ahead maybe we can redact some things.

8 MR. WEITZMAN: I think the instructions on the law.
9 So, for example, in 10D-1, FARA was enacted to ensure that
10 American citizens have the necessary information, on page 2, to
11 make judgments about political activities of foreign
12 governments and so on. I just think that that is, to the
13 extent it is appropriate for the jury to even hear -- and I'm
14 not sure it is -- it should be from your Honor, not from the
15 senator. And I think same is probably true of the paragraph
16 above that, although I understand your Honor's point about the
17 definition of FARA. I just think that the instructions and the
18 law should come in the jury instructions, not in the letter
19 from the senator. They get everything they want just by
20 getting the referral under FARA.

21 THE COURT: Let me hear from the government.

22 Do you see what is highlighted on the screen.

23 MR. MONTELEONI: Your Honor, that is his
24 understanding. His awareness and belief --

25 THE COURT: I think that's right. I'm going to allow

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1 them in.

2 What else do we have?

3 MR. RICHENTHAL: One thing for the record, and Live
4 Note, I know is not --

5 THE COURT: I don't think it is a 403 issue, or rather
6 under 403 I'm finding that the probative value is not
7 substantially outweighed by the dangers set forth here.

8 Go ahead.

9 MR. RICHENTHAL: I know Live Note is not always
10 perfect. I believe Mr. Monteleoni referred to paragraph 112 of
11 the indictment, I notice on Live Note it said 212.

12 THE COURT: Live Note is always cleaned up by the
13 reporters, that's all right. It concerns me sometimes when I
14 see it.

15 What is the next issue, evidentiary issue?

16 MR. MARK: I'm not sure --

17 THE COURT: Any issue? It is open house time.

18 MR. MARK: I'm not sure this is open house, given the
19 Court's prior comment, but obviously we have the letter that
20 was initially filed at docket 486 that was taken down and we
21 submitted to chambers on the first two witnesses of Menendez'
22 case.

23 THE COURT: Yes. Well, I have been on trial with you
24 guys, I haven't looked at it. Yes? Go ahead.

25 MR. MARK: I am just noting that that will probably

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1 arise tomorrow when the defense case begins. We can obviously
2 address that before court tomorrow.

3 THE COURT: The issue raised there? Or whether it
4 should be taken down or not?

5 MR. MARK: No.

6 THE COURT: The issue that is raised there, whatever
7 that may be, needs to be addressed by me before the defense
8 case?

9 MR. MARK: Yes.

10 THE COURT: OK.

11 MR. MARK: The substance goes to the testimony of the
12 first two witnesses, as well as the few exhibits that we
13 understand that one of those witnesses will offer.

14 So, in particular, I think the exhibits focus on the
15 last issue in the letter that deal with Nadine Menendez and her
16 relationship with her ex-boyfriend.

17 THE COURT: What is the relevance of Nadine Menendez'
18 relationship with her ex-boyfriend? I know the name has come
19 up, that is Anton, and he is following her, he is not, but what
20 is the relevance here?

21 MR. MARK: I think that's exactly our concern, that
22 there is minimal relevance, if at all. And that what they
23 intend to offer here and intend to elicit through this witness
24 is highly inflammatory allegations relating to their
25 relationship, relating to details about their relationship

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1 including potential alleged abuse, physical and mental,
2 stalking issues and the like will definitely inflame the jury
3 here, and also the particular evidence they're trying to elicit
4 is inadmissible hearsay. These are the statements of Nadine
5 Menendez to another person for their truth, either oral
6 statements or in text messages. So, even apart from the
7 significant 403 issues, there are real 802 issues here.

8 MR. FEE: Your Honor, those are not offered for the
9 truth but let me explain to you the super duper relevance of
10 the ex-boyfriend's abuse of Nadine, and it is all necessary due
11 to the government's proof and it goes to the heart of the
12 inferences they're asking the jury to draw.

13 First --

14 THE COURT: Well, I must say that is news to me,
15 because each time the abuse came in I was wondering, why in the
16 world is this -- or it was raised and nobody ever got into it
17 to any depth. I couldn't figure out why there were references
18 to it.

19 MR. FEE: I am glad we are having this discussion
20 before the defense case begins because it is important,
21 genuinely.

22 The government is alleging a conspiracy involving
23 Senator Menendez, Nadine, José Uribe, and the other defendants
24 here. In the heart of that conspiracy, Nadine Menendez, then
25 Nadine Arslanian, is still involved with her ex-boyfriend and

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1 he is abusing her. This is in the fall of 2018. What happens,
2 because she is still involved in that very horrible
3 relationship, is the senator sends a series of messages to her
4 saying we are breaking up. I want my stuff back.

5 THE COURT: "We" meaning the senator and Nadine?

6 MR. FEE: The senator and Nadine, in the heart of the
7 conspiracy alleged.

8 THE COURT: I don't think I have seen that. Have I
9 seen that?

10 MR. FEE: We have attempted to put it in. We will
11 have it in the defense case which is, again, why this is
12 important. It is in the heart of the conspiracy. It's within
13 the first --

14 THE COURT: Well, not so far, but let me hear you. Go
15 ahead.

16 MR. FEE: It is in the heart of the alleged conspiracy
17 that Senator Menendez --

18 THE COURT: You mean temporally.

19 MR. FEE: Temporally. Sorry, sorry.

20 THE COURT: OK.

21 MR. FEE: At the time they are supposed to be acting
22 together to get the senator to betray his country, he is
23 breaking up with her. One-on-one texts, not for show, not for
24 a cover story, he is telling Nadine I'm going to cancel the
25 trip we had planned, I want the jewelry I gave you back, it is

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1 over until you can divorce yourself -- not divorce -- but
2 remove yourself from the relationship with that ex-boyfriend he
3 referenced by name. We are done. That happens in the fall of
4 2018, again in the heart, temporally -- thank you, your
5 Honor -- of the conspiracy.

6 The next thing that happens is that Nadine reaches out
7 to one of our first two witnesses and says, witness, I need you
8 to reach out to the senator to get him back. This witness had
9 never met the senator, had never communicated with him, but
10 sends a message to the senator explaining this saying she's
11 leaving that guy, she really wants you back, please take her
12 back. Again, this is exactly when all -- these two people and
13 others, meaning Senator Menendez and Nadine, are supposed to be
14 working together in all of the schemes alleged. They're
15 shaking their heads at the front table but it is true. These
16 are when all of these communications happen. The next thing
17 that happens is they get back together in January 2019 and
18 that's when the relationship picks up, and then going forward
19 from there you have heard it, your Honor.

20 So that's point one, point two -- I'm not done -- your
21 Honor has heard at great length in the summary charts presented
22 by the government, if you recall, evidence about the Find My
23 iPhone feature going back and forth between senator and Nadine.
24 You have also heard at great length in those charts the
25 government's focusing on messages where Nadine is telling the

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1 senator, *Here is where I am going, Here is where I am, Don't*
2 *worry.* And the purpose they want to offer is that, of course,
3 Senator Menendez knew about the things Nadine was doing, knew
4 about the money or the gold or whatever she was doing because
5 look how closely he watches her. The reason -- and we have
6 proof that we will offer -- is he was watching her and tracking
7 her is because of this abusive relationship.

8 She is talking about, there is about a five-month
9 period where you see these texts between them about Find My
10 iPhone and all of that. The reason that she starts to do that
11 is because after she leaves the ex-boyfriend and starts dating
12 the senator, or tells the ex-boyfriend she is dating the
13 senator, the ex-boyfriend gets worse. He follows her -- this
14 is Nadine reporting it to our first witness and others -- he is
15 hanging outside my house, he got into my Apple iPhone -- I'm
16 going to talk about that as my third and final point -- she
17 thought he cut the internet to her house and there is a series
18 of messages about that. We are not saying any of this is true,
19 we are saying this explains the conduct of the two people. He
20 was desperately worried. And if you recall, your Honor, the
21 last point on this --

22 THE COURT: Wait. Explains the conduct as to why the
23 senator was tracking her?

24 MR. FEE: I wouldn't say he was tracking her, why they
25 often -- I actually don't think it was all that often, the

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1 government has made it seem often, why they are often saying,
2 *Here is where I am, here is my Find My iPhone feature, Nadine.*
3 *It is not working, how does it work.* This is exactly the
4 period where she has finally extricated herself from that
5 former boyfriend who, by the way --

6 THE COURT: I will hear the rest of what you want to
7 say to me but let me ask the government, what use are you -- I
8 do remember the Find My iPhone feature. It seems to me if you
9 are going to argue that the Find My iPhone and where are you,
10 and so forth, is proof that they were closely together, it
11 seems to me it is appropriate for me to let the jury hear that
12 that really wasn't the reason, the reason was she was under
13 some physical threat. By the same token, introducing the
14 abusive ex-boyfriend here is highly prejudicial, it seems to
15 me.

16 So, what use are you going to make of the texts back
17 and forth about Find My iPhone?

18 MR. MARK: I think, your Honor, I think we will make
19 some use of it but minimal use of it.

20 THE COURT: Go ahead. What is the use you are going
21 to make of it? You can talk to each other.

22 MR. MARK: Let me let, since Mr. Monteleoni is going
23 to be most particularly discussing the use that we are going to
24 use it, I will let him respond to that and then I will respond
25 further to your Honor's point.

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1 THE COURT: I really don't like being two-teamed. Do
2 Go ahead Mr. Monteleoni.

3 MR. MONTELEONI: I apologize, your Honor. This is
4 very closely tied to the charts that are, as you know, very
5 near and dear to my heart.

6 The point is not to say that because he was closely
7 supervising her that has something to do with his motivations
8 as to why, that he was close with her, that he was not close
9 with her, whether he had a good reason for watching her closely
10 and it was closely, or whether he had a bad reason for watching
11 her closely. He still was watching her so closely that she
12 didn't have the opportunity to deceive him in the way the
13 defense is attempting to indicate. She didn't have the
14 opportunity to live the type of separate life that defense
15 counsel has opened on.

16 THE COURT: I know they opened on it but you have got
17 so much there. You know, *mon amour de la vie*. They're talking
18 to each other about nail salons and toe salons. They're as
19 close as can be, it seems to me or at least the argument can be
20 made so I don't think you have to worry too much about the
21 separate lives. Yes, they had separate cellphones. Yes, he
22 was in Washington, she was in New Jersey. That's -- you have
23 to deal with that but you have so much about this back and
24 forth.

25 MR. MONTELEONI: But they are saying that he was not

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1 aware of her actions. And the type of monitoring that he was
2 doing, even if it was for the best reasons in the world or for
3 bad reasons, it does not matter. She didn't have the
4 opportunity to deceive him in the ways that they are claiming
5 that she did. He was aware of, whether for good reasons or bad
6 reasons, her movements. He was aware of, whether for good
7 reasons or bad reasons, her activities. This is a crucial part
8 about it. We are not going to be arguing anything about the
9 motivation for it. I think that we are liking to be saying to
10 the jury if he had a good reason or bad reason for monitoring
11 her, that doesn't matter, he was still monitoring her. She
12 didn't have the opportunity to deceive him. That's one thing.

13 Another thing is this part of the scheme --

14 THE COURT: Shouldn't the jury know if you are
15 agreeing he is in fact monitoring her, shouldn't the jury know
16 why he is monitoring her?

17 MR. MONTELEONI: I think that we have discussed the
18 possibility and I think that our letter suggests that the idea
19 that there is some level of fearfulness of an ex-boyfriend in
20 an abstract form that doesn't have a lot of these graphical
21 details, we are OK with. I think that that is really -- we are
22 not sure that we would need to but we are willing to do that
23 but that is more than enough for them to make whatever
24 contextual arguments but we are not going to be saying that
25 anything about this, whether you call it monitoring, whether

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1 you call it checking in on, whether you call it letting her
2 know when the location monitoring doesn't alert to her changes
3 in location -- whatever you call it -- the fact that that was
4 in place during the time period when they have to be claiming,
5 when they are claiming that she was deceiving him, is highly
6 important and highly probative. And going beyond just the fact
7 that there is an ex-boyfriend, she was fearful to a degree but
8 not going into all of these details, the rest of it isn't
9 necessary, especially because -- this is critical -- during the
10 time period when they were breaking up from late October of
11 2018 to late December of 2018, where a fuller record of
12 messages that we don't think that the jury needs to see, makes
13 it very clear that that is when the breakup happens and that is
14 when the reconciliation happens, we are not alleging any acts
15 by Menendez in furtherance of the scheme. He was aware of the
16 car crash during that time period and I think that his
17 understandable, sympathetic reaction to her car crash, you
18 know, happened during the time period when they were
19 reconciling but all of the time that we are alleging that he
20 engaged in communications about this, that he engaged in
21 meetings about this or that he reached out to the attorney
22 general about this or that he did anything that suggests his
23 participation in this happened either before or after this
24 breakup period. So, it does happen between some things but it
25 doesn't, in any way, conflict with anything that we have put in

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1 our chart.

2 So ultimately, we think that what we are proposing in
3 the letter gives them what they need but keeps out this, as
4 your Honor understands, a really highly prejudicial classic 403
5 side show.

6 THE COURT: Let me read the letter that was filed
7 during the course of the trial today. I have been with you
8 fine ladies and gentlemen and since then. Let me read it.

9 Mr. Fee, you can have a seat. I am going to read the
10 letter.

11 MR. FEE: Can I do the third issue before you read,
12 your Honor?

13 THE COURT: Of course.

14 MR. FEE: The other way they have opened the door and
15 walked all the way into this is you have heard testimony --

16 THE COURT: Wait. The second issue was the Find My
17 iPhone. What was the first issue?

18 MR. FEE: The first issue was just the fact that
19 they're alleging the conspiracy amongst two people who stopped
20 talking to each other for months. If they want to stipulate
21 that there was no conspiracy during that period, OK.

22 THE COURT: No, Mr. Fee, let's deal with --

23 MR. FEE: I'm serious, your Honor.

24 They're suggesting a continuing and ongoing
25 conspiracy. We are obliged to meet that theory with evidence

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1 that these people wanted nothing to do with each other for a
2 significant portion of the beginning of that time frame. These
3 are the facts that we are obliged to bring out and they're
4 supported by evidence.

5 The second issue is the Find My iPhone, your Honor. I
6 would note in response to Mr. Monteleoni, the argument that she
7 was not able to have conversations outside the purview of
8 Senator Menendez because he had the Find My iPhone feature on,
9 number one, is highly prejudicial on its own to anyone on that
10 jury especially, I would imagine, a woman; two, it doesn't make
11 sense. Just because you have Find My iPhone on doesn't mean
12 you are monitoring --

13 THE COURT: Wait just a moment.

14 Is the government going to argue she wasn't able to
15 have conversations without his knowing about it?

16 MR. MONTELEONI: Not conversations but meetings. He
17 was very aware of her movements and he was aware of some of the
18 meetings with José Uribe and others that are relevant to the
19 scheme.

20 THE COURT: Well, he is aware simply because you think
21 the Find My iPhone was on?

22 MR. MONTELEONI: There is several different ways of
23 proving husband awareness, some of those involve more direct
24 messages specifically about that but --

25 THE COURT: Well, the direct messages are fine. I

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1 have no problem with those.

2 MR. MONTELEONI: But if you look at the way that the
3 Find My iPhone correspondence works, it is whenever he loses
4 the ability to see her location in real-time he lets her know.
5 He reaches out to say, *This has stopped working.* Right? That
6 indicates continuous awareness of her physical location. Now,
7 obviously can someone have a phone call without you knowing
8 what is going on and Find My iPhone? Yes.

9 THE COURT: If you are going to make that argument
10 they're entitled to say why he is looking at her so closely,
11 that it is to protect her.

12 MR. MONTELEONI: And I think that what we put in our
13 letter allows them to do that but there is a tremendous amount
14 of detail that I think you can perhaps imagine that is
15 incredibly prejudicial, graphic, really charged --

16 THE COURT: I understand that. I have some sense of
17 it from reading the material. I think there was physical
18 activity between the two of them, he was beating her up perhaps
19 or something.

20 MR. FEE: He put her in the hospital, your Honor. We
21 are not putting in any of that. We actually have limited
22 ourselves. We are not seeking to put in hospital records --

23 THE COURT: I'm going to read the letter.

24 MR. FEE: Your Honor, the third point just to get it
25 out, they put in evidence of this 007 phone. They brought it

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1 out I think through Agent Graves.

2 THE COURT: Yes, they did.

3 MR. FEE: They even put out the person who registered
4 that phone had a last name, which I won't repeat here, but it
5 is in the record. That person is an 80ish-year-old woman who
6 is a family friend of Nadine and it's right around the time
7 that Nadine is assaulted and extricates herself from this
8 ex-boyfriend. They give her that phone and this --

9 THE COURT: They give who the phone?

10 MR. FEE: They give Nadine this phone that the
11 government is referring to as a 007 phone, and this elderly
12 couple calls it the 007 phone says, Nadine, you are worried
13 about -- this is in text message -- you are worried that your
14 abusive ex-boyfriend is hacking into your phone -- she calls it
15 spoofing. What she means is he has her Apple ID and this
16 ex-boyfriend is logging into her iPhone, so they gave her a
17 flip phone and this elderly couple calls it a 007 phone.
18 Obviously the government has put it in to suggest there is
19 something secret or covert or if 007 is a spy, she is an
20 Egyptian spy. Whatever the inference is.

21 Again, we want to meet them with the truth which is
22 that it is a direct result of this very problematic
23 relationship that she was extricating herself from during this
24 time period.

25 MR. MONTELEONI: I put on Rachel Graves' testimony

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1 about this and we took pains to actually have pulled, a few
2 days testimony, something that didn't make it into the chart
3 but I made sure to bring it in on direct a message on direct
4 where she says, right before getting the phone, I need to get a
5 new phones because I am getting all of these fake texts from
6 spoofing.

7 THE COURT: I thought that is in.

8 MR. MONTELEONI: Exactly. That is in. We brought it
9 out. They then referred to it in cross as if we hadn't brought
10 it out. But, the jury knows about it. And adding in the
11 additional evidence that there was some ex-boyfriend, there was
12 some fear from that that we are OK with, that's all that they
13 need. We did not -- the fact that the call went to this
14 special sort of special flip phone, the call that set up the
15 attorney general call, is relevant to Menendez' state of mind
16 because he doesn't usually talk to her on it because the phone
17 is sort of a relic of a bygone age in terms of technology.
18 That is relevant. The fact that it was gotten because of a
19 fear of spoofing, that's in.

20 THE COURT: Yes, but Mr. Fee is right. The suggestion
21 in the jury's mind, the inference is it is like a James Bond
22 phone, not meaning high-tech or Agent K or Agent X or whoever
23 that guy is, but the technical man.

24 MR. MONTELEONI: Q, your Honor.

25 MR. RICHENTHAL: Q, your Honor.

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1 THE COURT: Q. Thank you. But, rather, Egyptian
2 spies, that kind of thing.

3 MR. MONTELEONI: I don't think that that is what we
4 attempted to adduce. We are certainly not going to argue it.
5 We are going to argue it was a phone for secrecy -- secrecy
6 from Doug Anton -- and the fact that that is the phone that he
7 directed his call to when he decided to reach out and call the
8 attorney general says a lot about his state of mind when he was
9 setting up the call with the attorney general. But why they
10 got the phone we have put in evidence affirmatively that, and
11 we are not going to argue anything contrary to, the idea that
12 she had a totally legitimate reason for getting the phone. It
13 is OK, it is legal for people to get a secrecy phone, but the
14 fact that the call went to --

15 THE COURT: It is not a secrecy phone, it is a phone
16 that they used sparingly.

17 MR. MONTELEONI: Yes, but I think that -- I don't
18 think anyone disputes that the intent was to use it to be
19 secret from the ex-boyfriend, certainly, but then they also,
20 yes, they use it sparingly, and the fact that the call goes to
21 the non-normal phone, it is not registered in her name, that it
22 is to be used sparingly that was originally gotten for the
23 totally good reason of protecting her from a sort of figure
24 from her past is -- that's neither here nor there. But we
25 think that bringing it out to the extent we indicated in the

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1 letter is all that they need to make those arguments but there
2 is a sort of a closet of horrors that this would open up.

3 THE COURT: Let me read this letter.

4 MR. FEE: Your Honor, I think he just conceded that
5 Doug Anton is relevant to the facts of this case.

6 THE COURT: Well, I think the concession is there can
7 be a reference to an ex-boyfriend and the need to extricate
8 herself from the ex-boyfriend without testimony about being put
9 in the hospital and things of that nature.

10 Let me read the letter.

11 MR. FEE: Yes, your Honor.

12 MR. MARK: And, your Honor --

13 THE COURT: And that seems to me correct, but I still
14 want to read the letter.

15 MR. MARK: And your Honor --

16 THE COURT: No. Wait.

17 It seems to me what we can do is lay a foundation
18 where there was an ex-boyfriend, she was concerned about the
19 ex-boyfriend, that was the reason they got this phone. If they
20 have in that it wasn't registered in her name they have it.
21 But I don't want graphic details about assaults and so forth.

22 I'm going read the letter now. Both of you sit down.

23 MR. MARK: Your Honor, I just wanted to try to moot an
24 issue, this is the one coming up. The position you said I
25 think was what we tried to do in our letter, which is trying to

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1 thread that needle, but the summary charts are the next sort of
2 evidentiary issue that is on tap that we are going to meet with
3 defense counsel later on. Why I am noting that is there is
4 significant text messages and communications about the
5 ex-boyfriend in the summary charts about hospitalizations --

6 THE COURT: No, no.

7 MR. MARK: -- and all of the like that go back to
8 2017, even. And part of the reason that we raised this is
9 because it is such a large issue. Obviously we hope, given
10 what defense counsel said here, is that while these were in the
11 summary charts these are sort of off the table now but I think
12 it would be helpful to narrow the issues right now.

13 THE COURT: I'm going to read the letter, 486.

14 MR. RICHENTHAL: Would your Honor like to hear on
15 other issues?

16 THE COURT: No.

17 MR. RICHENTHAL: I mean unrelated to this.

18 (Pause).

19 THE COURT: I have read the rest of the letter.

20 There are references here the government is going to
21 supplement this letter with issues about the summary charts.
22 What is happening tomorrow? We have another government
23 witness; correct?

24 MR. MARK: There is one more government witness.

25 THE COURT: How long is that on direct?

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1 MR. MARK: Approximately and hour and a half.

2 THE COURT: Yes, that is what you said earlier.

3 Do we know how long the cross is going to be?

4 MR. FEE: It is a long summary witness so I would say
5 the equivalent, 90 minutes.

6 THE COURT: So we are talking 9:30, 10:30, 11:30,
7 12:30 -- we are probably talking the morning.

8 MR. FEE: Yes, your Honor.

9 THE COURT: The point here in the two footnotes, or at
10 least twice about the government going to put in a supplement,
11 is that a written supplement or you just want to argue?

12 MR. MARK: No, I think we are going to try to put in a
13 written supplement. Give the number of exhibits in the summary
14 chart we think that it would be helpful for the Court to do
15 that.

16 THE COURT: So we are not going to go to the summary
17 chart tomorrow then.

18 MR. FEE: I understand from defense counsel they don't
19 intend to call the summary chart witness until approximately
20 Monday.

21 THE COURT: It sounds like -- tomorrow is Friday. We
22 are probably not going to. At best, it sounds like the
23 government will rest.

24 MR. MARK: I think we will rest, and that is why we
25 focused on the first two witnesses, because those were the ones

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1 we thought might arise this week.

2 THE COURT: Who are going to witnesses for Senator
3 Menendez?

4 MR. FEE: Your Honor, you mean tomorrow?

5 THE COURT: No. Period.

6 MR. FEE: Period. I think our definite category it is
7 the two people referenced in that letter.

8 THE COURT: Wait. The two people referenced in this
9 letter.

10 MR. FEE: Yes; in the government's letter that you
11 just reviewed.

12 THE COURT: They're not specific but I understand.
13 The family members.

14 MR. FEE: Correct, your Honor.

15 THE COURT: OK. Go ahead.

16 MR. FEE: We intend to put on the expert we had
17 noticed.

18 THE COURT: Is that the Egyptian expert, the
19 historical expert.

20 MR. FEE: That's not ours. We have the forensic
21 accountant who has reviewed financial records relating to
22 Senator Menendez.

23 THE COURT: OK. And there is no dispute about that;
24 is that right?

25 MR. RICHENTHAL: There are two issues about that,

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1 unfortunately.

2 THE COURT: How are those going to be teed up?

3 MR. RICHENTHAL: We can talk about them orally. I
4 don't know that it requires a letter.

5 THE COURT: All right.

6 MR. RICHENTHAL: Although they are partially or
7 entirely really a question of notice and timing, not a question
8 of substance.

9 THE COURT: Let's deal with it tomorrow after the
10 trial day.

11 MR. RICHENTHAL: That's fine.

12 THE COURT: What else do we have?

13 MR. FEE: We have the designations of Michael
14 Critchley's deposition.

15 THE COURT: Hold on. Are those all agreed to?

16 MR. FEE: No. We have provided yesterday our
17 designations to the government and so that's where it stands
18 right now.

19 THE COURT: When is the government going to give back
20 the counter-designations or the objections to the designations?

21 MR. RICHENTHAL: We got them last night. We were in
22 court all day today. We are not sleeping. We are doing the
23 best we can. We are moving as quickly as we can. I don't know
24 we are even in a position to answer the question as to how long
25 it will take to review the defense designations. I can tell

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1 you on quick review there were dozens of objections -- that is
2 not an exaggeration -- dozen of objections, on the record, to
3 the portions they want to put in.

4 THE COURT: We have Critchley's deposition. What else
5 do we have?

6 MR. FEE: Then I think the last definite witness, your
7 Honor, is a summary witness who would put on these charts, and
8 this is a witness that is sort of a collective defense witness
9 in that the charts will be the charts coming from any of the
10 defendants.

11 THE COURT: Is this the same thousand-line chart we
12 have heard about?

13 MR. FEE: That's correct.

14 THE COURT: When I am I going to have those teed up?
15 Or maybe we have decided that? When is the government going to
16 put its submission in? Have you told me that?

17 MR. MARK: We haven't because we obviously want to
18 confer with defense counsel later this evening so we will do
19 that as soon as we can.

20 THE COURT: I hate to do this but I think we will
21 decide, I will decide, with the parties, by 1:30 tomorrow, but
22 I think what we are looking at, gentlemen -- because this is no
23 way to run a railroad -- telling the jury not to come in on
24 Monday and using the weekend and Monday to try to iron these
25 things out.

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1 Now that's not what anybody wants but this is a crazy
2 town here with all of these issues up in the air.

3 (Counsel conferring)

4 THE COURT: Now if that is the only witnesses that we
5 are talking about for Senator Menendez, those can be handled --
6 I don't know about the summary chart witness but how long can
7 those be handled with an equal amount of cross?

8 MR. FEE: So, your Honor, there may be one or two more
9 witnesses. We were waiting for the government to rest because
10 they weren't yet confirmed but they would be very short, the
11 shortest. So I would think our witnesses, putting aside the
12 summary chart.

13 THE COURT: Apart from that, Mrs. Lincoln.

14 MR. FEE: I got it. I would say two days, probably
15 with the summary chart three days.

16 A day for the summary chart guy?

17 MR. LUSTBERG: Your Honor, just to help the court out
18 a little bit on understanding the summary charts, and we have
19 been attempting to consult in particular with Ms. Clark, but
20 with the whole government team with regard to that, there are
21 four summary charts. One of them is solely ours. One of them
22 is not at all ours. So I think it is basically solely Senator
23 Menendez', and two of them, which are Government's
24 Exhibits 1302 and 1303 are our interlineations of those
25 existing charts, I think it is fair to say -- and the

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1 government will correct me if I am wrong -- that we are still
2 talking to them about trying to decide how many of those
3 interlineations are objectionable and how that is all going to
4 work out. My thought on the summary chart, when we have a
5 summary chart witness, I believe that that summary chart
6 witness can be short. At least for me, and I can't speak for
7 Mr. Fee, but we were not intending to go into sort of do the
8 kind of examination that we saw during the government's case of
9 going through the whole chart. We were just going to have the
10 witness testify as to how the chart was put together --

11 THE COURT: No, but the government, I think what the
12 government is telling me is that they want to talk with you
13 about it but as of now they've got scores of objections.

14 MR. LUSTBERG: I believe we have made progress already
15 in terms of those objections and I suspect we will be able to
16 make more. I can't comment on whether we will need Monday or
17 not to finish ironing that out.

18 THE COURT: That is what we are going to do. We are
19 going to end about now. Get whatever sleep you can. The Hana
20 response to 485 is going to come in tomorrow. The government's
21 response to 486 is going to come in tomorrow so you have got
22 plenty to do. You are going to talk to each other to try to
23 narrow down summary chart objections. It sounds like we will
24 get the government to rest tomorrow. It sounds like we are not
25 going to have any time beyond that but who would be the first

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1 witness be for the defense?

2 MR. FEE: It would be one of the family members, your
3 Honor, likely Nadine's family member, but given how short they
4 are, your Honor, and given the pending application, and given
5 that we have to schedule a human being, could we just decide
6 now to put those witnesses on next week?

7 THE COURT: Government? I think realistically -- I
8 assume there are going to be motions at the end of the
9 government case?

10 MR. FEE: That's right, your Honor.

11 THE COURT: Realistically, we are not going to get
12 through.

13 Go ahead.

14 MR. RICHENTHAL: If we can't get there, we can't get
15 there. We would like to keep as much of the trial day as we
16 can tomorrow and as much of the trial day on Monday as we can.
17 That's why we are working so hard. The parties are still
18 talking. We are going to propose to talk more. It may well be
19 because of Rule 29 motions we can't do it tomorrow. We accept
20 that, we know you who the system works, but we ask the Court
21 not to give up Monday just yet.

22 THE COURT: No, no, no. I'm not giving up Monday now,
23 I was thinking of looking at it tomorrow.

24 MR. RICHENTHAL: OK.

25 THE COURT: And to the extent that the parties again

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1 can work this out, great. But I'm not going to be pressured
2 into making decisions without due deliberation. That is just
3 it.

4 MR. RICHENTHAL: Of course.

5 And we are moving from substance to procedure, I just
6 want to flag two things for the completeness of the
7 conversation.

8 THE COURT: Go ahead.

9 MR. RICHENTHAL: So Mr. Fee just represented I think
10 that beyond the witnesses he named or described that there are
11 one or two more. We would like to know who they are. We still
12 have a list of somewhere between 15 and 20 Menendez witnesses
13 alone.

14 THE COURT: OK. They're going to let you know by
15 tomorrow. They're going to let you know by tomorrow.

16 MR. RICHENTHAL: Second, with respect to --

17 THE COURT: They're going to let the Court know as
18 well.

19 MR. FEE: Absolutely, your Honor.

20 THE COURT: But you said that several days ago and I
21 was going to get a letter the proffers and so forth and I never
22 did.

23 MR. FEE: We sent it to them. I'm sorry, your Honor.

24 THE COURT: Tomorrow. Tomorrow you will let them and
25 me know.

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1 Go ahead.

2 MR. RICHENTHAL: This is related.

3 With respect to Mr. Richardson, that is Mr. Menendez'
4 financial expert -- I'm not talking substance your Honor, just
5 talking procedure -- we have been asking for literally days for
6 the summary charts they say he is going to use. We were told
7 two days ago we would get them yesterday. Didn't come. We
8 were told yesterday we would get them today. Didn't come.
9 Tomorrow is Friday, the next business day is Monday. We have
10 no idea the length of the charts, we don't know the nature of
11 the charts --

12 THE COURT: Get them to them tomorrow. What is the
13 problem?

14 MR. FEE: We will give it tonight, your Honor.

15 THE COURT: Give it tonight.

16 Now, if the parties want to work this out amongst
17 themselves, they can -- I will hear more argument if you wish
18 about the family members -- but the general guidelines here are
19 I'm not going to let details about being beaten up or anything
20 like that about Anton, this is not going to be Days of Our
21 Lives or some soap opera. That is really 403, extraneous,
22 prejudicial; hospitalizations, beating up, assaults. None of
23 that. There can be some evidence that there was an issue with
24 a boyfriend concerning physical safety which will take care of
25 the Find My iPhone issue. There can't be hearsay in terms of

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1 the -- I am going back and forth here -- in terms of the family
2 members of, you know, there can be general -- again, what the
3 defense opened on. They fled Cuba. He grew up in a tenement.
4 They lost their life savings. That kind of thing. I think
5 that also it is appropriate that he was an advocate for Latinos
6 but not to link that to specifics like Suarez or anything of
7 that nature. There can't be evidence from the sisters about
8 what she heard from her husband -- sorry -- from -- well,
9 hearsay from what she heard from the brother or the
10 brother-in-law. Those are general guidelines.

11 But, again, this is not going to turn into a soap
12 opera about Doug Anton. To the extent there is an 007 phone,
13 you can put in the explanation for it. I think you already
14 have, in terms of spoofing.

15 Those are general guidelines to the extent the parties
16 can put flesh on it tonight so much the better. I will see
17 everyone at 9:30 tomorrow.

18 I said what I have said throughout this: Talk to each
19 other.

20 (Adjourned to June 28, 2024, at 9:30 a.m.)

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12 GOVERNMENT EXHIBITS

13	Exhibit No.	Received
14	1448	5206
15	A133; A409; A410; A411; C106-1; C107-B, . . .	5208
16	not for the truth; C107-BT,	
17	not for the truth; C302, not	
18	for the truth; C421; C422;	
19	C426; B117; 4G-5; 5A-5001A;	
20	5V-1; 5V-2; 5G-102-1; 9A-101	
21	through 9A-109; 9A-201 through	
22	9A-211; 9B-101 through 9B-106;	
23	9B-201 through 9B-213; 9B-301	
24	through 9B-309; 9D-101 through	
25	9D-112 and 9F-101 through	

1 9F-110

2 1435, 1427, 1405, 1453 and 1440 5209

3 s 9G-1 through 9G-12 and 1462 5209

4 1465 5209

5 10I-1A 5233

6 10E-1 through 10E-12 5240

7 8A-1 5282

8 16C-2 5403

9 DEFENDANT EXHIBITS

10 Exhibit No.	Received
11 1853	5349